

Aperiodic crystals: Phonon and phason

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References on this lecture can be found in:

References in the book: Janssen T., Chapuis G. and de Boissieu M.: *Aperiodic Crystals. From modulated phases to quasicrystals*, *Oxford University Press, Oxford 2007*

And the review paper: de Boissieu M., Currat R. and Francoual S.: Phason modes in aperiodic crystals, in *Handbook of Metal Physics: Quasicrystals* (Eds. T. Fujiwara and Y. Ishii), p. 107-169. *Elsevier Science 2008*



Introduction to phonon

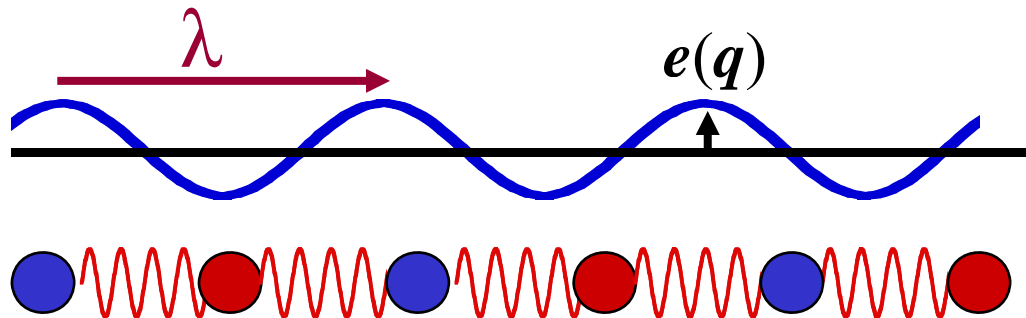


Phonon


- Equation of motion of atoms: *plane wave*
- q wavevector = $2\pi/\lambda$, λ is the wavelength
- Frequency or energy $\hbar\omega$. Unit : THz or meV

Phonon E is in the range 0-100 meV

- $e(q,s)$ *Polarisation* of the wave
- Displacement $u_n(x) = e(q,s) \exp i(qR_n - \omega t)$



1D model

- 1D Chain 
- Interaction with first neighbors, spring constant K , 2 masses M et m .
- $E = E_0 + 1/2 K \Delta u^2$
- $M = m$. Long wavelength: Acoustic mode and linear dispersion relation.

$$\omega = a \sqrt{\frac{K}{m_{1(2)}}} q$$

Phonons: a simple 1D model

2 atoms with masses $M=\tau m$,

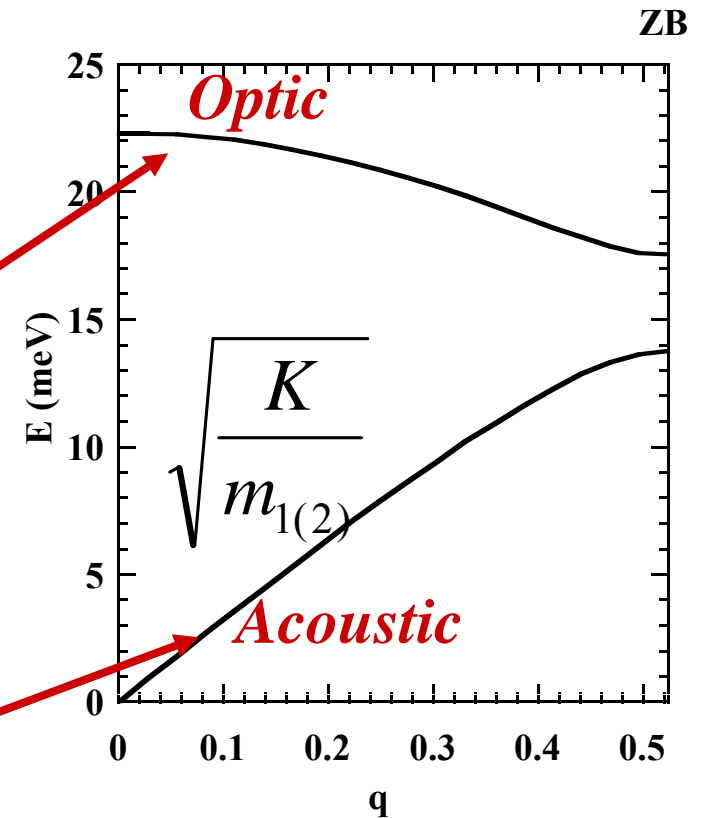
Interaction by a spring K .

LSLSLS....

• Eigen mode: phase opposition



• Eigen mode: in phase

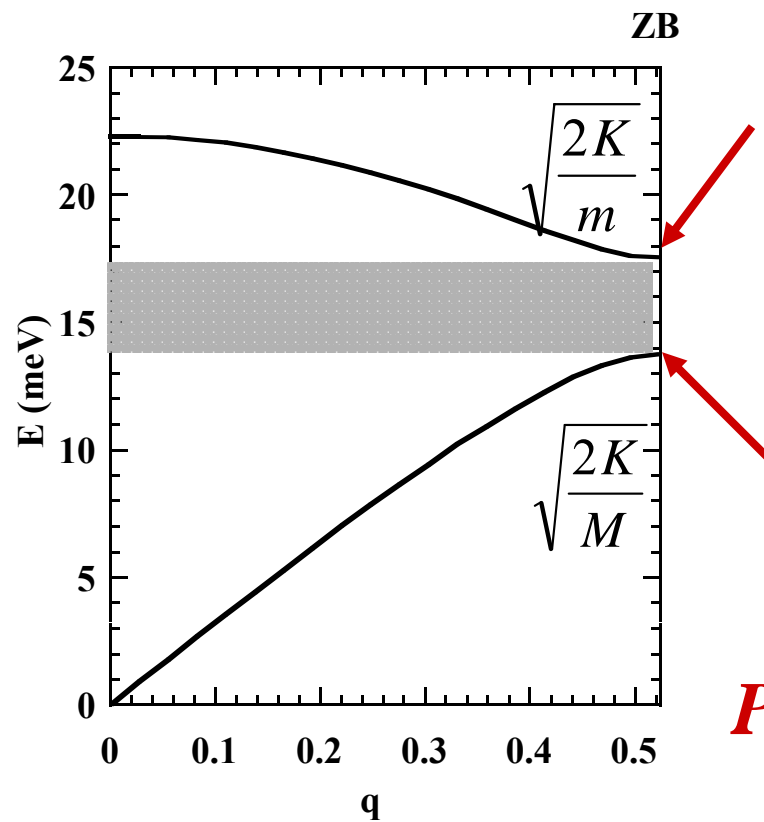


Phonon: wavevector $q=2\pi/\lambda$, energy E , eigenmode e

2 Atoms: gap opening

Brillouin zone boundary:

Gap opening



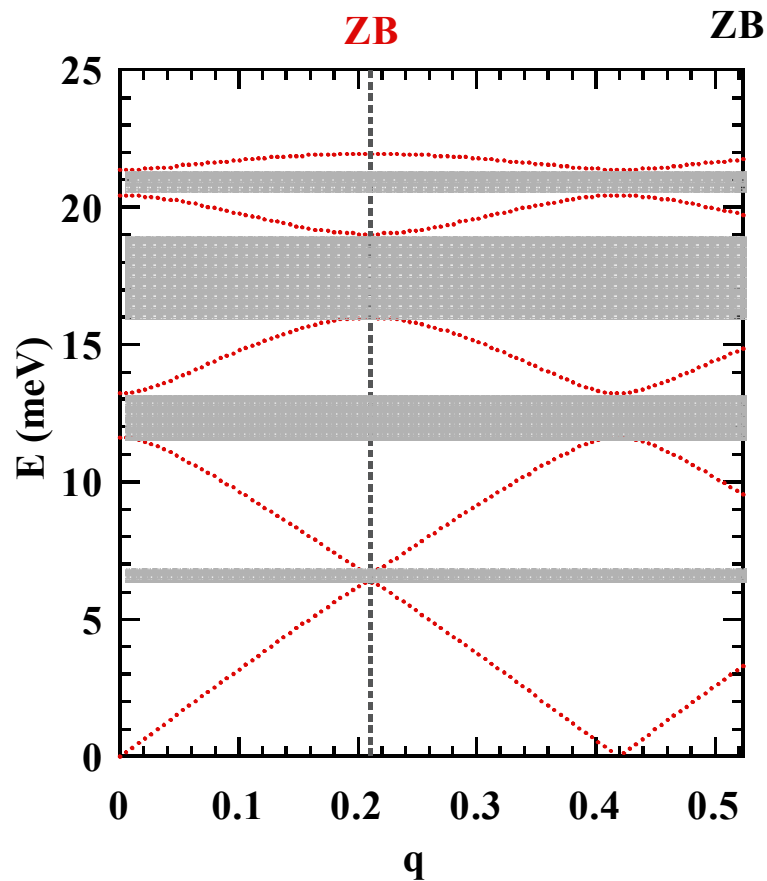
Light atom moves

Heavy atom moves

Stationary wave.

Phonon modes are Bragg reflected.

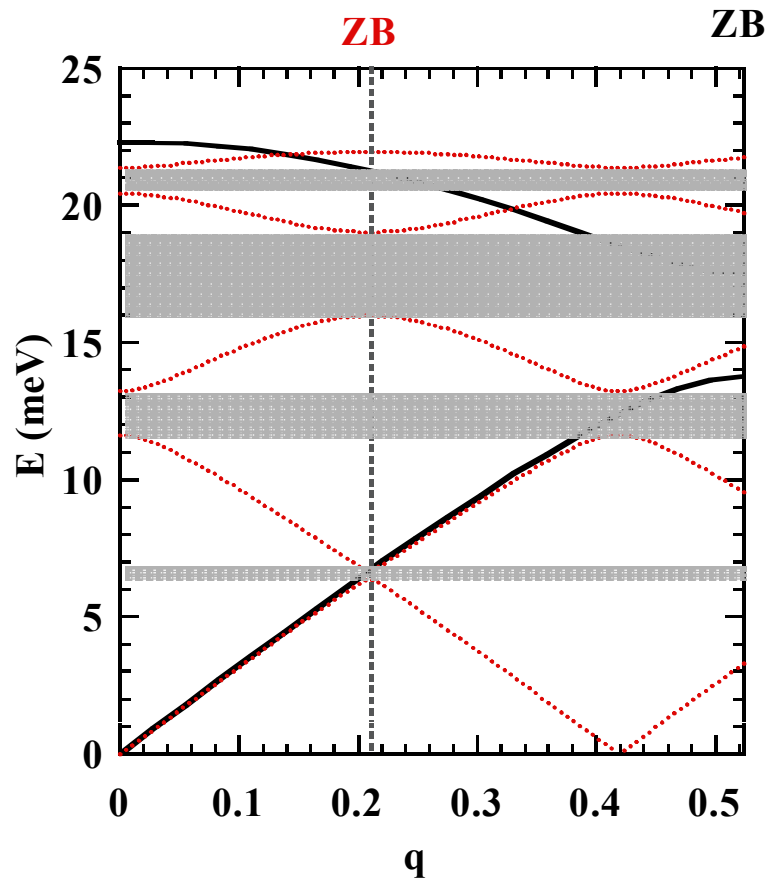
5 atoms in the unit cell



LSLLSLSLLS...

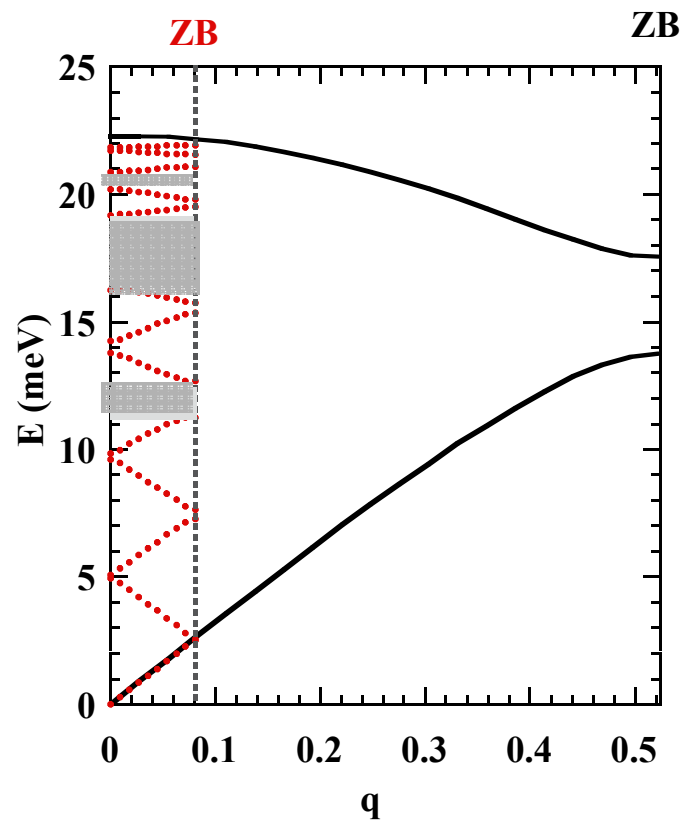
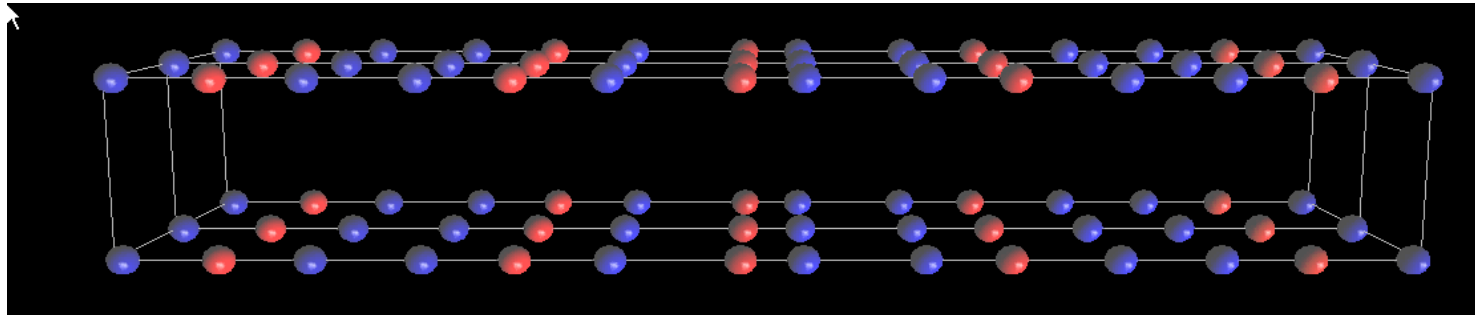
- ***5 branches***: 1 acoustic branch and 4 optic one
- 4 gaps
- Some similarity with the 2 atoms case

5 atoms in the unit cell



LSLLSLLS...

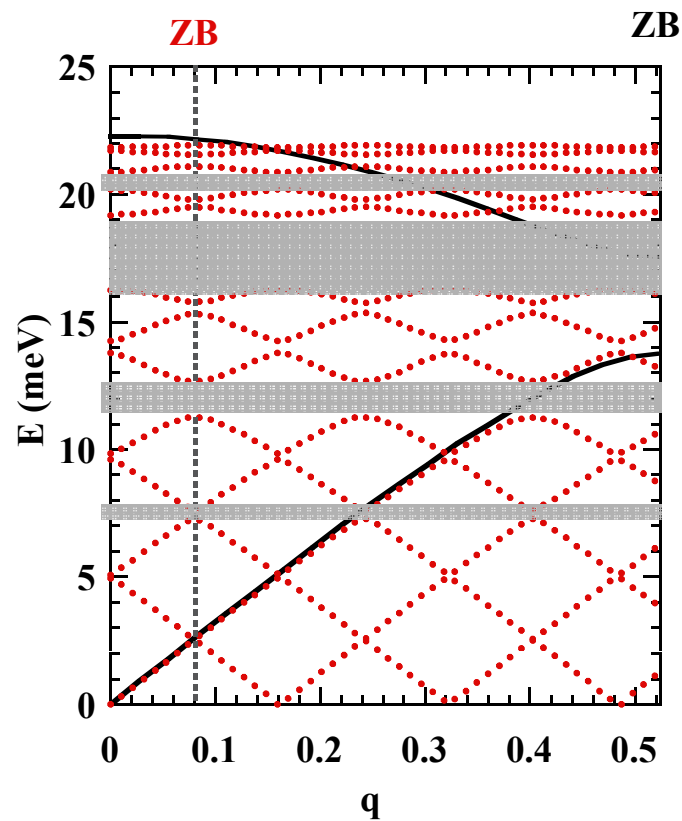
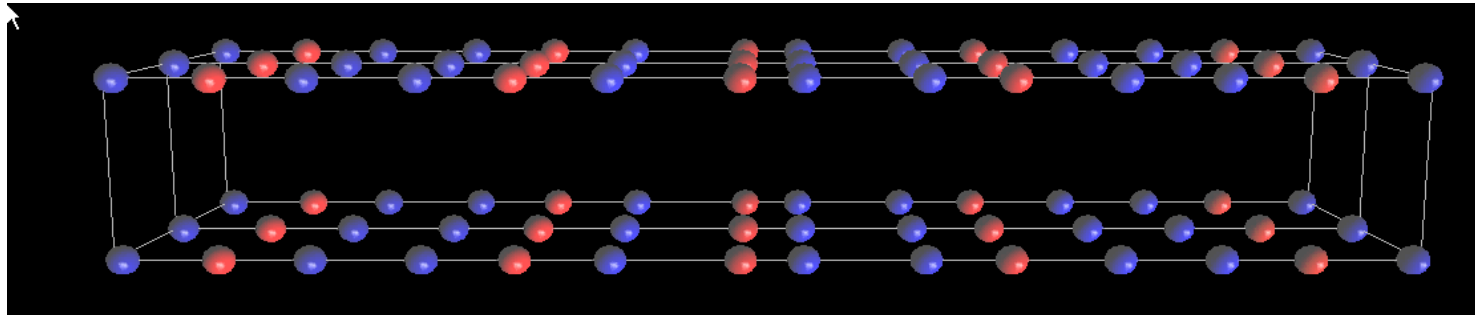
- *5 branches*: 1 acoustic branch and 4 optic one
- 4 gaps
- Some similarity with the 2 atoms case



Phonons: 13 atoms

LSLLSLSLLSLLSL

- 13 'Branches'
- New gaps opening
- Large gap around 17 meV + optic 'bands'
- Smaller gap around 13
- $E < 10$ meV : acoustic character



Phonons: 13 atoms

LSLLSLSLLSLLSL

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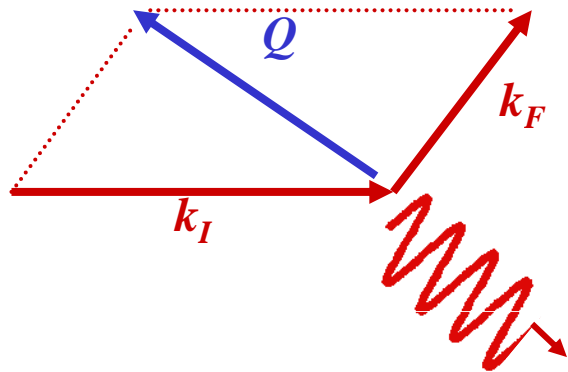
Aperiodic crystals

- No periodicity: the Bloch theorem is no longer valid
- What is a phonon? Plane wave expansion?
- Phason degree of freedom and phason dynamics.

Measuring phonons

Coherent inelastic neutron (X-ray) scattering

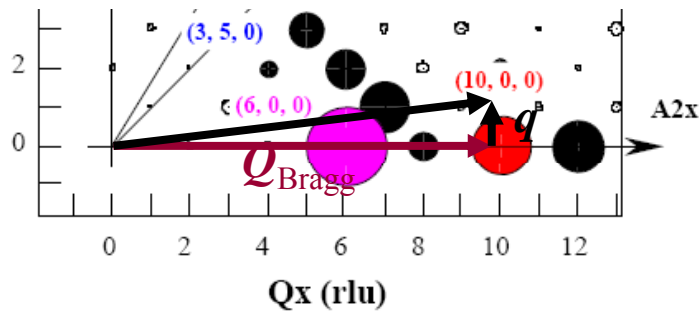
Neutron energy transfer to the crystal: *phonon creation or annihilation*



Energy analysis of scatt. neutron

$$\Delta E = \hbar(k_F^2 - k_I^2)/2m$$

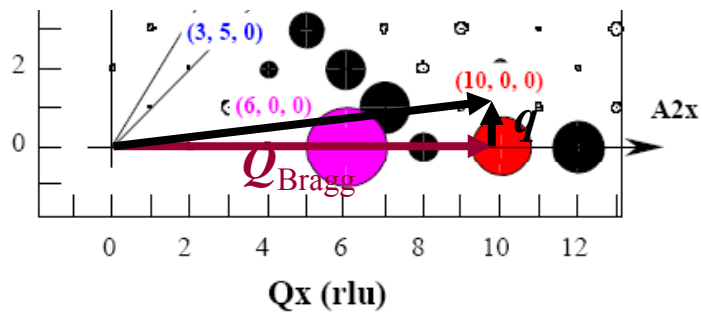
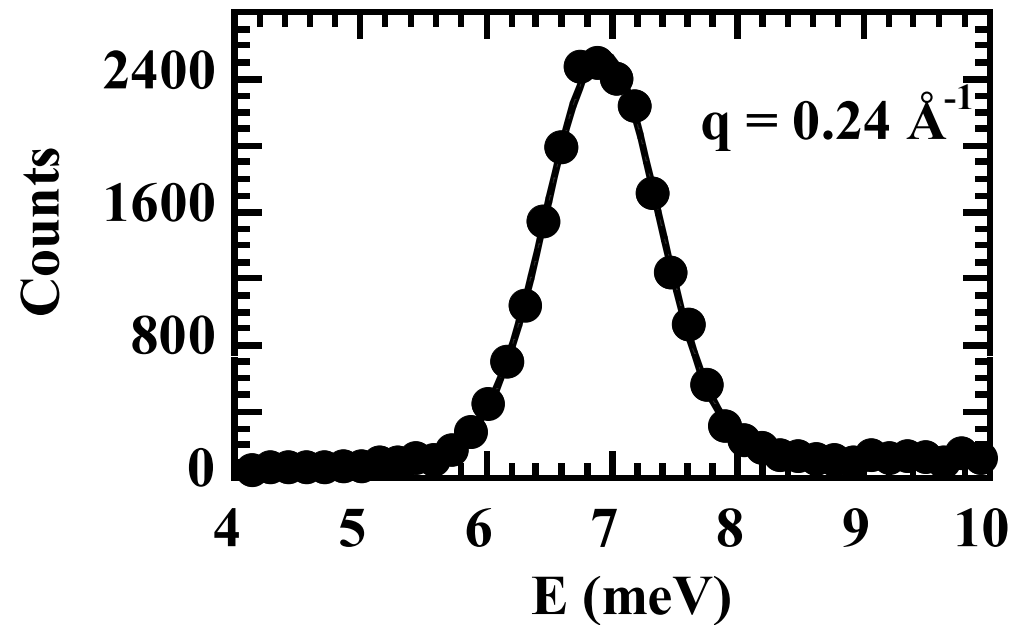
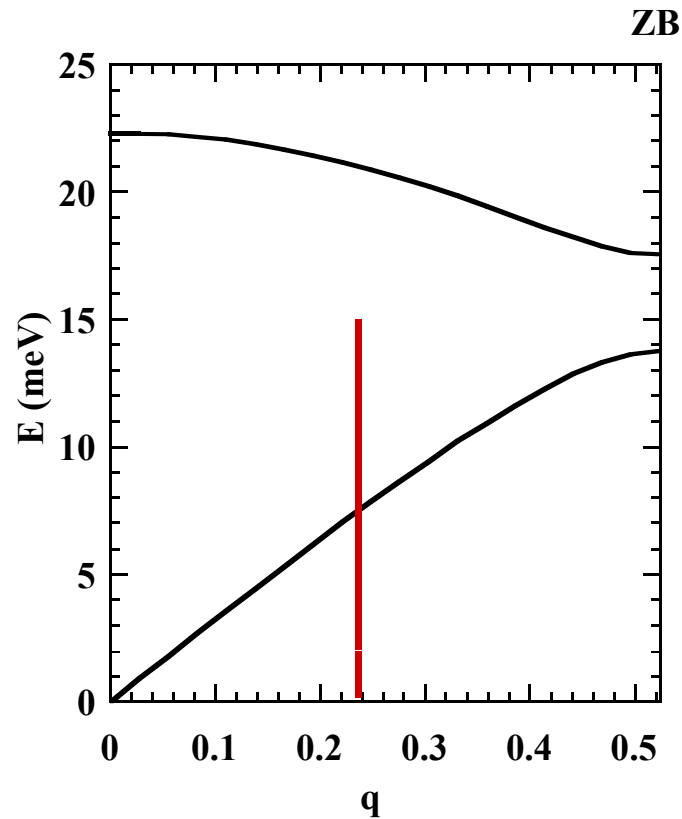
$$Q = k_F - k_I$$



$$Q = Q_{\text{Bragg}} + q$$

q is the phonon wave-vector

Mesure: Q-constant, scan en energie

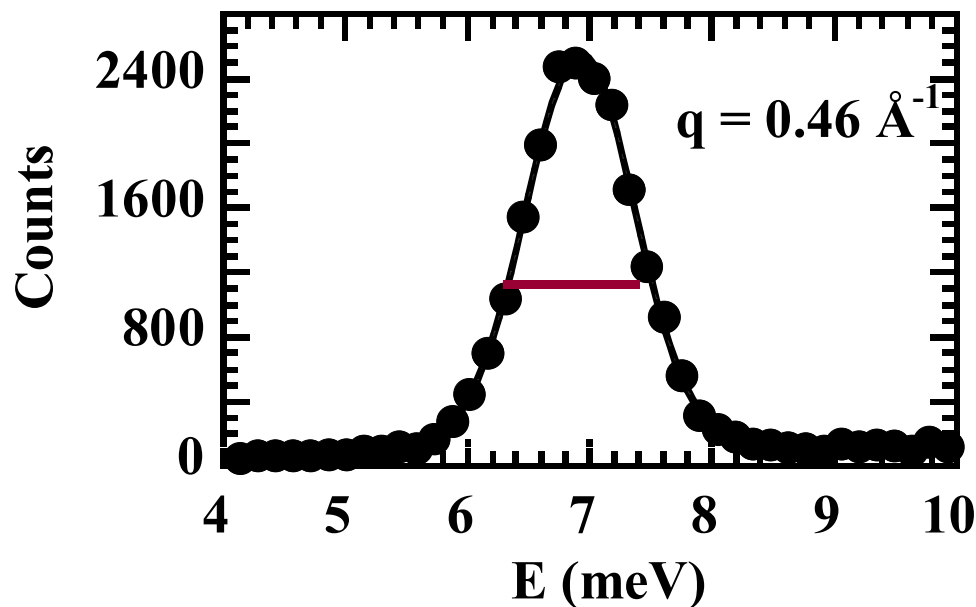


Coherent inelastic neutron scattering

Triple axis spectrometer

Constant Q , Energy scan

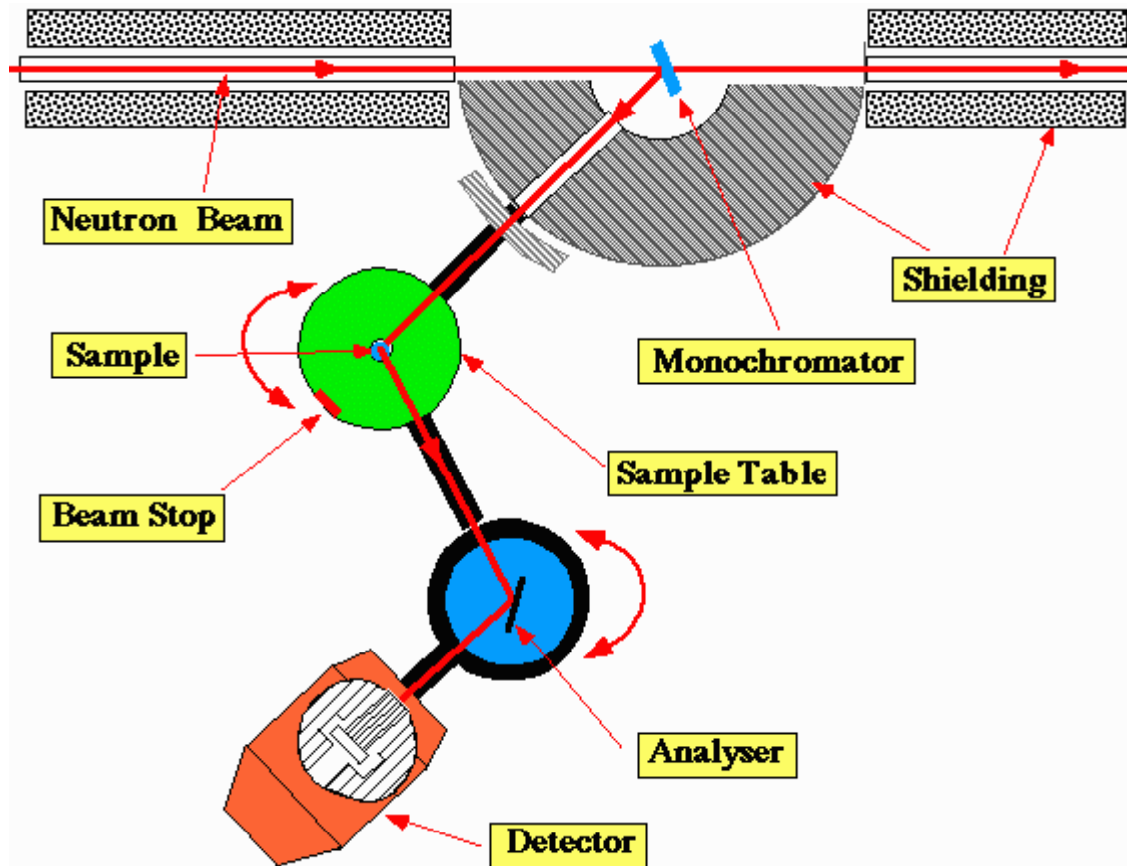
Measurement: $S(Q_B+q, E)$ Related to the space and time Fourier transform of the structure



Damped Harmonic Oscillator

- $E(q)$: Dispersion relation
- Γ (*Width*) : phonon lifetime
- *Intensity*: related to the pattern of vibration (eigenvectors)

Triple axis: Inelastic neutron scattering



Incoming neutron energy: 20-100meV

Resolution of the order 1 meV

Graphite crystals analyser

Large single grain sample (1cm³)

Inelastic neutron scattering experiment



Inelastic x-ray scattering

- BL35XU, Spring8
- $E_i=20$ keV
(Si 11 11 11)
- Energy resolution 2 meV ($\Delta E/E=10^{-7}!!$)
1 mK monoc control!!)
- Spot size $0.1*0.1$ mm²
- Flux 10^{10} photons/s



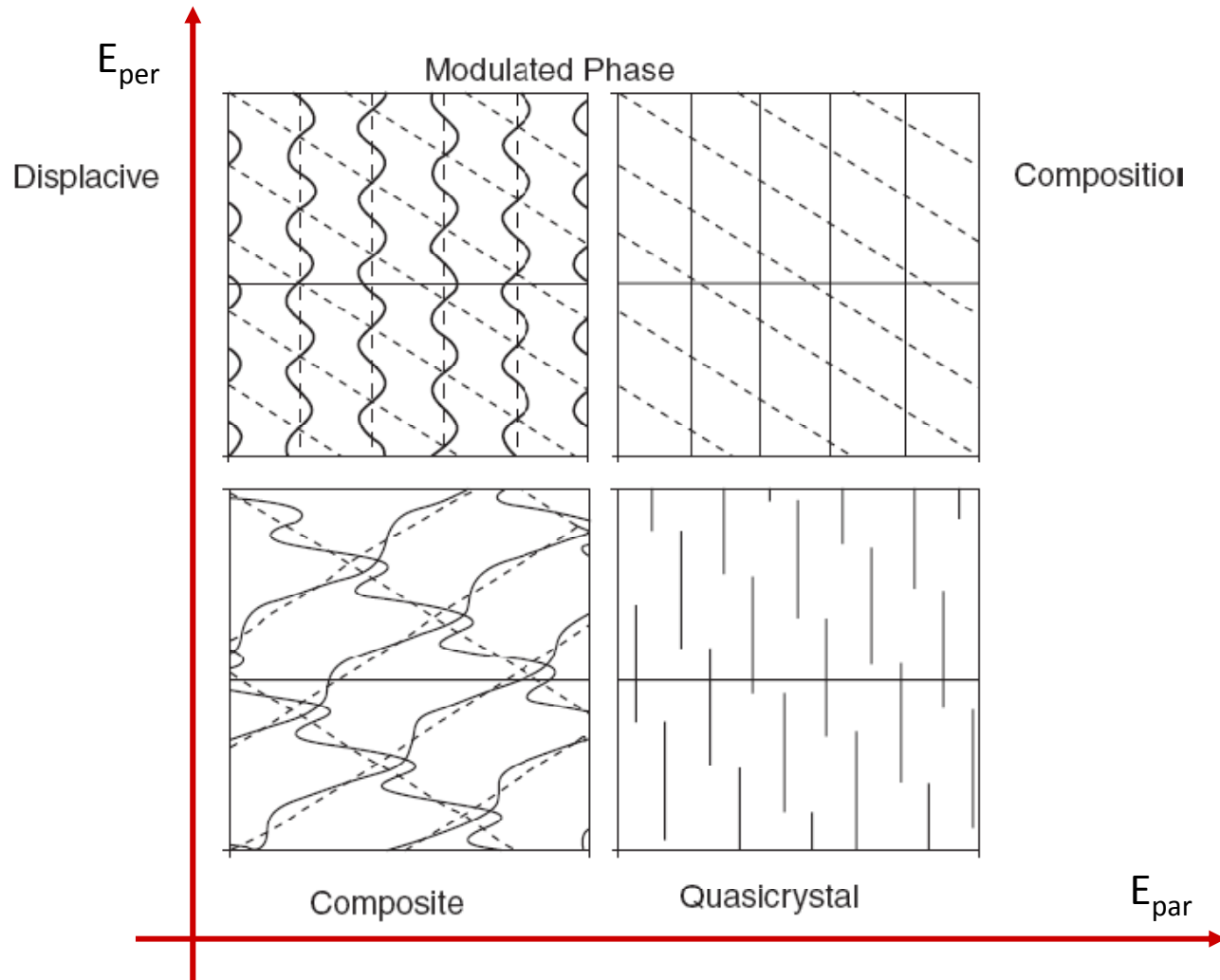
- Phason modes:
Hydrodynamic theory
Microscopical models
Experimental results
- Phonons
 - Quasicrystal
 - Composites



Introduction to phason modes



Phason modes in aperiodic crystals

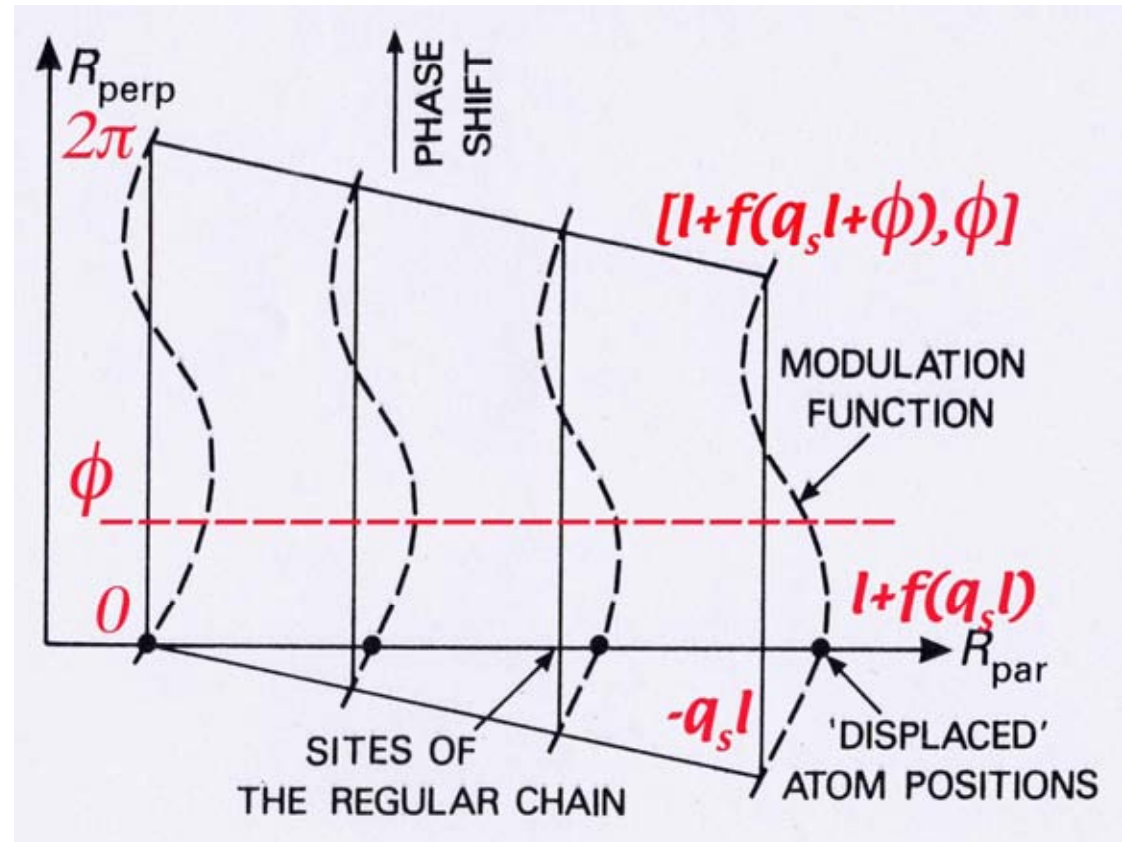


The free energy of the system is invariant through a translation of the E_{par} space along the perpendicular direction



Phason mode

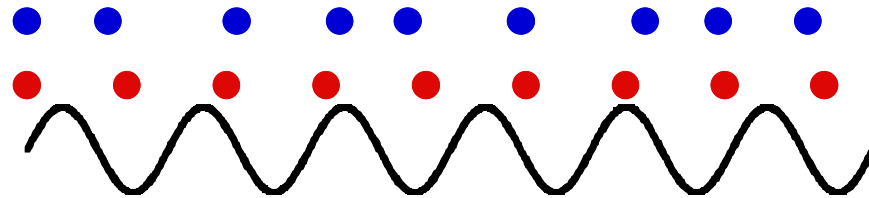
- Phase shift of the modulation function.
- Equivalent to a translation of the cut space.
- Leads to new excitations : phason.



Phasons modes: modulated phases

- *Displacive modulated structure*
- *A change in the phase of the modulation induces a small change in atomic position.*

*Modulated
Ideal
Modulation function*

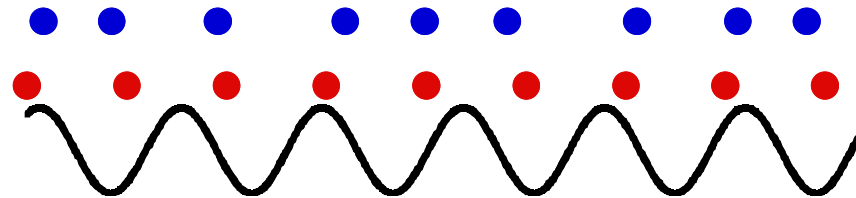


Relation with phonon

Phasons modes: modulated phases

- *Displacive modulated structure*
- *A change in the phase of the modulation induces a small change in atomic position.*

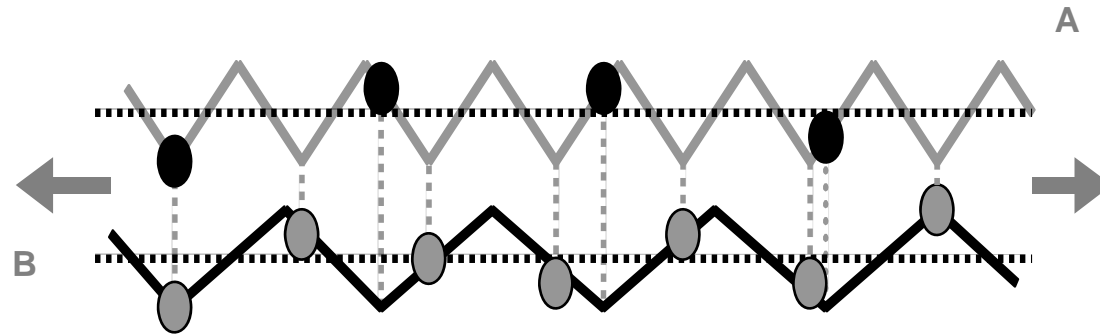
*Modulated
Ideal
Modulation function*



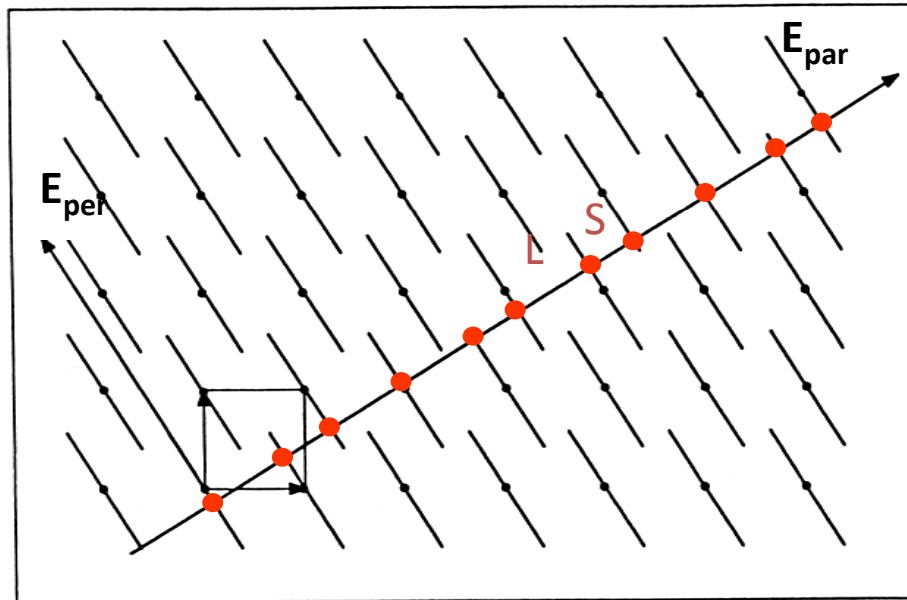
Relation with phonon

Composite

- Sliding modes: relative motion of the guest and host.



QC: Atom 'flip'

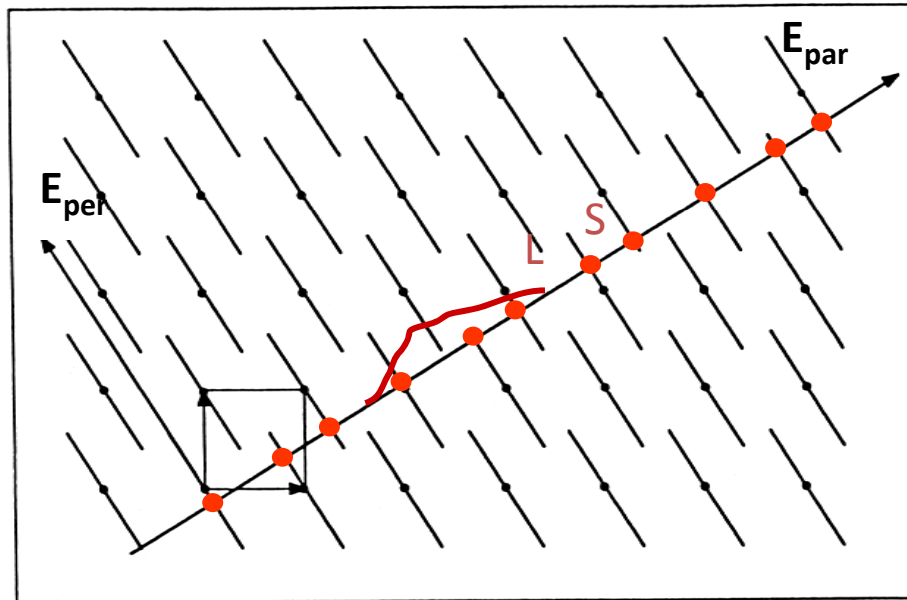


Local distortion of the cut space

Local rearrangement: Same local order

Phason mode: Clear diffusive process. $\tau^{-1} = Dq^2$

QC: Atom 'flip'



$LS \rightarrow SL$

Local distortion of the cut space

Local rearrangement: Same local order

Phason mode: Clear diffusive process. $\tau^{-1} = Dq^2$

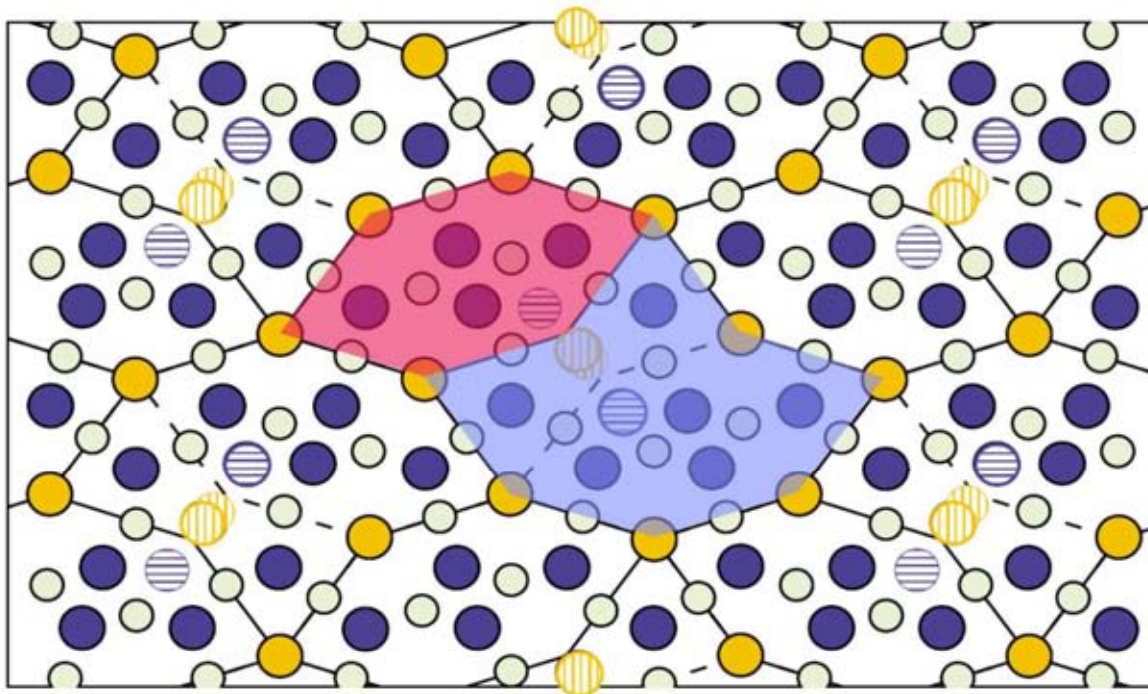


- ***What is a phason in quasicrystal?***

Phason jump, phason strain, phason mode....

- ***Phasons appear everywhere in QC studies!!***

- Growth of QC: 'phason' entropy/local rules
- Stability of QC: phason entropy contribution



Decagonal B-Mg-Ru
random tiling is stabilised
by phason entropy



M. Mihalkovic and M. Widom, Phys. Rev. Lett. 93, 095507/1 (2004)

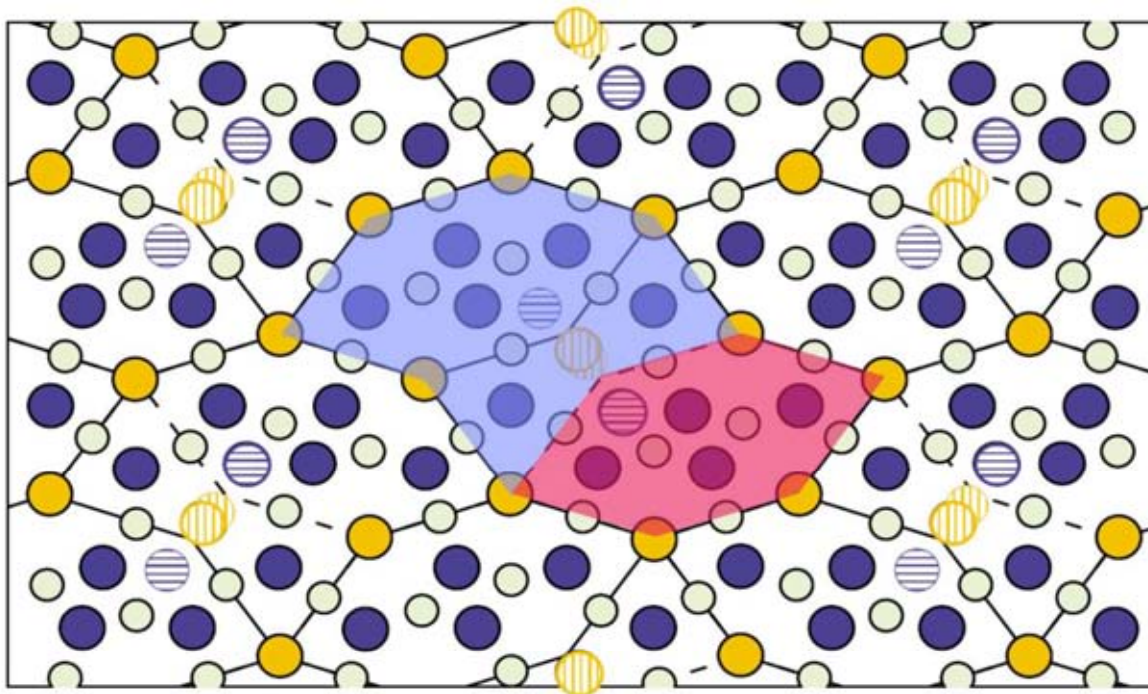


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Hydrodynamic theory

- Symmetry breaking analysis
- Valid for all aperiodic crystals
- Introduction of symmetry and elastic constants.



Hydrodynamic modes

- Continuous symmetry is broken:
Example: Fluid \rightarrow Crystal
- Continuous translational symmetry is broken.

Cristal(R) \neq Cristal (R+r)

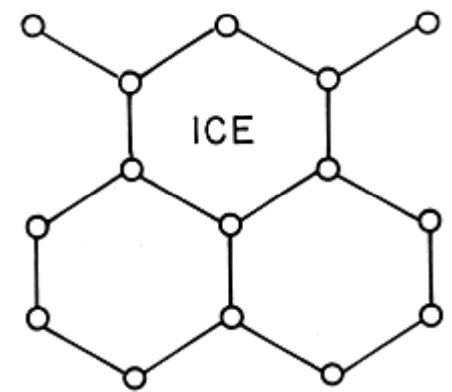
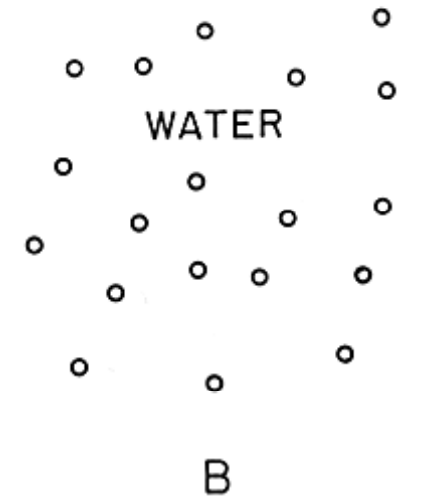
But same free energy.

Continuous degeneracy of the free energy with respect to translation.

Going continuously from R to R+dR has almost **zero energy cost**

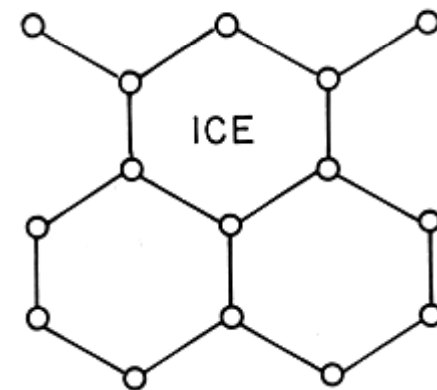
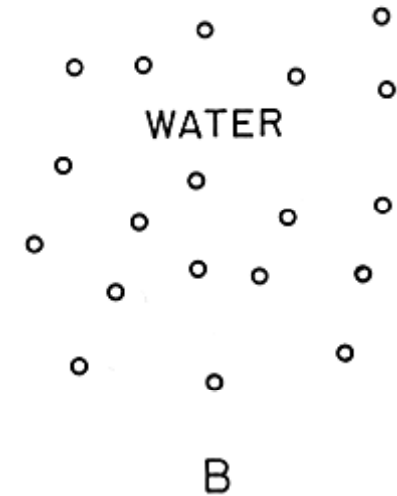


From, James P. Sethna. See also Chaikin and Lubenski book.



Hydrodynamic modes

- Slow , long wavelength degree of freedom and modes for which the frequency vanishes as some power of the wavevector of the mode as $q \rightarrow 0$
- Identify the broken symmetry and define an order parameter ($F(Q)$)
- Hydrodynamical variables related to conservation law: particle number, momentum and energy
- Symmetry and mode counting arguments

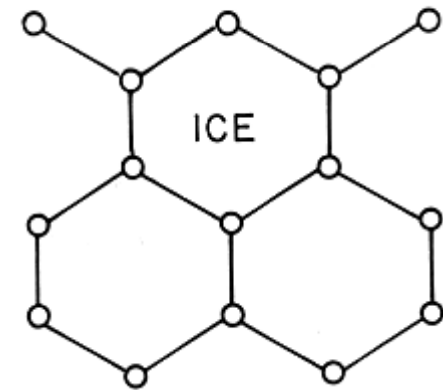
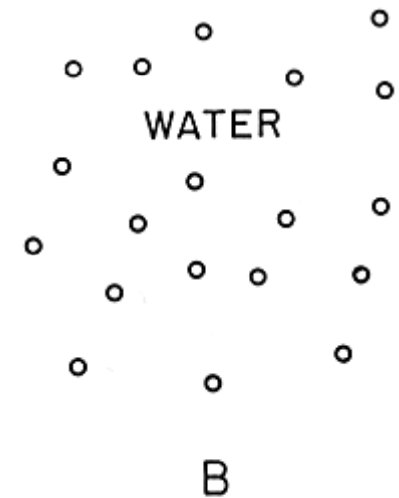


Hydrodynamic: phonons

- Fluid : 5 hydrodynamic variables:
mass and energy conservation (2) +
momentum (3)
- Fluid : 5 modes:
 - Longitudinal propagative mode (2),
 - 2 transverse **diffusive** shear waves
 - heat diffusion

Note that the shear waves are not
propagative, but diffusive.

A propagative mode counts for 2 (time
reversal symmetry)

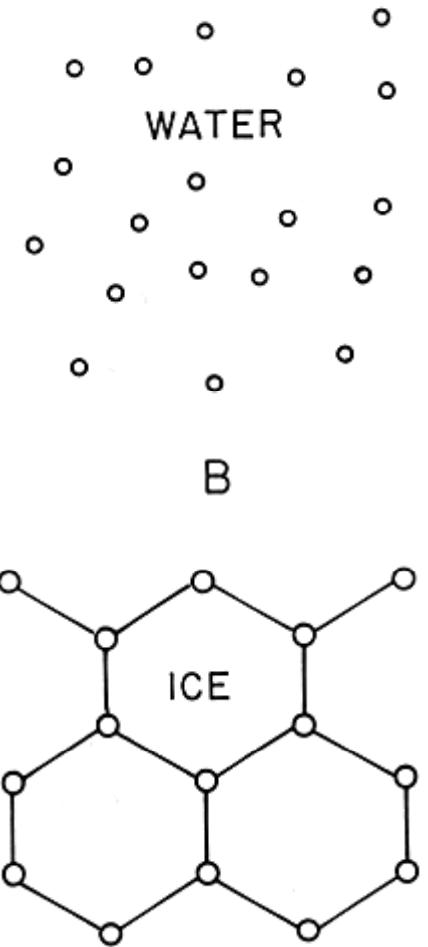


Hydrodynamic: phonons

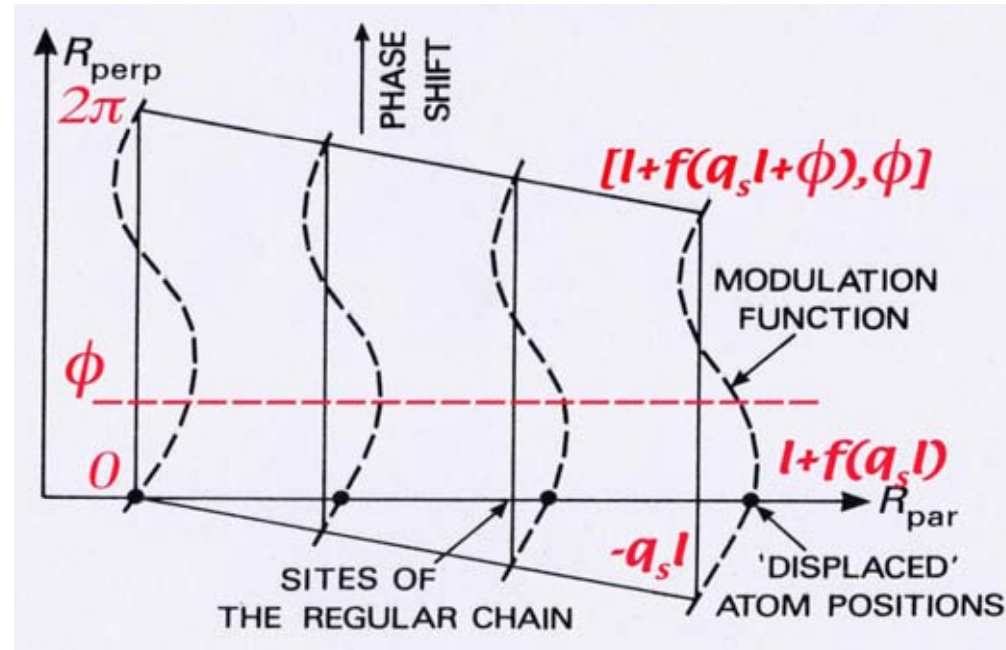
- Fluid : 5 modes: Longitudinal propagative mode (2), 2 transverse **diffusive** shear waves, heat diffusion
- Crystal: broken symmetry + Goldstone theorem. **8 modes instead of 5**
 - Two 'new' transverse **propagating** phonons: Goldstone modes (goes from diffusive to propagative: count for 2)

$$\omega = \pm vq$$

- Vacancy diffusion (diffusive, count for 1)
- + Longitudinal acoustic and heat diffusion



Phason modes: Hydrodynamic theory



A translation of the cut space leads to a new structure **indistinguishable** from the previous one. Same Fourier spectrum.

Analogy with the treatment of phonon: small translation does not cost any energy. **1 new variable.**

Phason modes

- Hydrodynamic: in the case of a 1D displacive modulation, predict 1 supplementary mode.

New variable: Perp translation: 1D, counts for one

The new phason mode related to phase fluctuation of the modulation is a **Diffusive** phason mode.

The dispersion relation is : $-i\omega = Dq^2$

D is a phason diffusion constant, and one has an overdamped mode. This can be expressed in the time domain (FT of ω)

$S(q, t)$ is decaying exponentially.

$$g(\mathbf{q}, t) = \exp(-2t/\tau_c(\mathbf{q})) \quad \tau_c^{-1} = Dq^2$$



Phason mode and hydrodynamic theory

- The above arguments on diffusive modes hold for **all aperiodic crystals case**.
- For all aperiodic crystals the hydrodynamic theory predict a **phason diffusive mode**.
- Polarization of the mode in perp space
- Characterized by a **phason diffusion constant**
- Of course the value of this phason diffusion constant will depend on the nature of the aperiodic crystal.

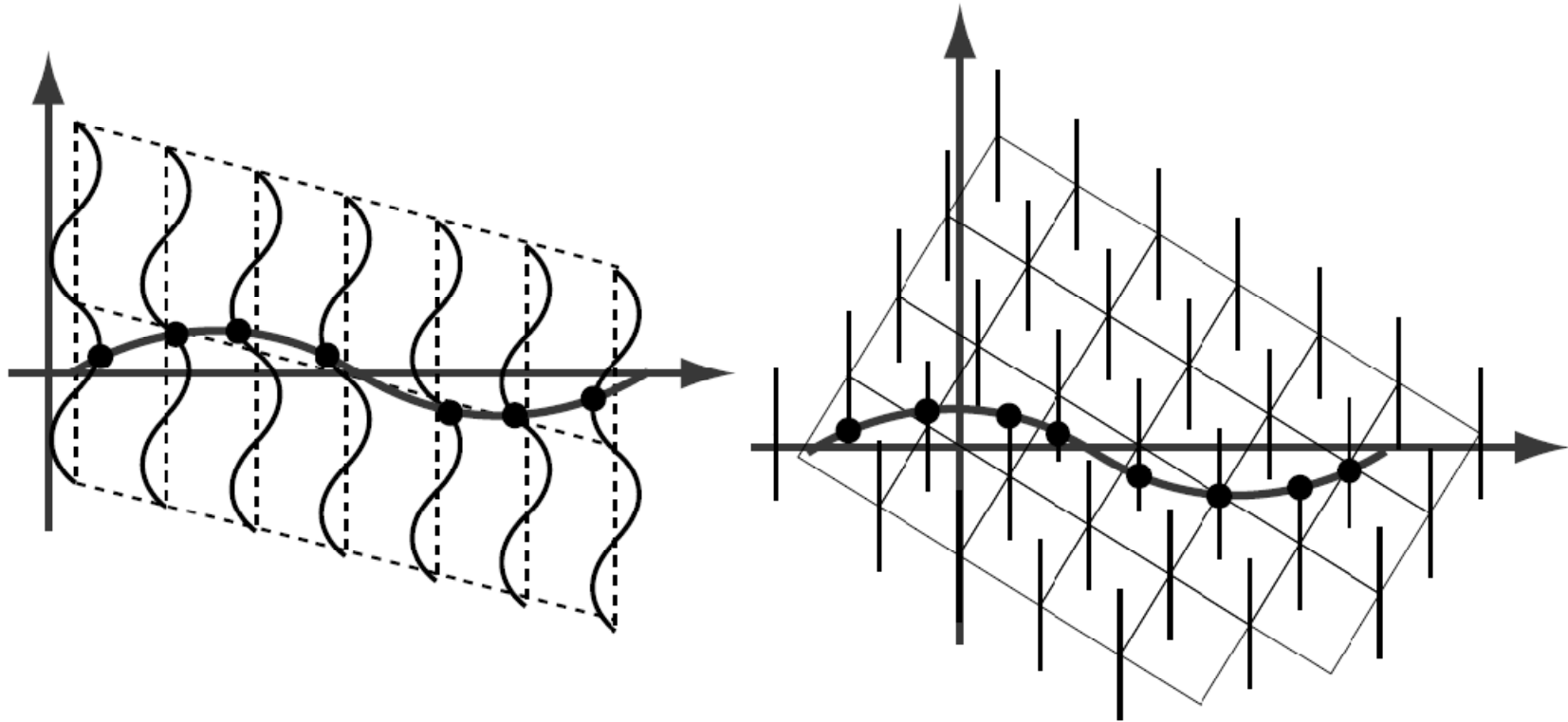


Hydrodynamic theory of icosahedral QC

- It has been much developed for quasicrystal
- Importance of the symmetry
- Lead to a generalized elasticity theory for QC.



Phason modes in quasicrystals



Phason modes in QC right after the QC discovery:

P. A. Kalugin, A. Y. Kitaev, and L. S. Levitov, JETP Lett. 41, 145 (1985).

P. Bak, Phys. Rev. B 32(9), 5764 (1985).

T. C. Lubensky, S. Ramaswamy, and J. Torner, Phys. Rev. B 32(11), 7444 (1985).

J. E. S. Socolar, T. C. Lubensky, and P. J. Steinhardt, Phys. Rev. B 34, 3345 (1986)

V. Elser 1986



Hydrodynamics theory of aperiodic crystals

- *Hypothesis:*

Infinitesimal translation along E_{perp} does not cost energy.

Problem in some cases. For incommensurate modulated structure, breaking of the analyticity of the atomic surface shape (Aubry, Janssen) induces a $q=0$ phason gap.

- *Generalised elasticity*

How does the system respond to a strain ?

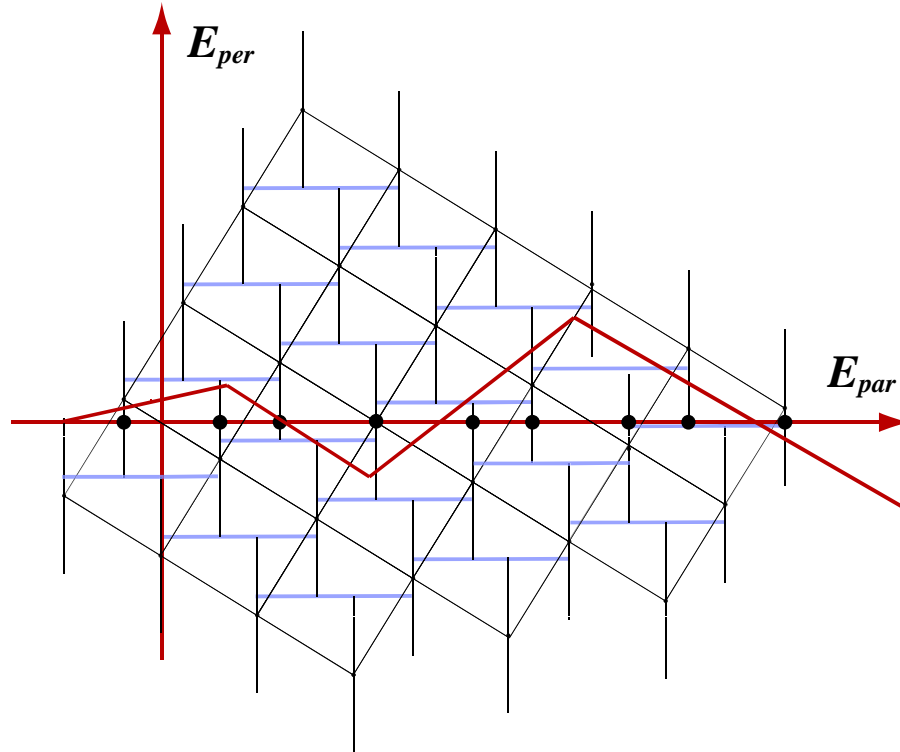
$$F = \alpha |\nabla \mathbf{u}_{\text{par}}|^2 + \beta |\nabla \mathbf{u}_{\text{per}}|^2$$

Phonon and *phason strain*.

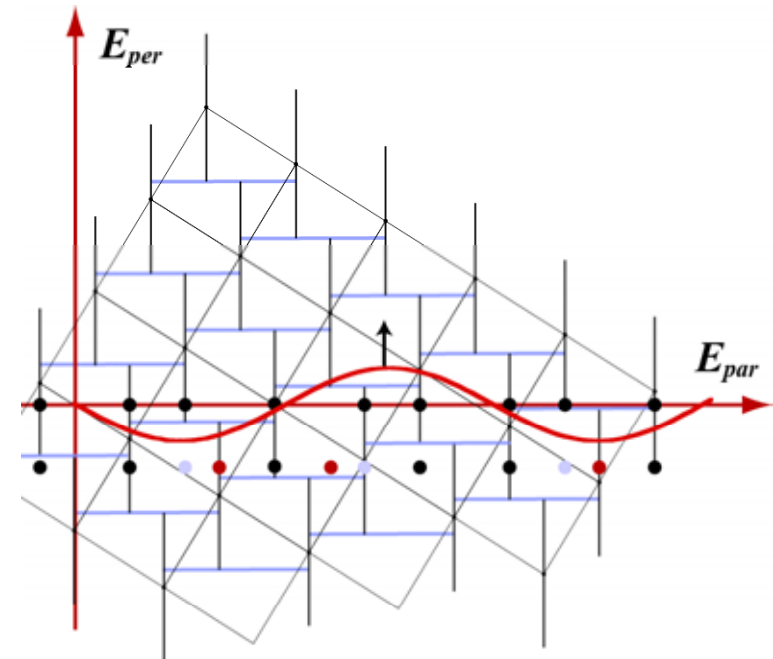
Elastic constants, phonon, phason and phonon-phason coupling.



Phason strain and phason modes



Phason strain distribution
Diverging fluctuations
Bragg peak broadening



Long wavelength fluctuations
Phason modes. Non diverging.
Bragg peak + diffuse scattering

Elasticity of icosahedral phase

- From the hydrodynamic theory one can derive a generalized elasticity. (Kalugin et al. , Back, Lubenski):
 - Continuum theory.
 - Free energy density: squared gradient of phason strain.
- Ico phase: 5 elastic constants.
Phonon: isotropy of icosahedral symmetry: 2 phonons constant (1 longitudinal one transverse)
Phasons 2 constants: K1 and K2
Phonon/phason coupling term: K3



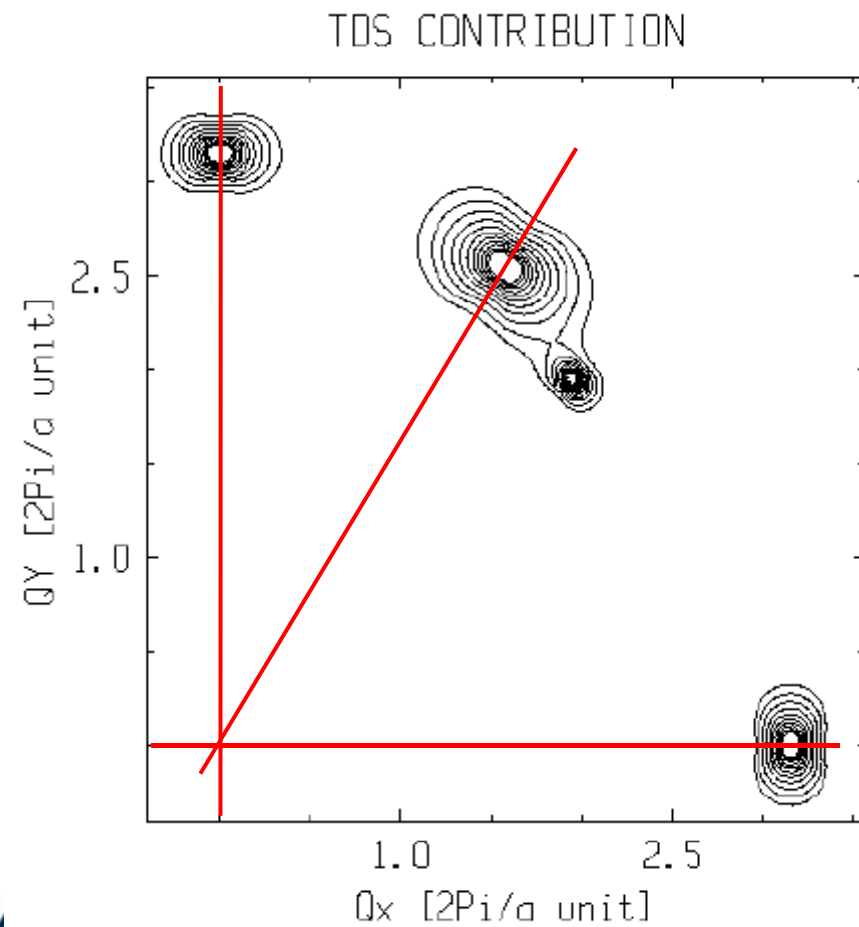
Elasticity of icosahedral phase

- Influence on diffraction spectrum:
Thermal equilibrium phonon and phason fluctuation (Jaric, Ishii, Widom):
 - In 3D, fluctuations are bounded: Bragg peak remain
 - Phonon give rise to a Debye-Waller (Bragg peak decrease) and diffuse scattering
 - In the same way phason fluctuation lead to diffuse scattering whose shape depends on K_1 and K_2 (see hereafter).

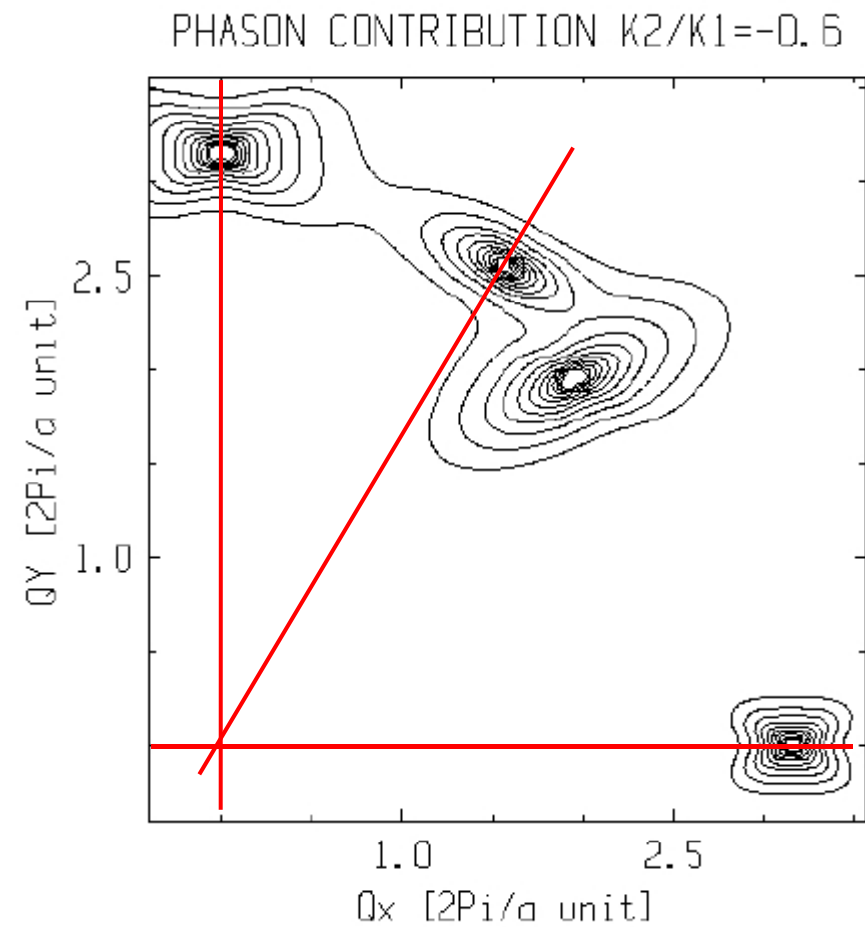


DIFFUSE SCATTERING

Phonon



Phason



Summary

- Hydrodynamic theory applies to all aperiodic crystal
- Phason mode, in the long wavelength limit, are diffusive mode or overdamped harmonic oscillator.
- Mode polarised in the perpendicular space
- ‘Dispersion relation’: $\tau_c^{-1} = D(\mathbf{q})\mathbf{q}^2$
- In quasicrystal, phason elastic constant have been derived. Used for diffuse scattering.



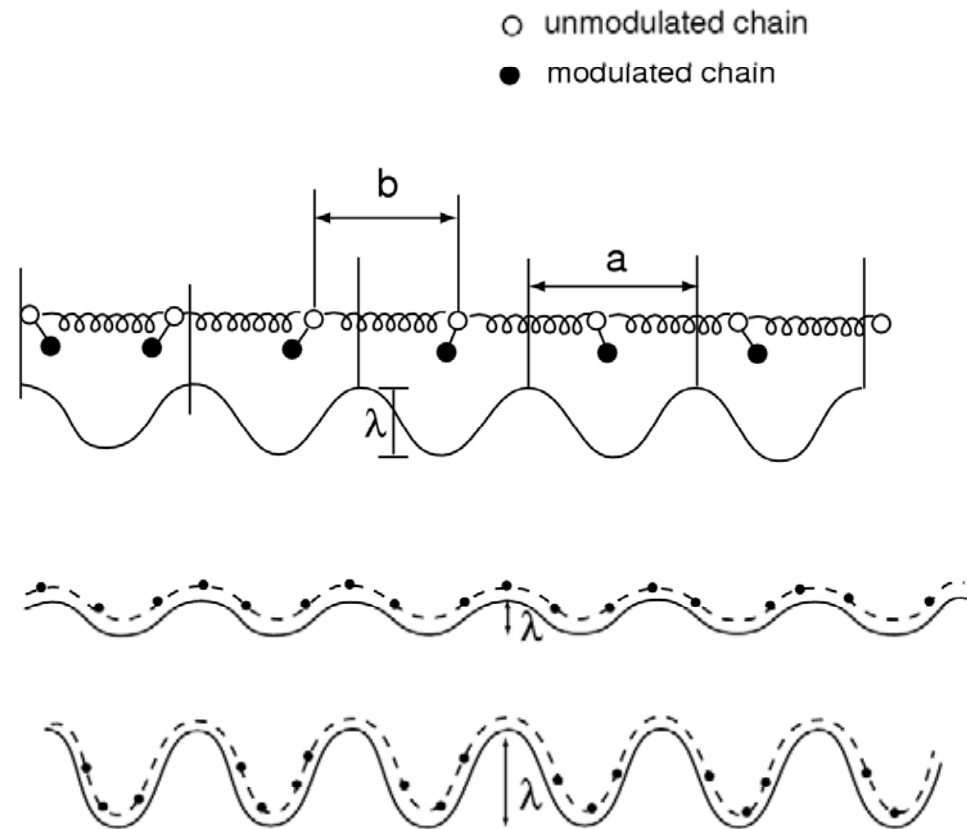
Microscopic models and phason modes

- Hydrodynamic theory is only a long wavelength, phenomenological theory
- Connection with microscopic models:
 1. Frenkel-Kontorova model
 2. DIFFOUR
 3. Double chain
 4. Amman 3D icosahedral tiling

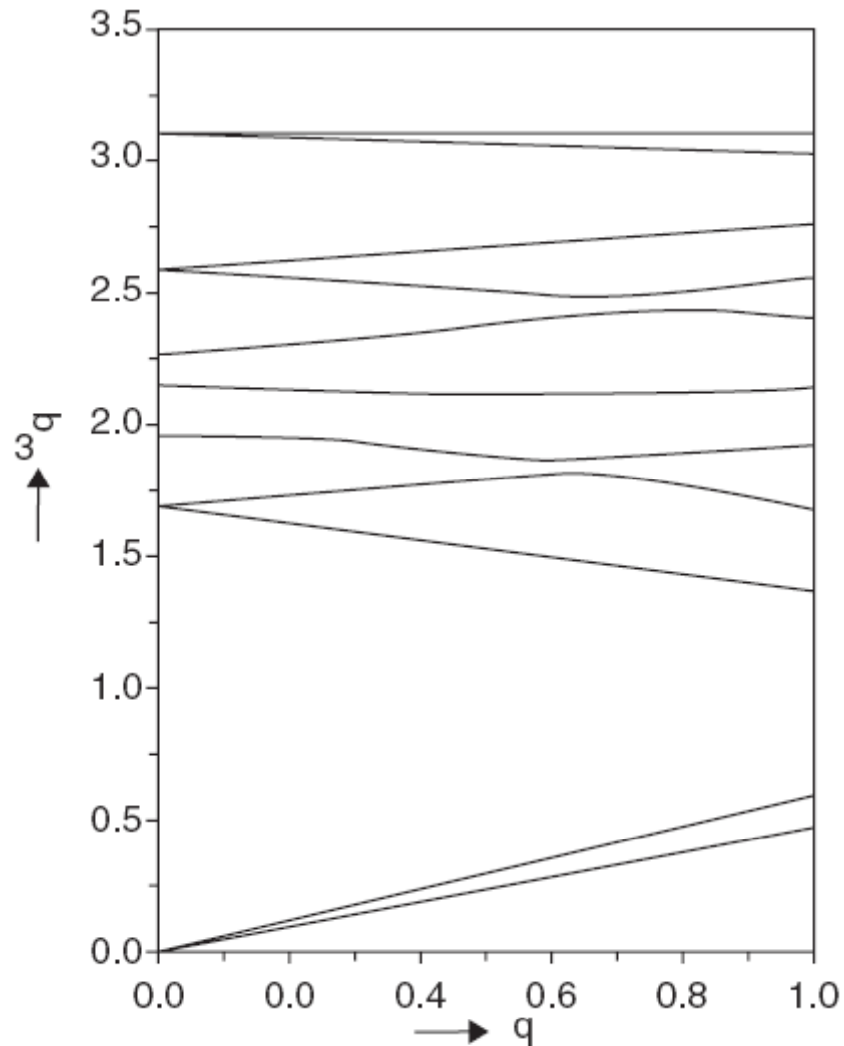


Frenkel-Kontorova model

- a/b is an irrational number
- λ coupling param
- Weak and strong coupling
- Weak coupling: smooth modulation
- Strong coupling: the modulation function is discontinuous.

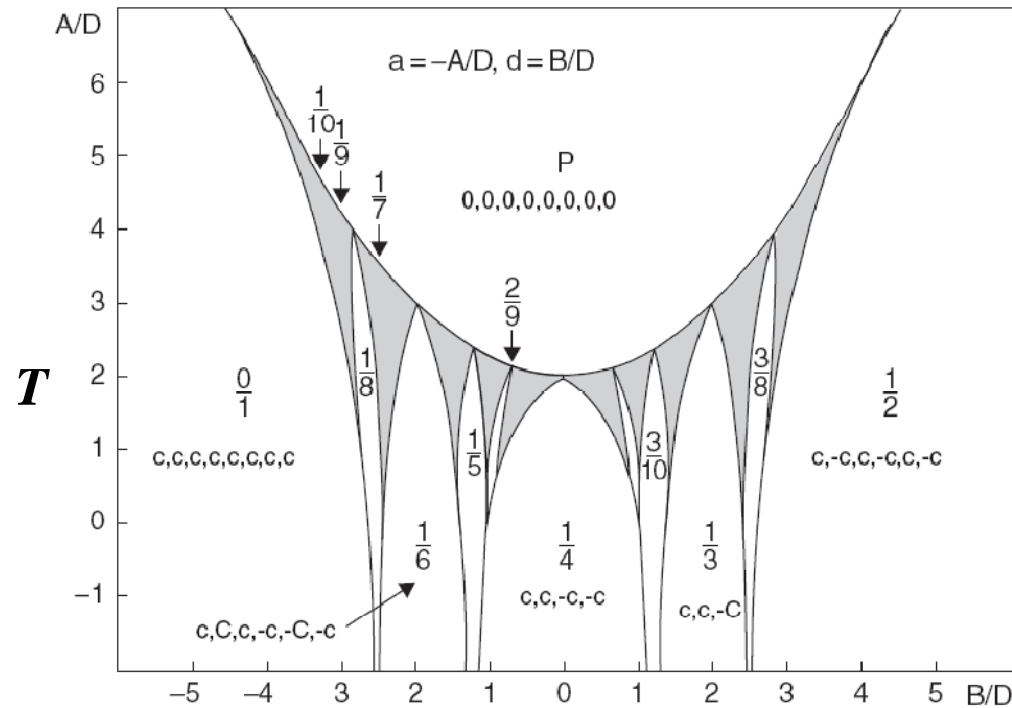


Analyticity breaking (Aubry)



- If the modulation function is smooth : phason branch is such that it goes to zero as q goes to zero.
- Strong coupling: discontinuous atomic surfaces. There is a phason gap, i.e E of the phason branch is not zero as q goes to zero: Energy to overcome

Incommensurate modulation: the DIFFOUR model



Phase diagramm

*First and second
neighbours
interaction:*

FRUSTRATION

(T. Janssen et al.)

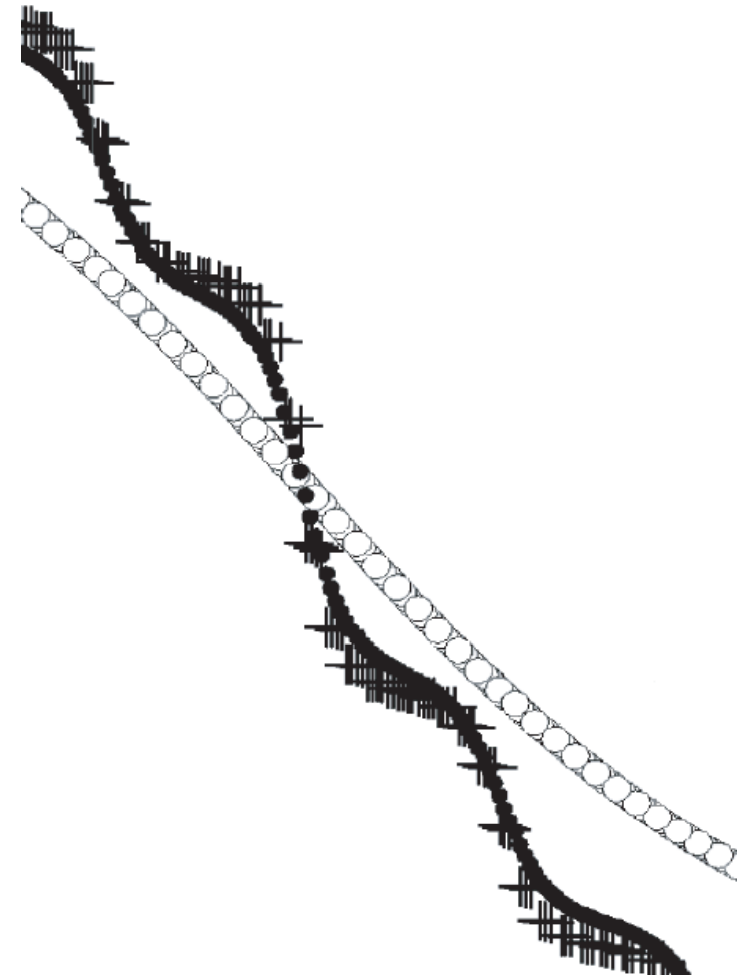
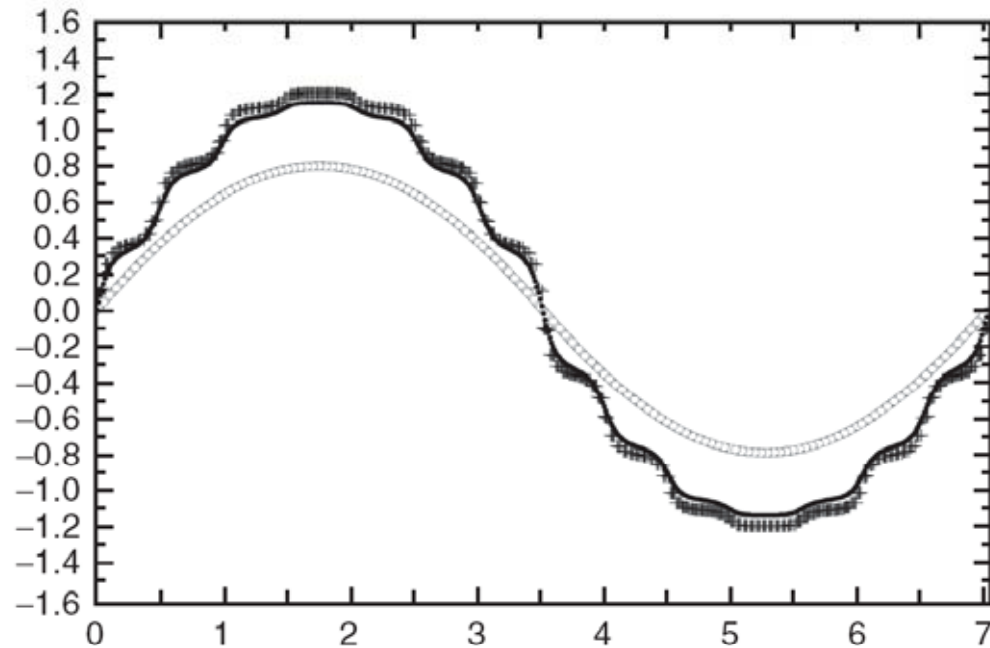
*Gray color:
incommensurate
phase*

Succession of phase is reproduced, with the correct dynamics

The incommensurate phase is stabilized by phason entropy

Phason seen as a mode with a polarisation in perp space.

Analyticity breaking, DIFFOUR

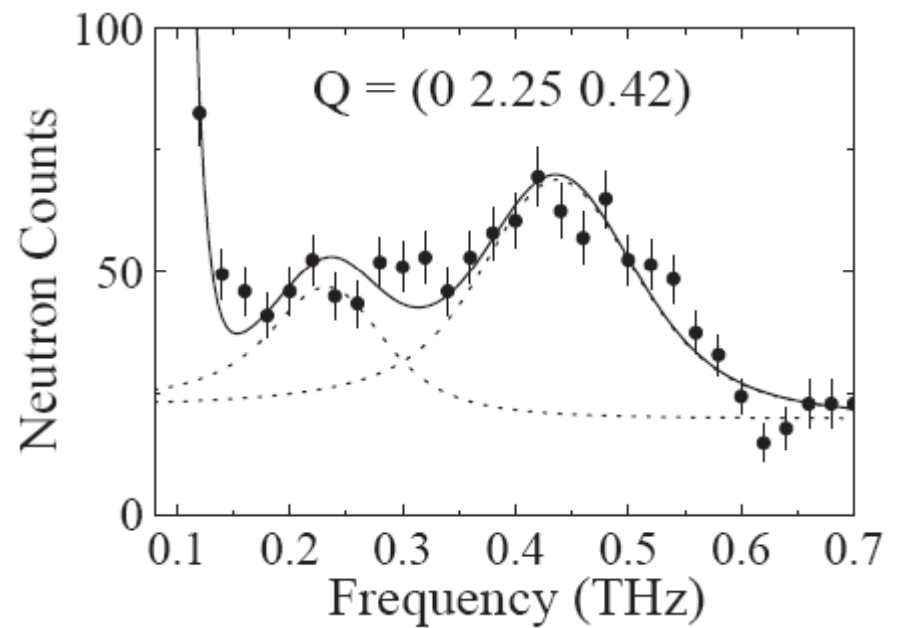
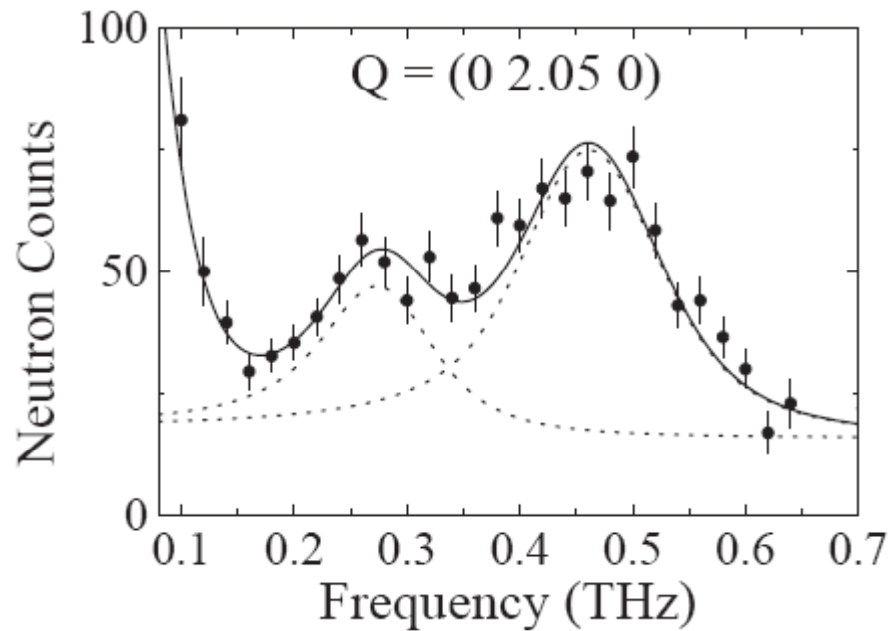


- A phason gap appears, when the discontinuity appears (T. Janssen): $E(q=0)$ is non zero.

Composites

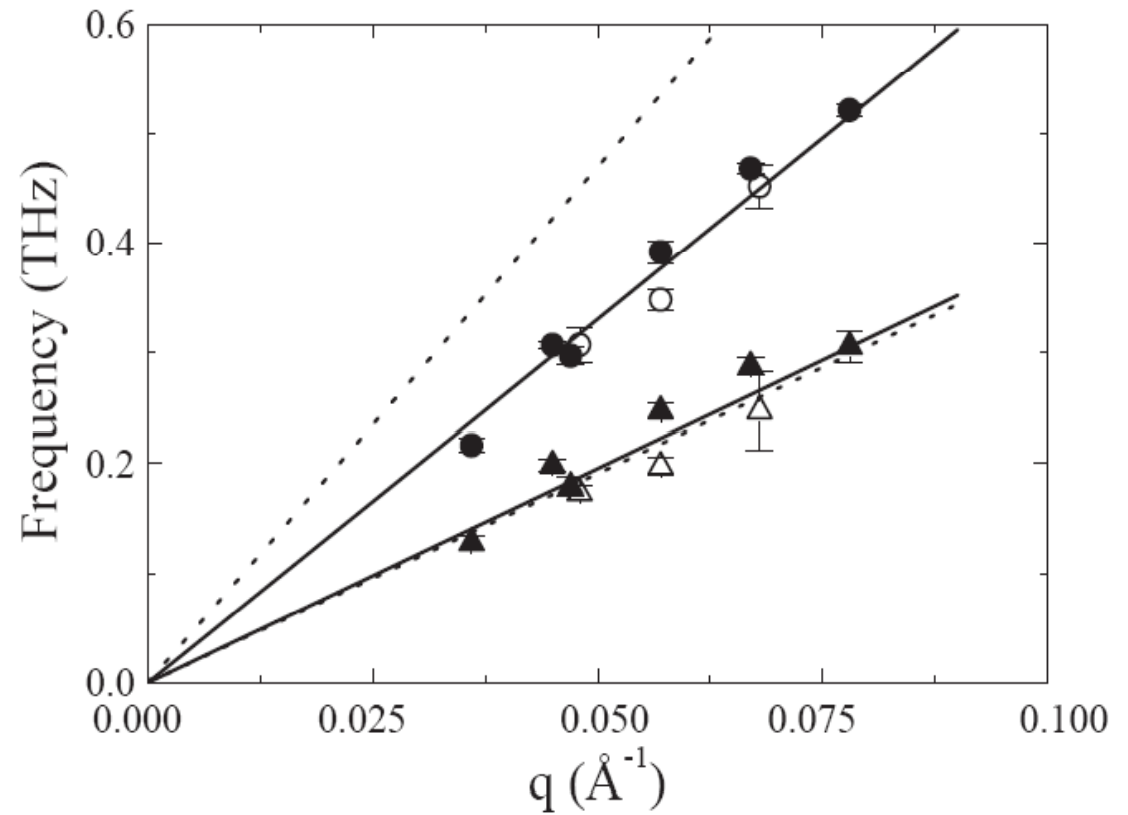
Low-frequency structural dynamics in the incommensurate composite crystal $\text{Bi}_2\text{Sr}_2\text{CuO}_{6+\delta}$

J. ETRILLARD^{1,2}, L. BOURGEOIS¹, P. BOURGES¹, B. LIANG³,
C. T. LIN³ and B. KEIMER³



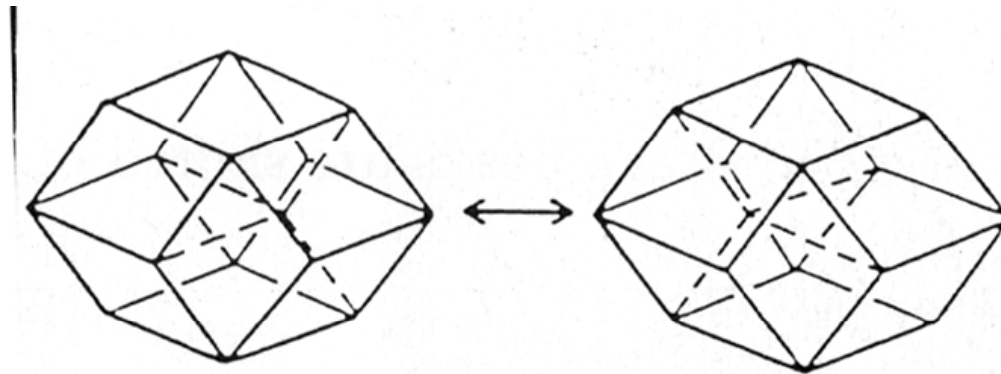
Composites

- The two sublattice react independently
- Two sound velocity
- Sliding modes?



Are phason hydrodynamic modes in QC ?

Simulation on a 3D 'Toy model': 3D Penrose tiling (or Ammann tiling) and the random tiling (Elser, Henley, Tang).



Phason flip in the 3D Ammann tiling .

High T Monte-Carlo simulation (all configurations are equivalent).

Tang has shown that this maximally random tiling behaves as predicted by the hydrodynamic : **PHASON ELASTIC CONSTANT**

Restoring force: configurational entropy: Entropy is maximal for QP state and varies quadratically with phason strain.

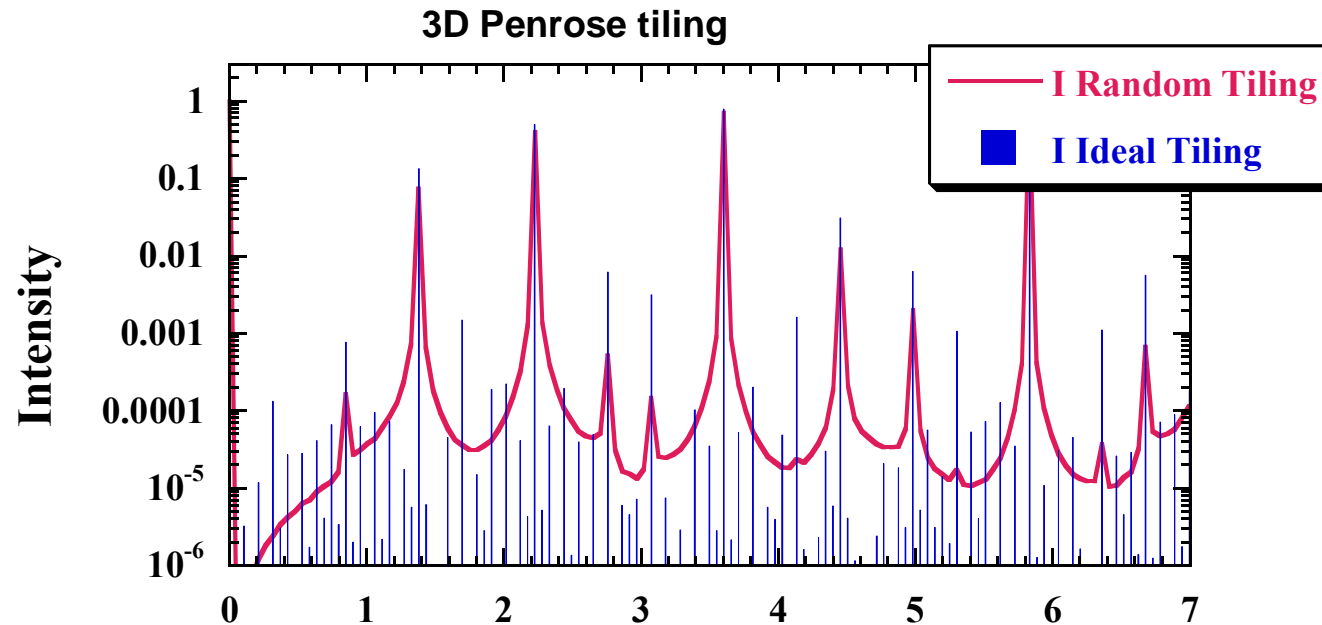


See also chemical effect (Koschella et al.)



Diffuse scattering in the 3D random Penrose tiling.

(From M. Mihalkovic)



- Diffuse scattering in agreement with elasticity theory. K_2/K_1 is related to the tile and phason flip geometry
- Intensity decrease of the Bragg peak intensity proportional to Q_{per} : perpendicular Debye-Waller factor.

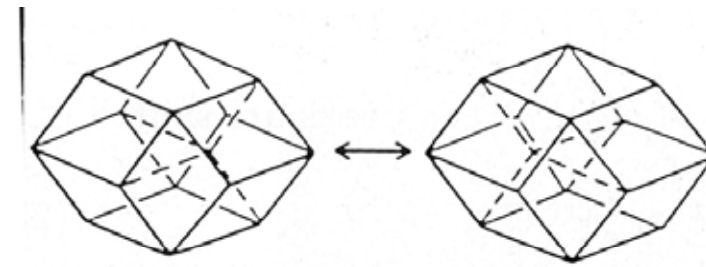
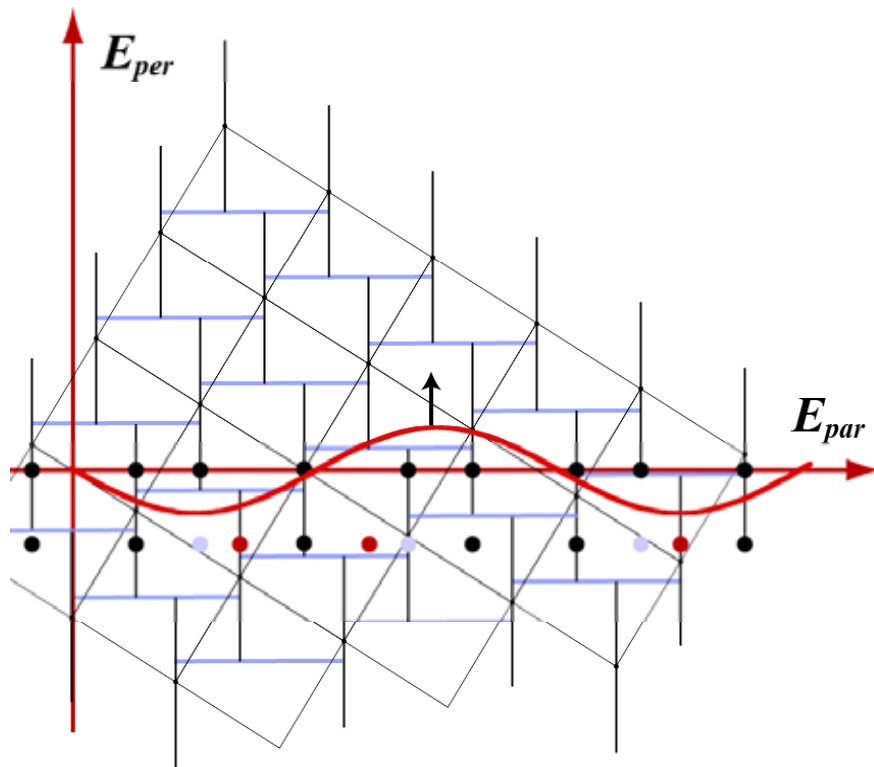
Quasicrystal: analyticity?

- In quasicrystal discontinuous atomic surfaces.
- Jeong and Steinhardt have shown that there is a breaking down of hydrodynamic in a model system with a $T=0$ QC as a ground state
 - **Hydrodynamic behavior only above T_c**
- The 'continuous' parameter is not related to the shape of the atomic surface but to the fluctuations of the cut space (number of tile flip).



Quasicrystal: analyticity?

- **Hydrodynamic behavior only above T_c**
- The ‘continuous’ parameter is not related to the shape of the atomic surface but to the fluctuations of the cut space (number of tile flip).



Entropic model.
‘Entropic’ elasticity.

Summary

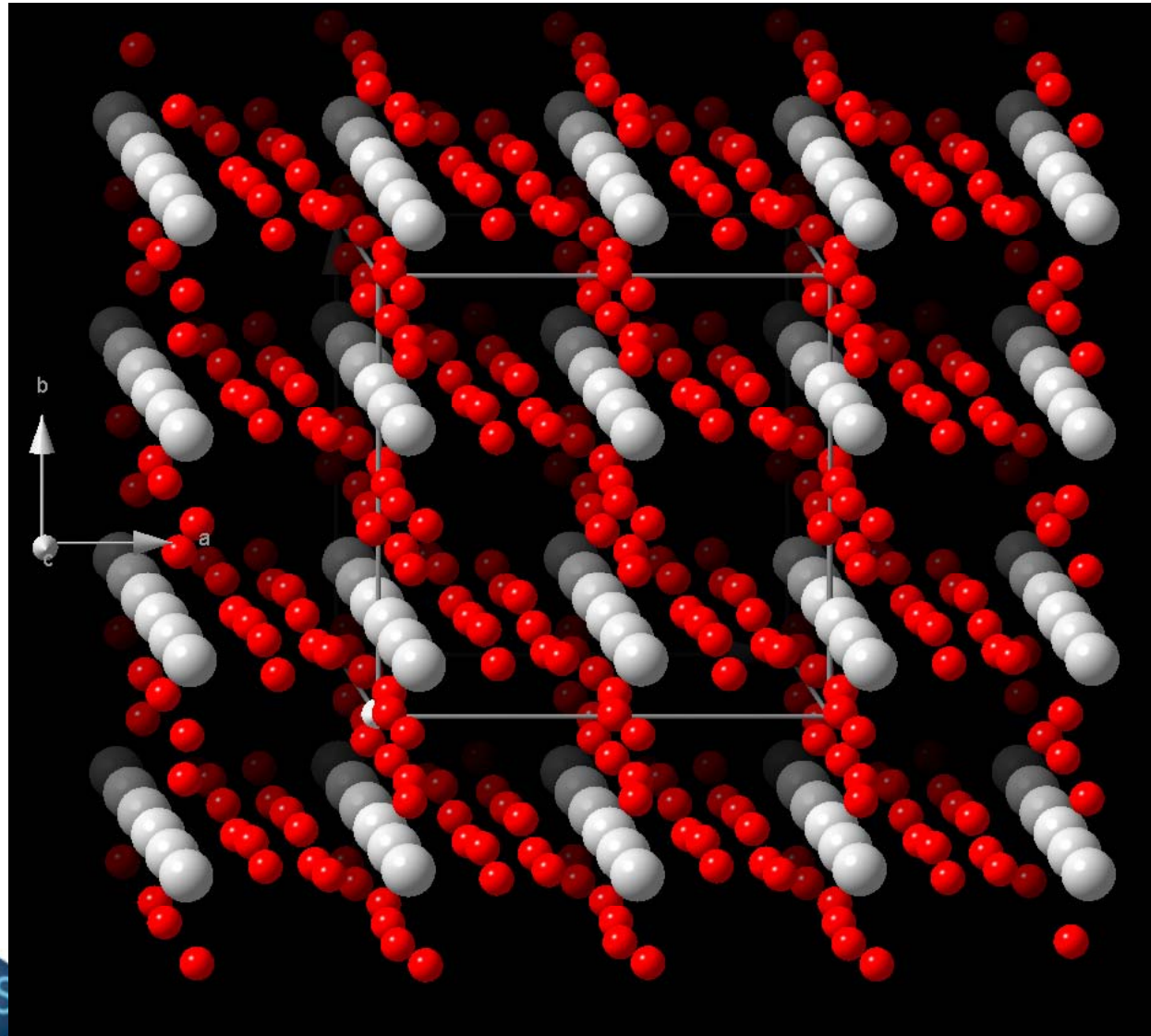
- Atomistic models also predict phason modes
- Regime of wavevector where phason modes are damped propagative modes (incommensurately modulated phases)
- Longer wavelength modes are diffusive
- Quasicrystals: always diffuse modes
- Phason modes in quasicrystal might be interpreted as an entropy term leading to a restoring force



Phason modes: example

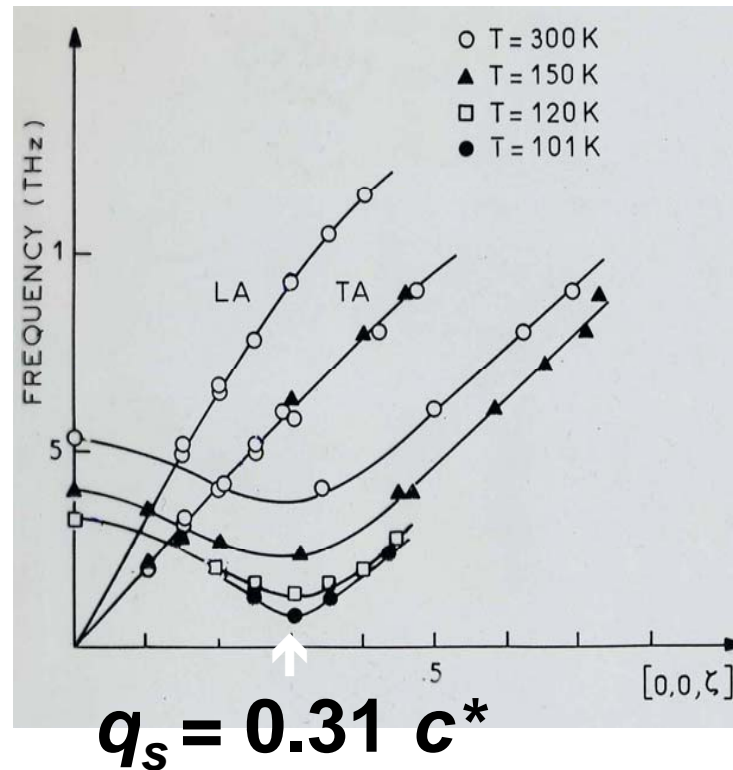


Displacive modulation: ThBr₄



modulation along
c.

Displacive modulation: ThBr₄



T < 95 K Incommensurate modulation along c.

Above T_c: Soft mode transition

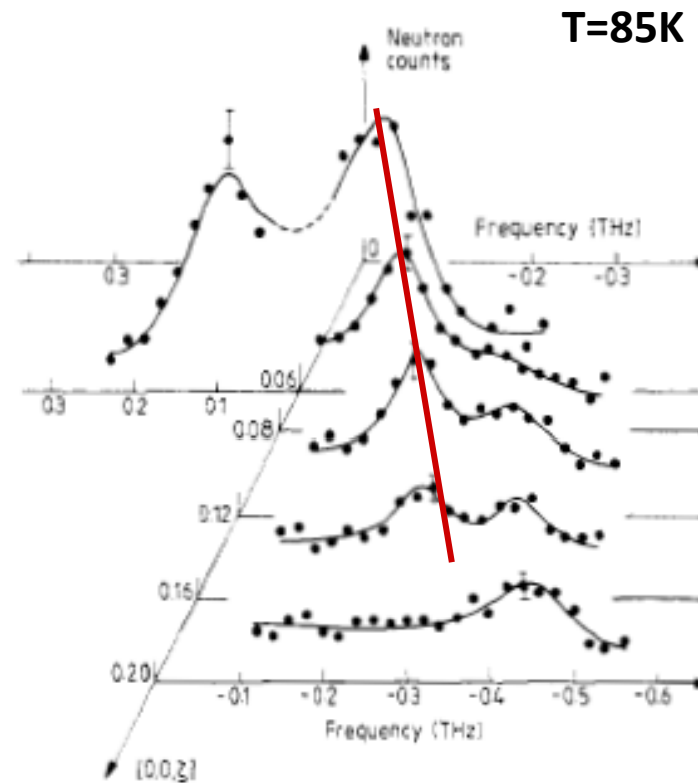
ThBr₄: propagative phason mode

Inelastic neutron scattering: $T < T_c$

Near the satellite reflection: new zone center

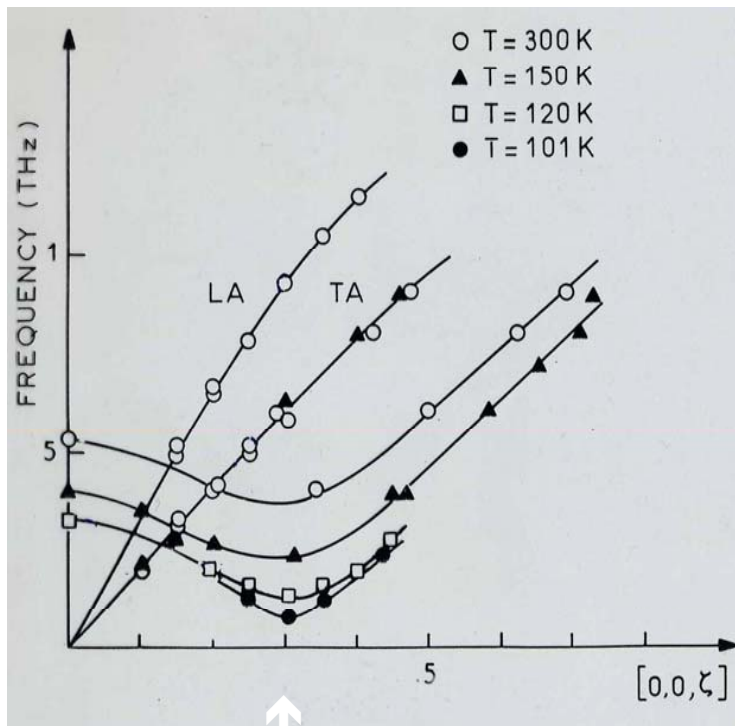
The 'acoustic' like excitation *is not an acoustic phonon*: phason mode

L. Bernard et al

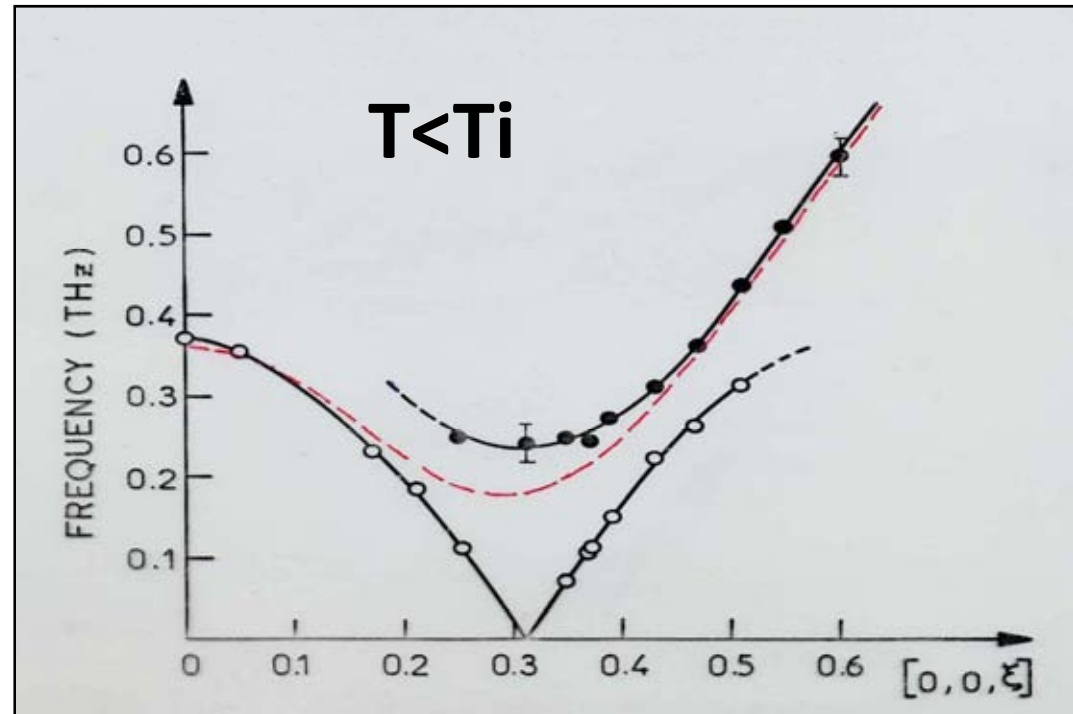


Phason mode: ThBr_4

Dispersion slope is different from the acoustic one



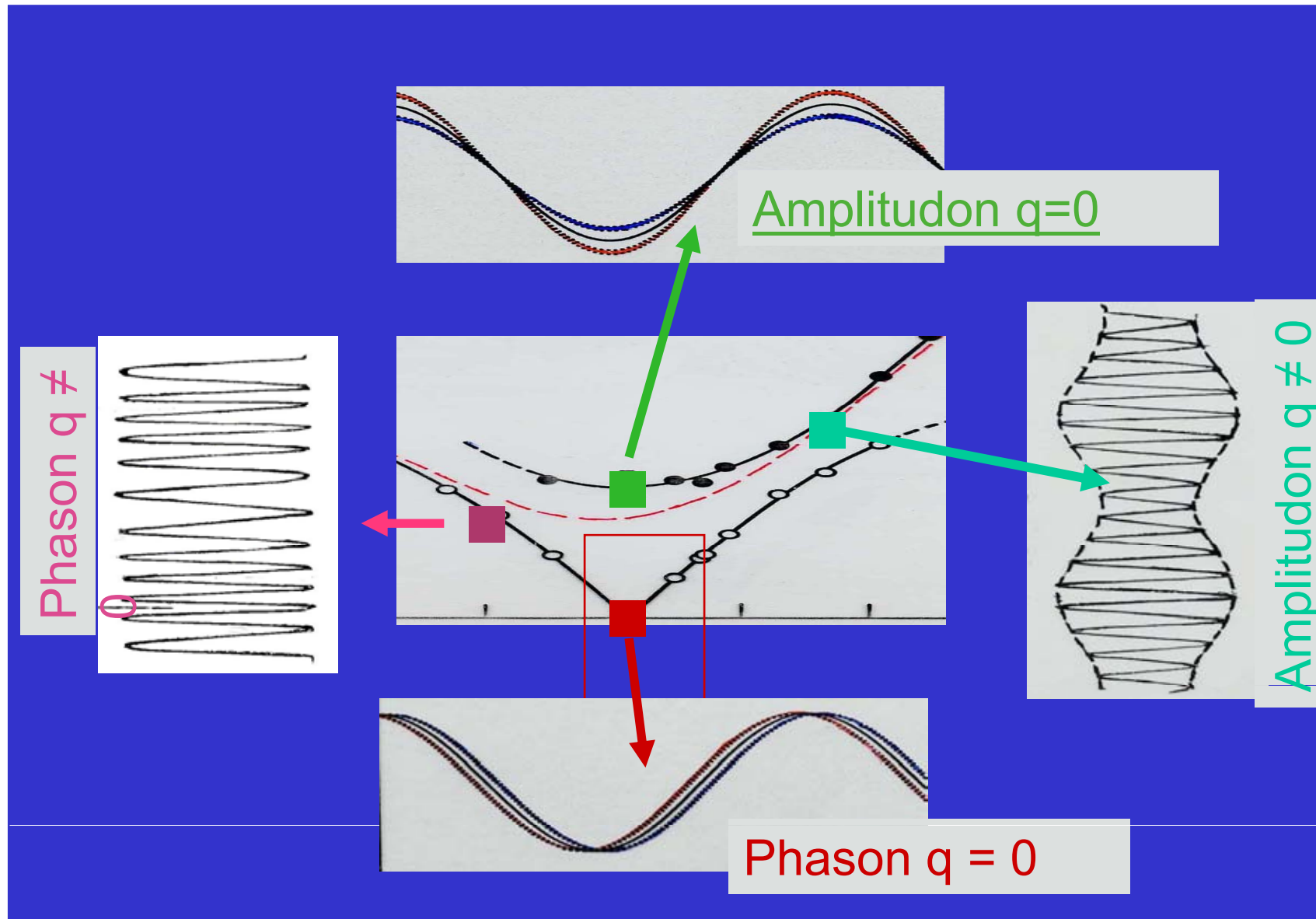
$$q_s = 0.31 c^*$$



Phason and amplitudon mode



ThBr4: Phasons / Amplitudons eigenvectors



Phason modes: ThBr₄

- In fact the hydrodynamic theory predicts only *one* mode: why two propagative phason and not a diffusive mode?
- Need to introduce lifetime: *Lifetime is finite* as q goes to zero. Phason has a finite width.
- Two 'half' hydrodynamic modes are equivalent to a diffusive mode.

$$\Gamma = 0.3 \text{ meV for ThBr}_4$$

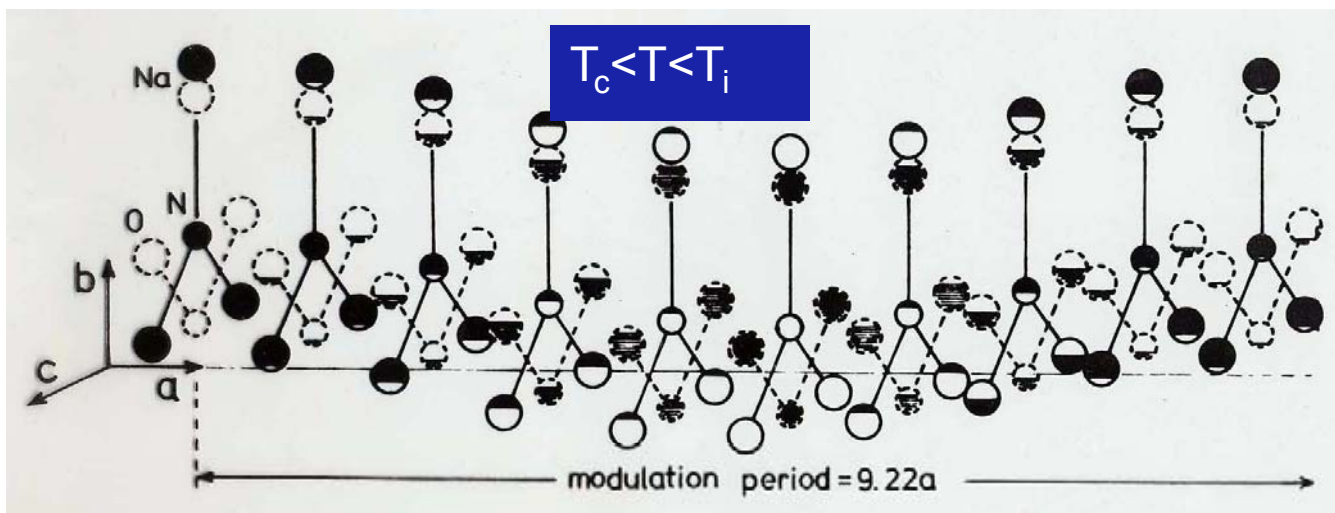
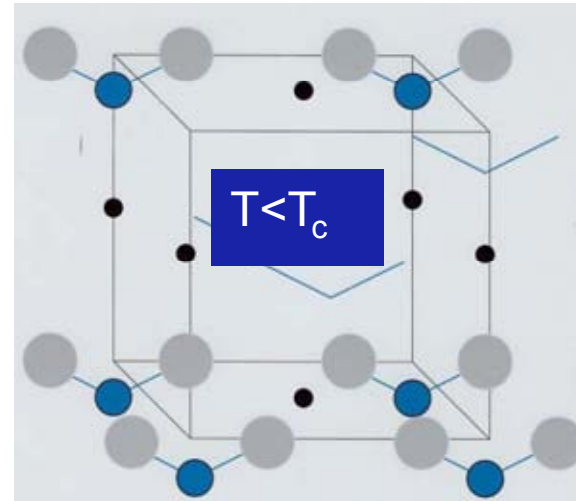
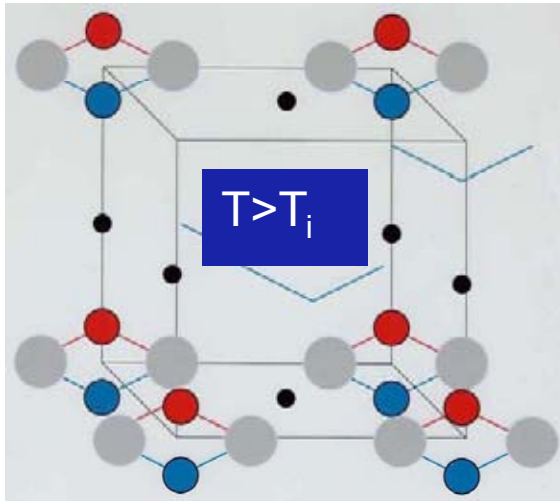
Mean free pass \sim a few 10 nm.

Mode with $\lambda >$ mean free pass, do not propagate.



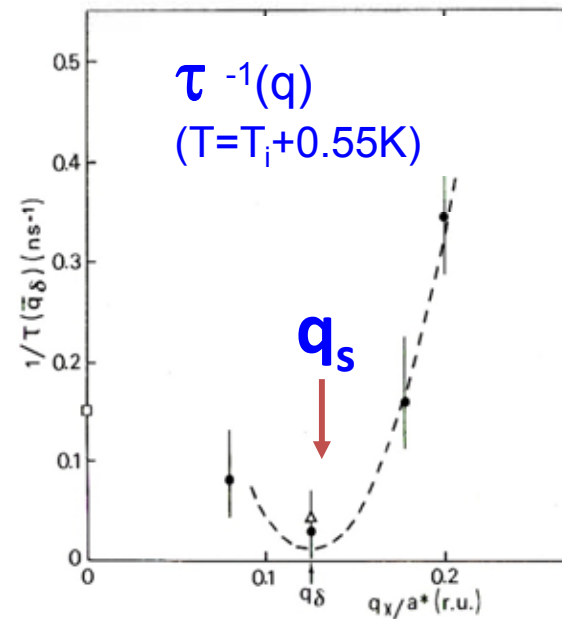
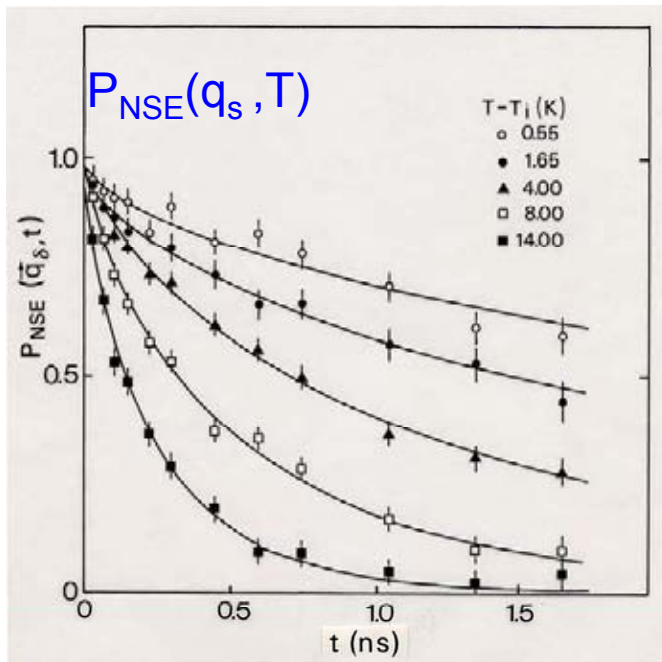
NaNO₂ orientational disorder

Order-disorder phase transition



NaNO₂: diffusive phason mode

Modulation function is smooth but is an occupation probability: diffusive phason mode.



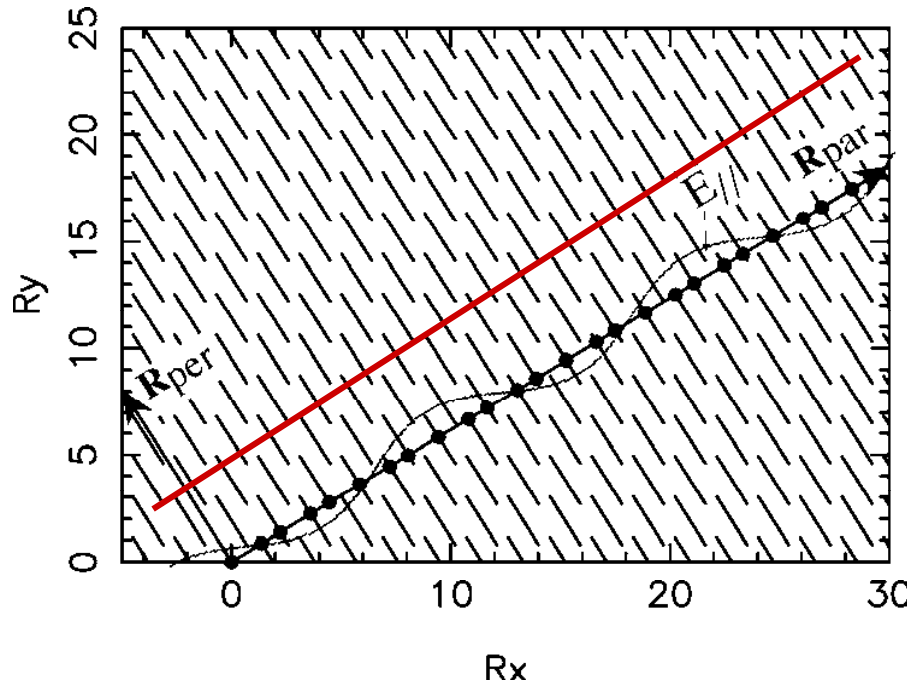
$T < T_i$ $\tau^{-1} = Dq^2$? Hydrodynamic modes ?



D. Durand et al., Phys. Rev. B 43 (1991) 10690



Quasicrystals : Long-wavelength Phasons modes



- Long-wavelength phason modes: **collective diffusive modes**
- **Phason Mode: q and polarisation in perp space**
- $-i\omega = D_{\text{phason}} q^2$ ('dispersion')
- $\exp(-t/\tau)$ $\tau = D_{\text{phason}} q^2$
- Frozen at room T

Measuring long-wavelength phasons: **diffuse scattering**

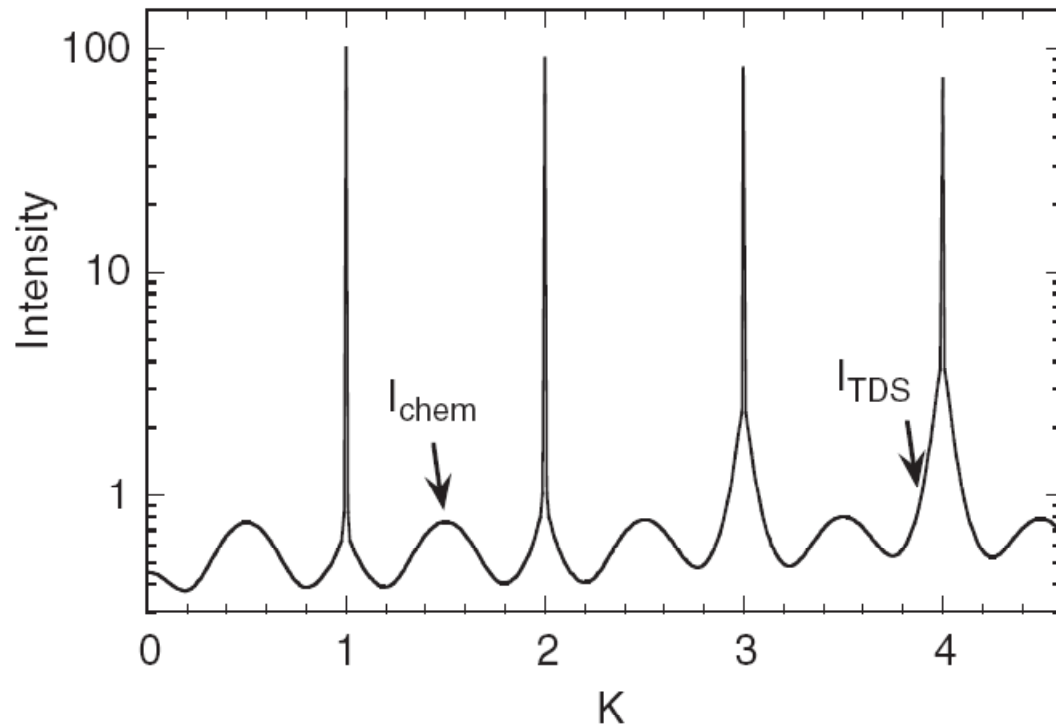
Elasticity theory: calculation of diffuse scattering (similar to TDS) .

Two parameters: K2 and K1 the **phason elastic constants**.

Diffuse scattering

- Structure= Ideal structure + fluctuations
If fluctuations are bounded then

$$S(\mathbf{Q}) = S_{Bragg}(\mathbf{Q}) + S_{Diff}(\mathbf{Q})$$



Bragg FT $\langle \rho(R) \rangle$

Diffus FT

$$\langle \rho(\mathbf{r}_i) \rho(\mathbf{r}_j) \rangle - \langle \rho(\mathbf{r}) \rangle^2$$

Phason modes in quasicrystal

- Hydrodynamics theory and elasticity. For icosahedral phases, K1, K2 phason elastic constant K3 phonon-phason coupling (Jaric; Ishii; Widom)

Phason modes lead to **diffuse scattering** (similar to TDS) which can be computed using the hydrodynamic matrix $C(K1, K2, K3, q)$

- Thermal equilibrium phonon and phason fluctuations
- Scattered intensity in : $\mathbf{Q} = \mathbf{H}_{\text{Bragg}} + \mathbf{q}$

$\mathbf{Q} = \mathbf{H}_{\text{Bragg}}$ Bragg intensity + **Par and Perp Debye-Waller factor**

Fluctuations are limited in par and perp space

$$S_{\text{Bragg}}(\mathbf{H}_{\text{par}}) = S_{\text{Ideal}}(\mathbf{H}_{\text{par}}) \exp(-\langle u_{\text{par}}^2 \rangle H_{\text{par}}^2) \cdot \exp(-\langle u_{\text{per}}^2 \rangle H_{\text{per}}^2)$$

Phason modes in quasicrystal

- **Diffuse scattering**, K_3 negligible: phonon and phason part

$$S_{Diffus}(\mathbf{H}_{par} + \mathbf{q}) = S_{Bragg}(\mathbf{H}_{par}) \langle \mathbf{H}_{par} | C_{par,par}^{-1}(\mathbf{q}) | \mathbf{Q}_{par} \rangle \\ + S_{Bragg}(\mathbf{H}_{par}) \langle \mathbf{H}_{per} | C_{per,per}^{-1}(\mathbf{q}) | \mathbf{H}_{per} \rangle$$

Three main characteristics of phason diffuse scattering

- $S(\mathbf{Q}+\mathbf{q})$ decays as $1/q^2$
- Intensity is proportional to $I_{Bragg} Q_{per}^2$
- Shape anisotropy depends on K_2/K_1 and K_3
- For Bragg peak along the same axis, and for weak K_3

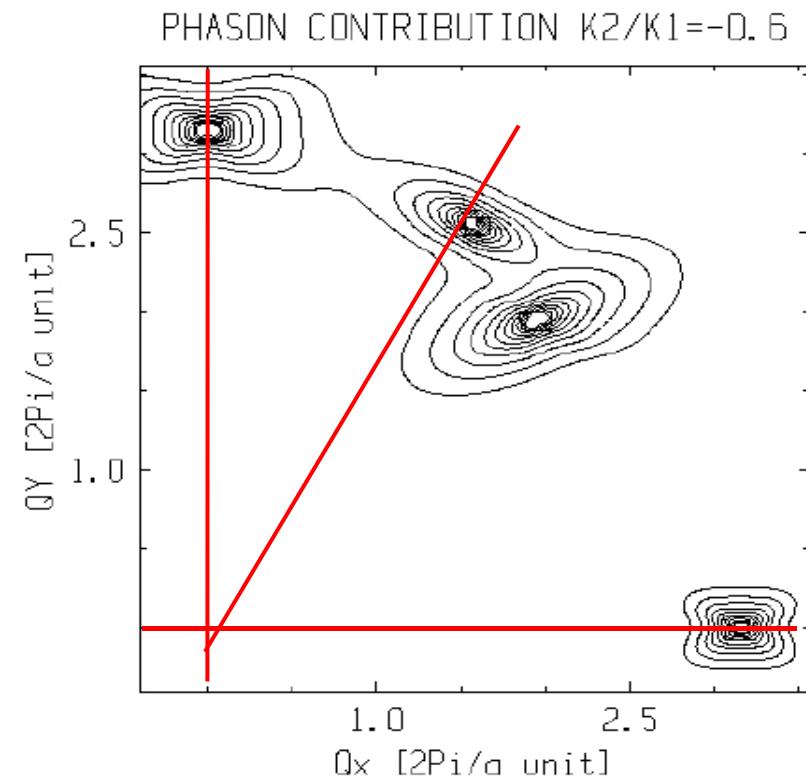
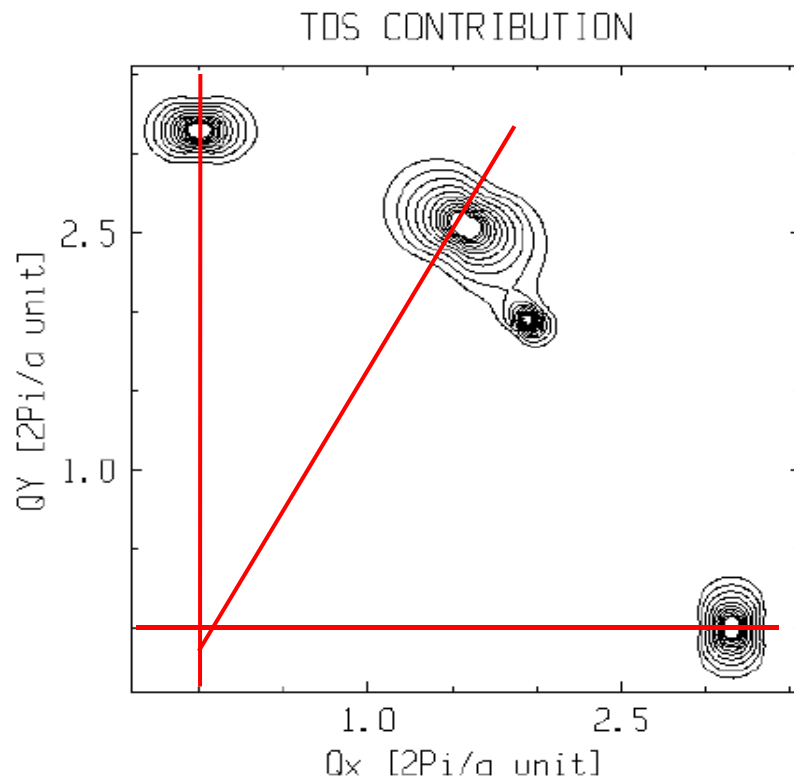
$$I(\mathbf{Q}+\mathbf{q}) = \alpha(\mathbf{q}) I_{Bragg} Q_{per}^2 / q^2$$

$\alpha(\mathbf{q})$ depends only on the q direction

DIFFUSE SCATTERING

Phonon modes: 3
polarisation, longitudinal and
transverse

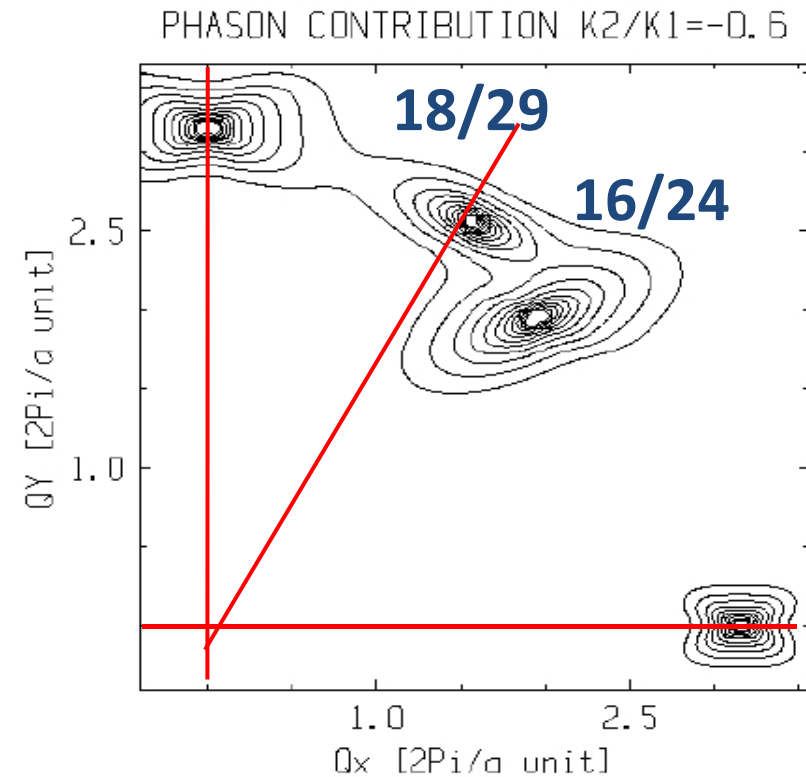
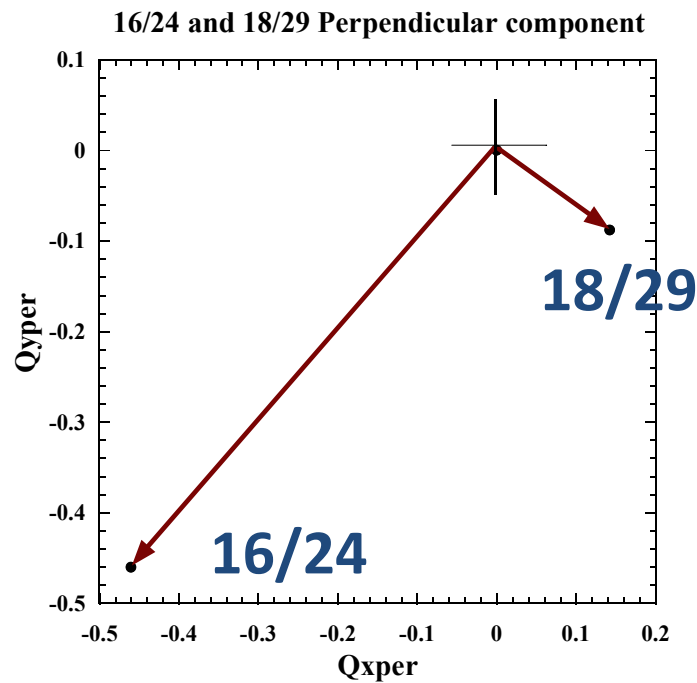
Phason modes: 3 polarisation
 $\mathbf{e}_{\text{per}} \cdot \text{'Selection rule'}$ $\mathbf{e}_{\text{per}} \cdot \mathbf{H}_{\text{per}}$



DIFFUSE SCATTERING

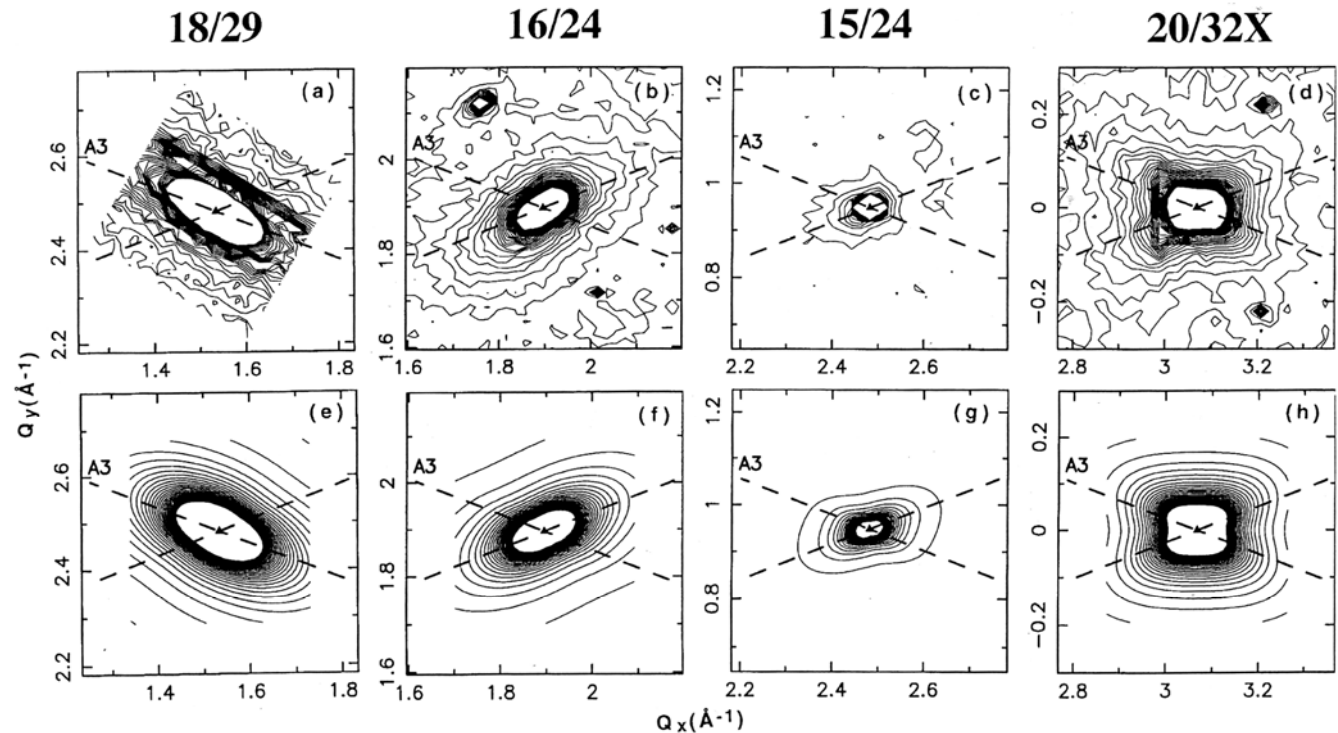
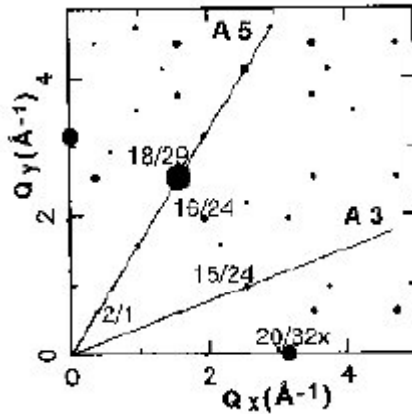
Selection rule: perpendicular
Component are almost
orthogonal

Phason modes: 3 polarisation
 $\mathbf{e}_{\text{per}} \cdot \text{'Selection rule'}$ $\mathbf{e}_{\text{per}} \cdot \mathbf{H}_{\text{per}}$



i-ALPdMn: Neutron diffraction

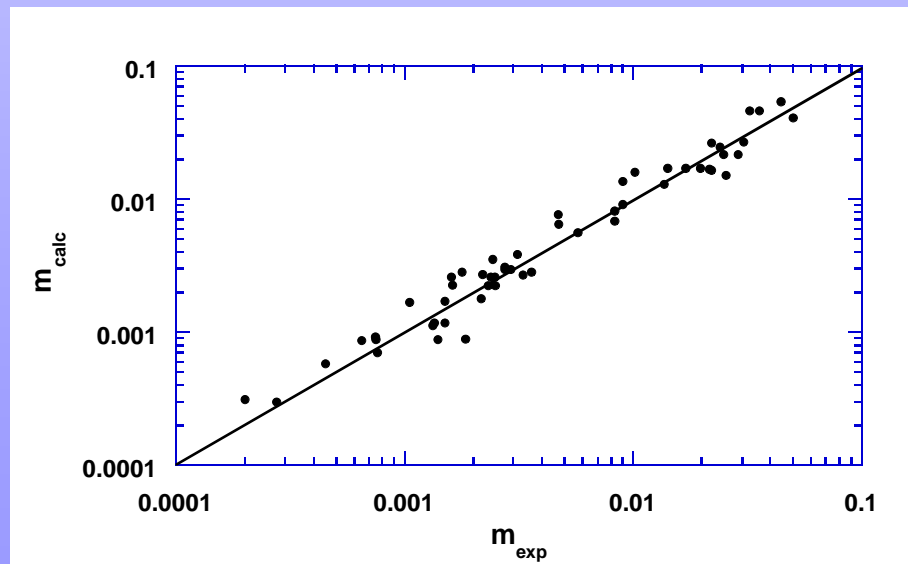
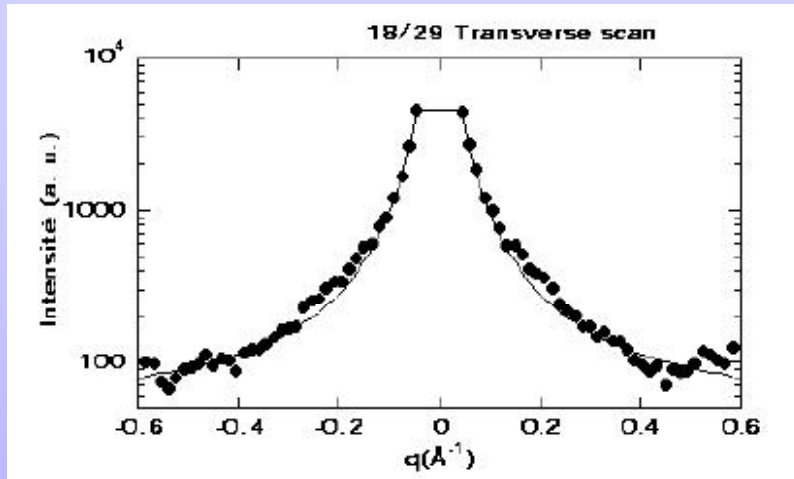
- Analyser: no TDS contribution
- The diffuse scattering around Bragg reflections is anisotropic
- Phason contribution to the diffuse scattering: 15/24 and 16/24 reflections



Simulation with a single parameter: $K2/K1 = -0.52$



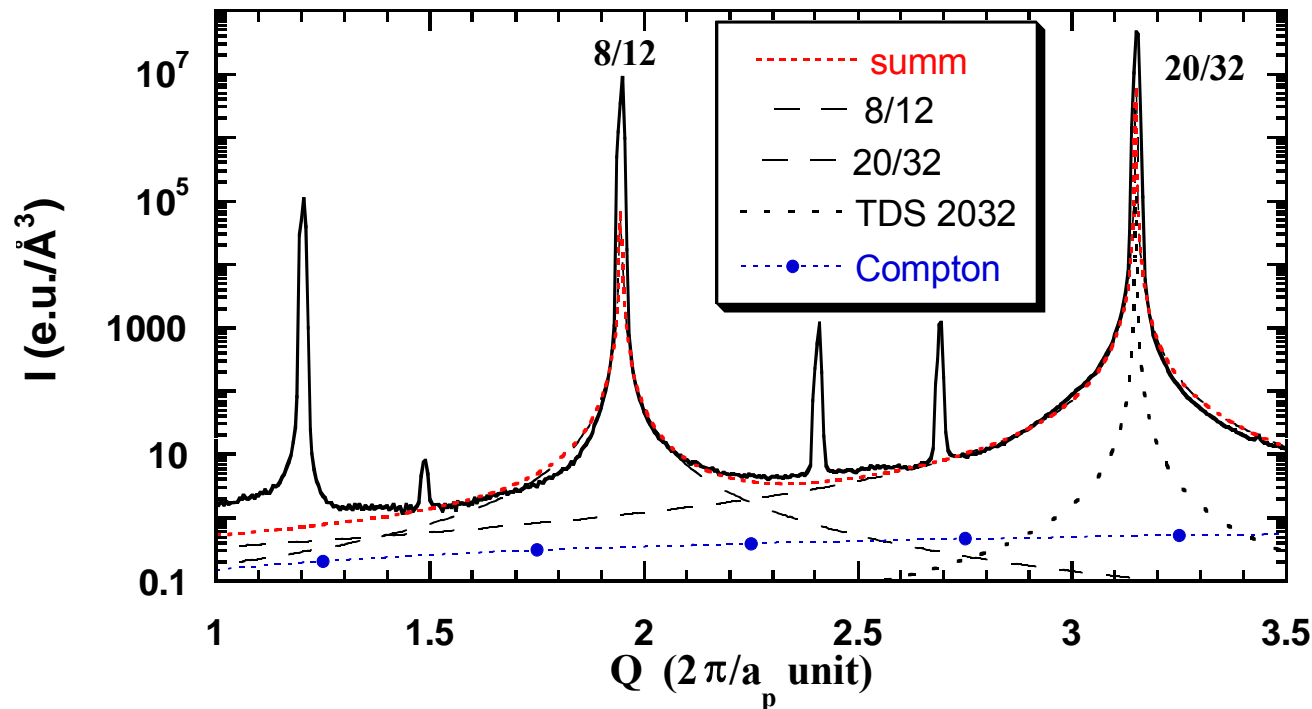
Neutron data



- Absolute scale measurement.
- $1/q^2$ fit along several directions.
- $K1/k_B T = 0.1 \text{ atom}^{-1}$
- $K2/k_B T = -0.052 \text{ atom}^{-1}$
- Good agreement with experimental data measured around 11 reflections and 4 directions. $R=0.2$

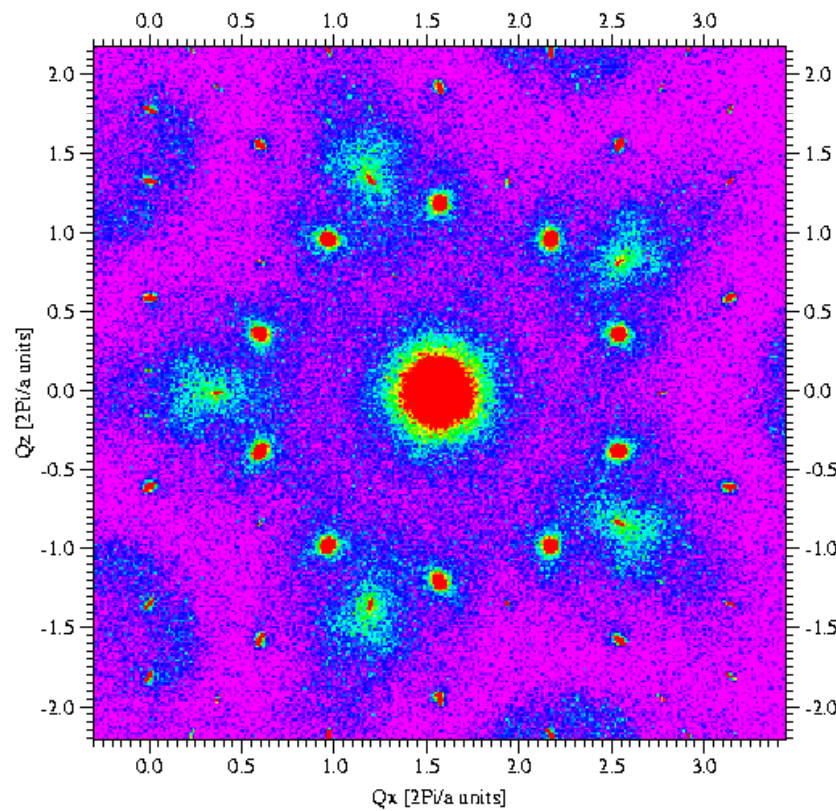
Absolute scale X-ray data (ESRF, D2AM)

- $K1/k_B T = 0.06 \text{ atom}^{-1}$ $K2/k_B T = -0.03 \text{ atom}^{-1}$
- Absolute scale measurement allows comparison between different sample and quasicrystals.

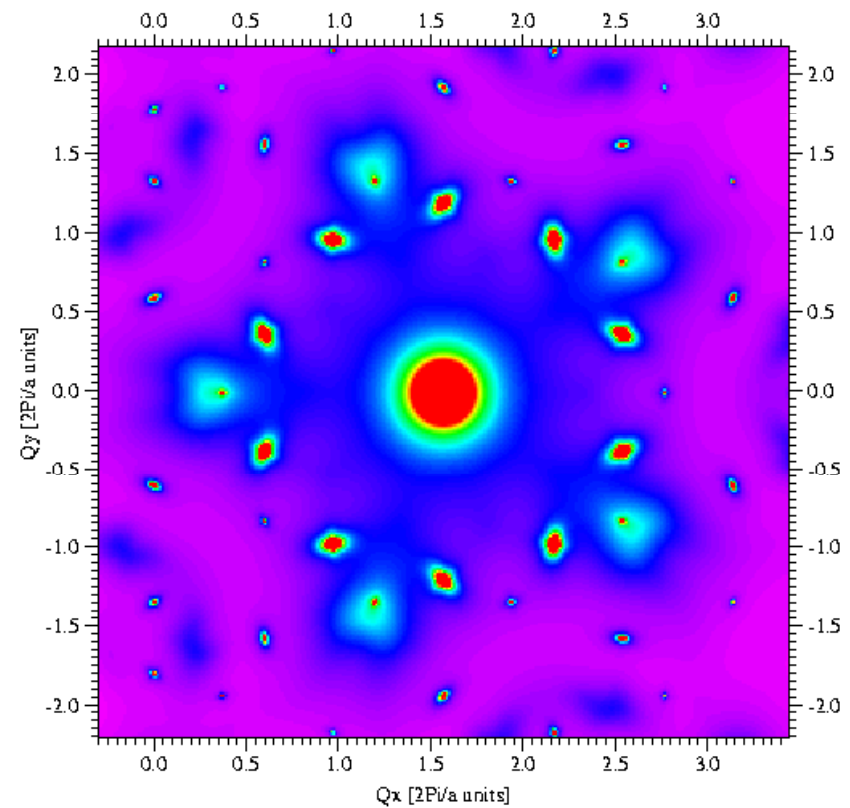


Phason fluctuations explains 90% of the observed diffuse scattering

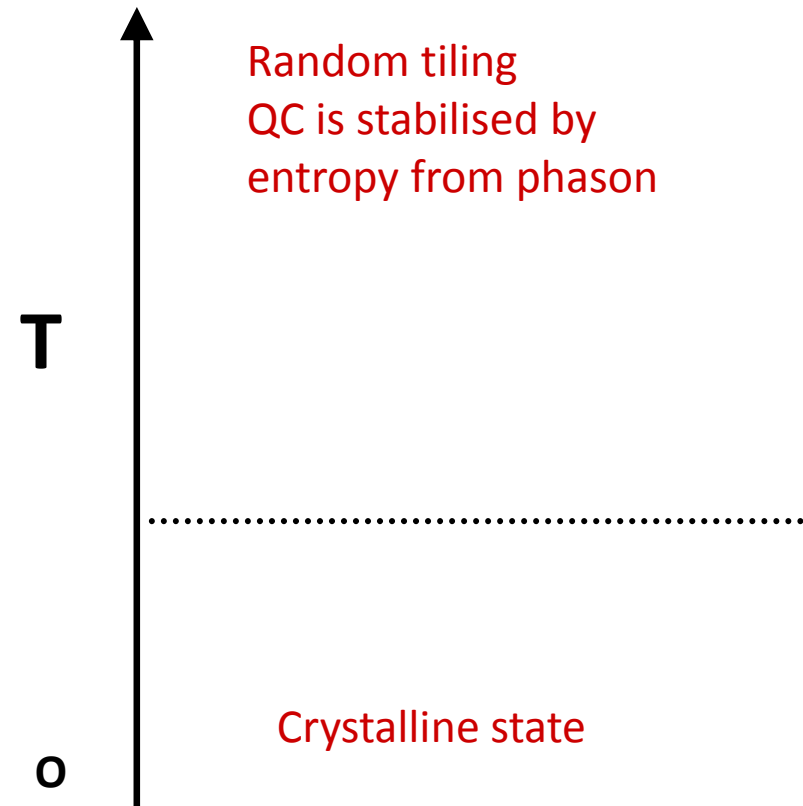
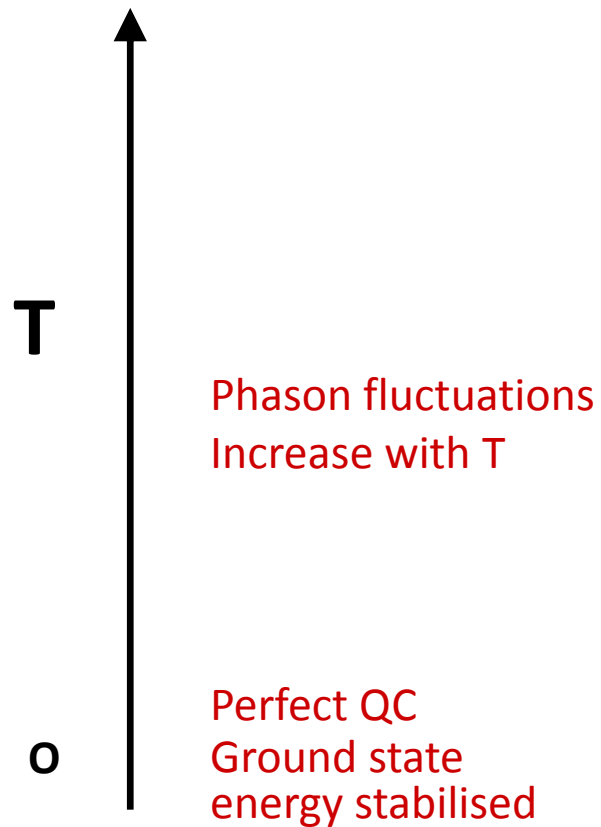
Measurement (rotating anode)



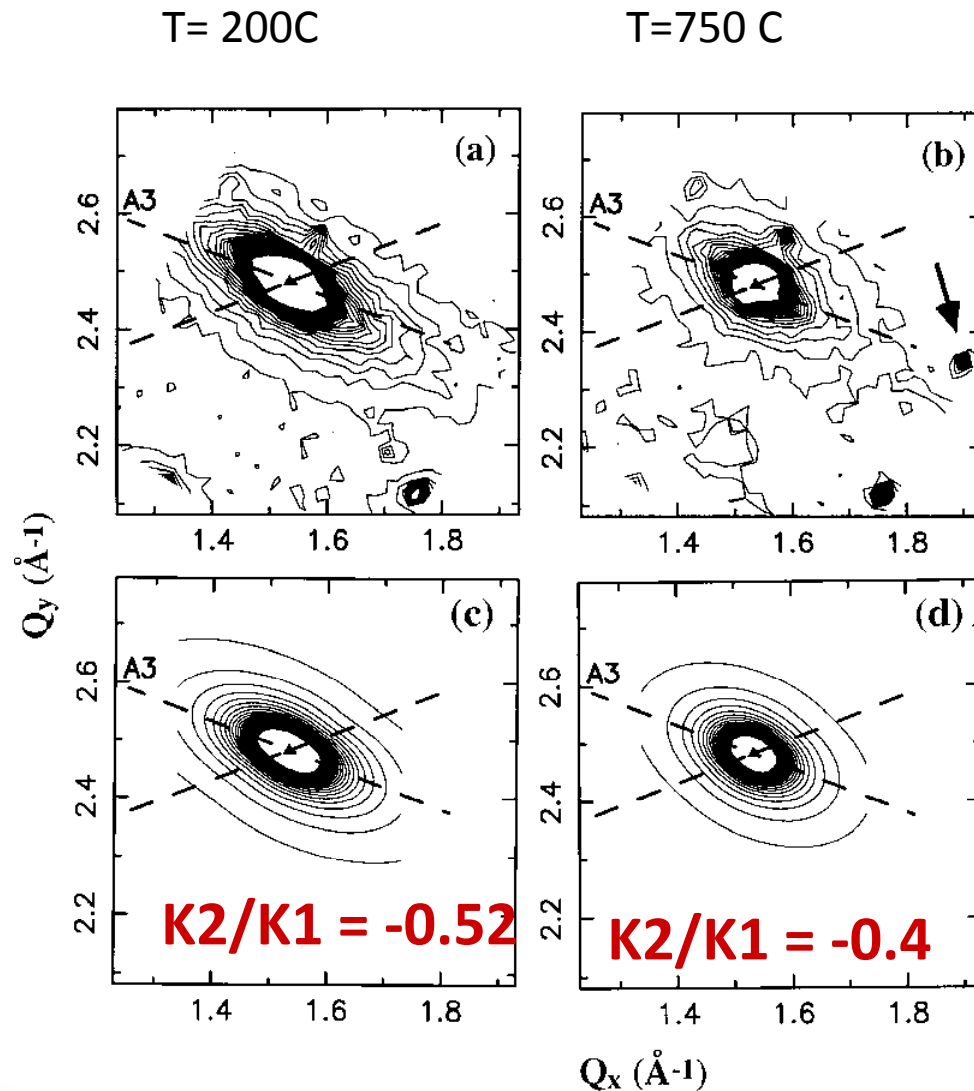
Simulation



Stability: Two competing (simple) models



i-AlPdMn. Temperature neutron diff study



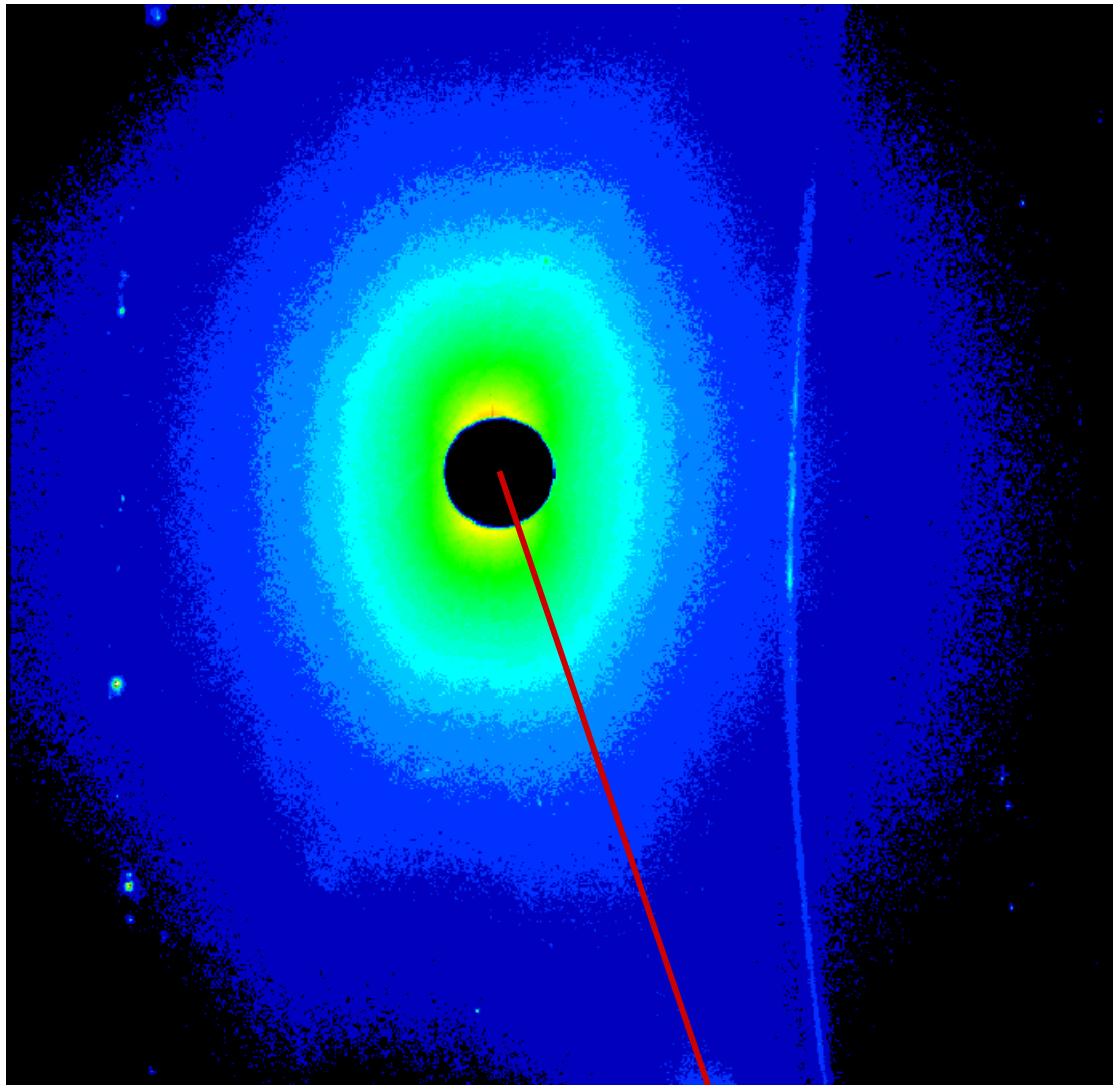
Diffuse scattering diminishes when T increases.

High Q per Bragg peaks intensity increases.

Phason softening when T decreases.

close to a 3-fold instability.

i-AlPdMn quasicrystal. *In situ* *T* study



T evolution of the
diffuse Scattering

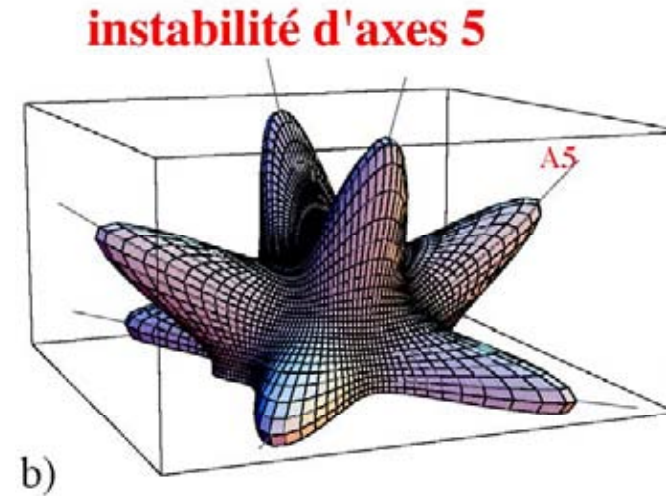
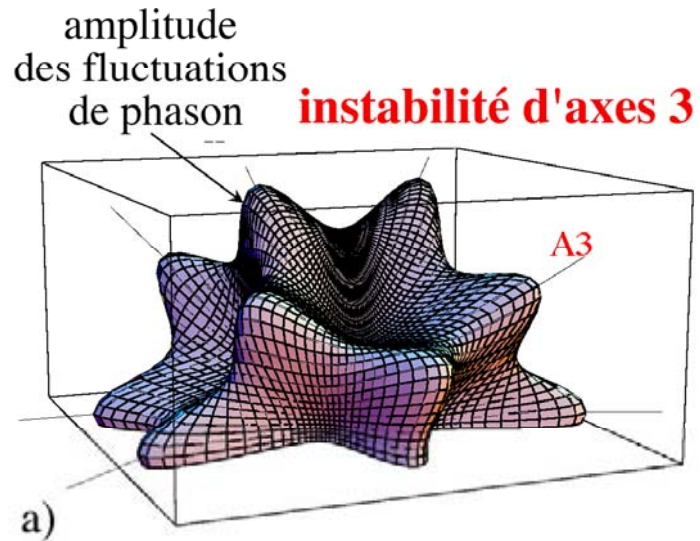
FROM 750C to 500C

In situ X-ray.

*The diffuse
scattering is due to
pre-transitional
fluctuations (3-fold),
with a phason
softening*

Agreement with the
random-tiling scenario

3fold



3-fold instability: $K2/K1 = -0.75$

5-fold instability: $K2/K1 = 0.75$

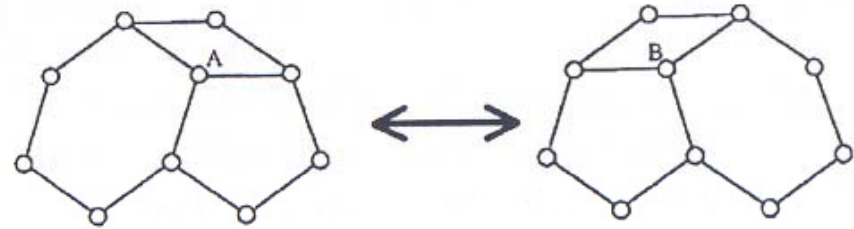
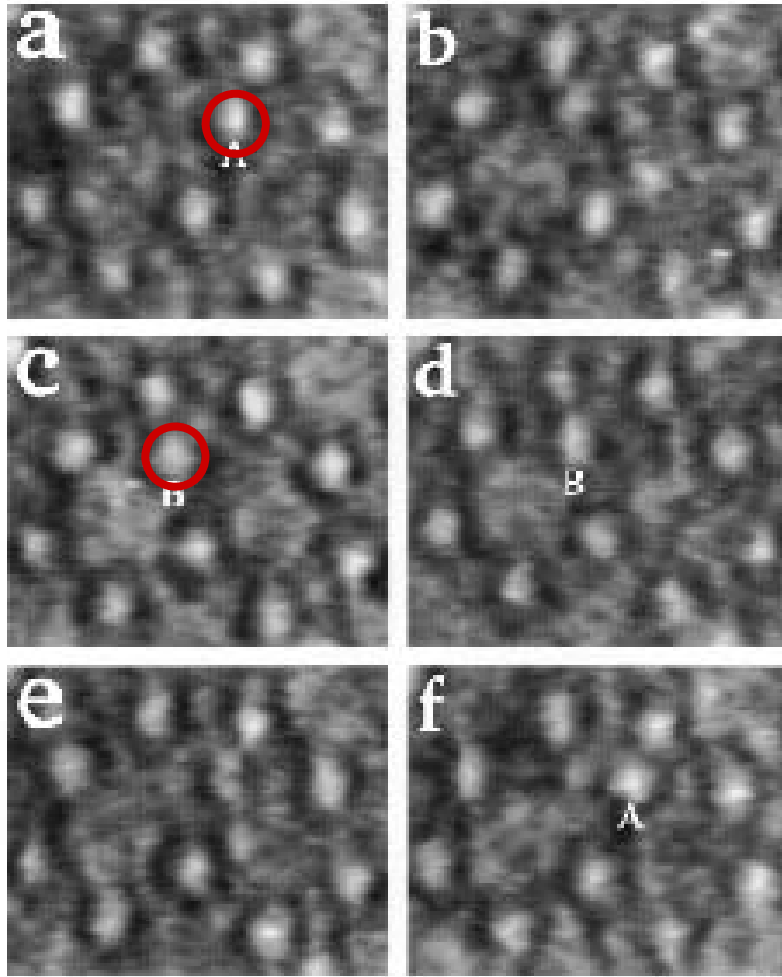
- ***Experimentally $K2/K1 = -0.52$ at RT and -0.4 at 700°C***

- ***Consistant with a softening of the phason mode:***

Phason diffuse scattering can then be interpreted as pre-transitional fluctuations.

Consistent with the random tiling scenario

Phason dynamics

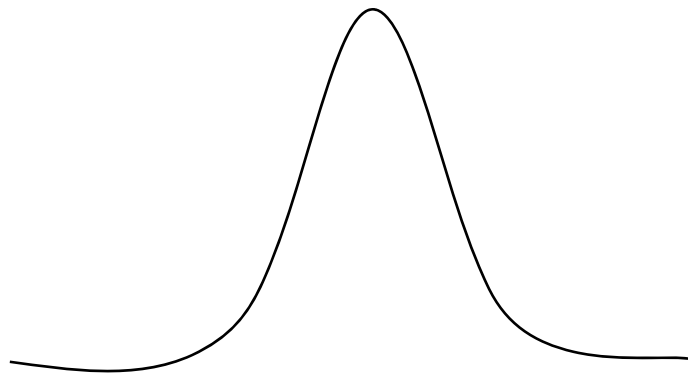


- HRTEM observed at 1123 K in d-AlCuCo (Edagawa et al., PRL, 8, 1676, 2000)
- Local 'phason Jump' , involving atoms columns.
- Time scale : 10 sec

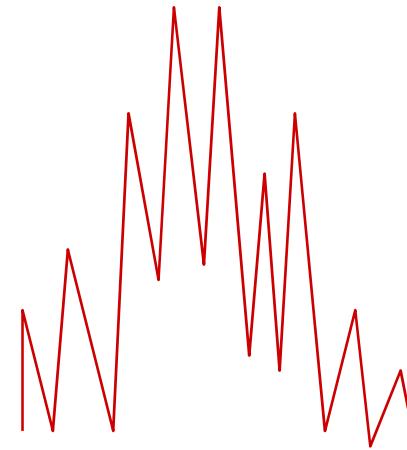


Long-wavelength phason dynamics

- **Collective diffusive mode:** exponential time decay of the correlations. Time scale? Too slow for inelastic neutron scatt.
- **Experimental study:** coherent X-ray scattering (ID20, ESRF)

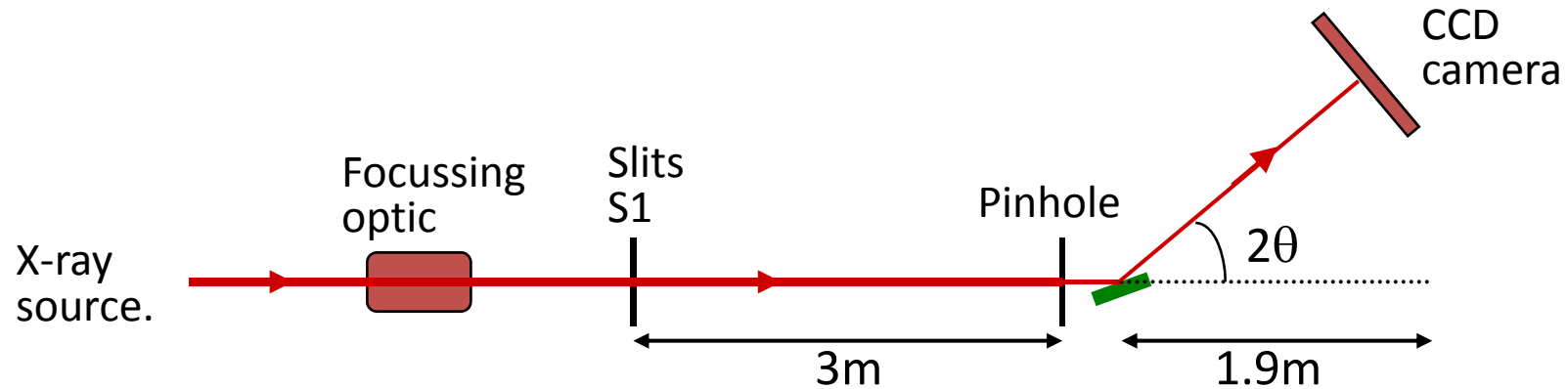


Incoherent scattering



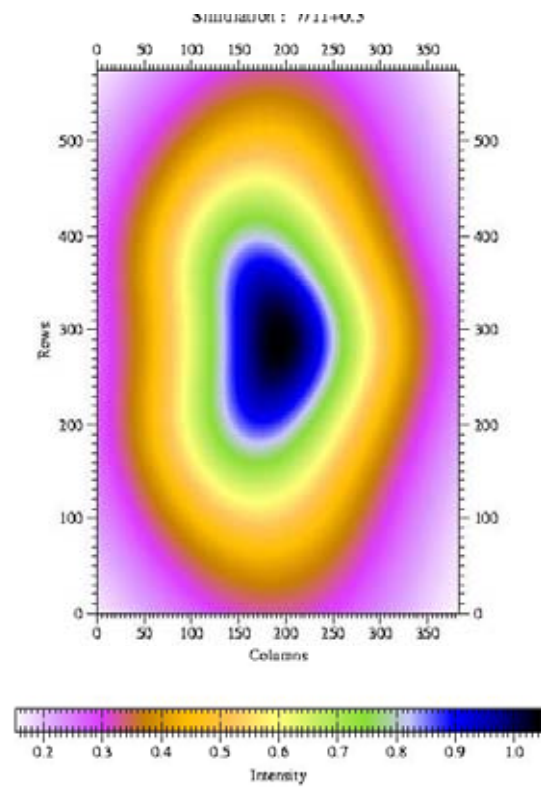
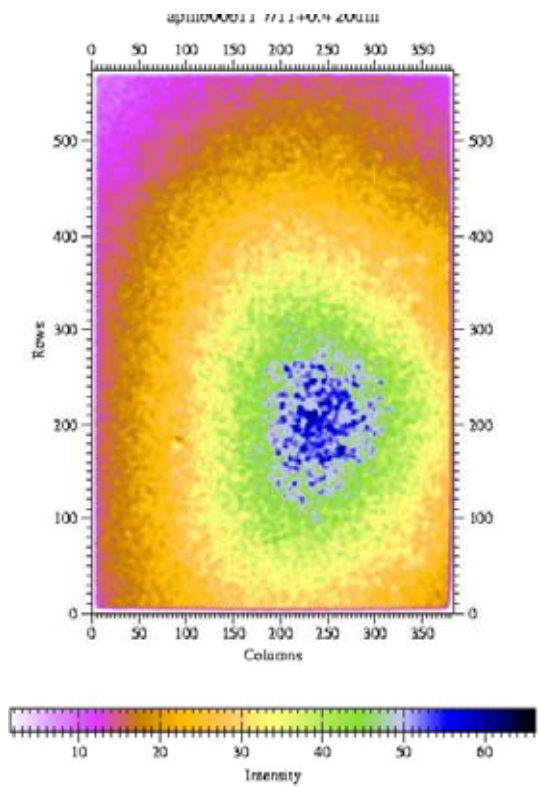
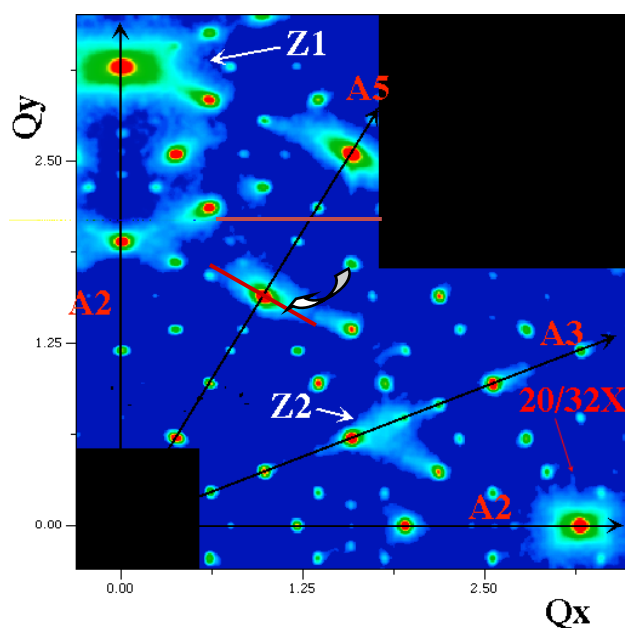
Coherent scattering

Experimental set up: ESRF ID20

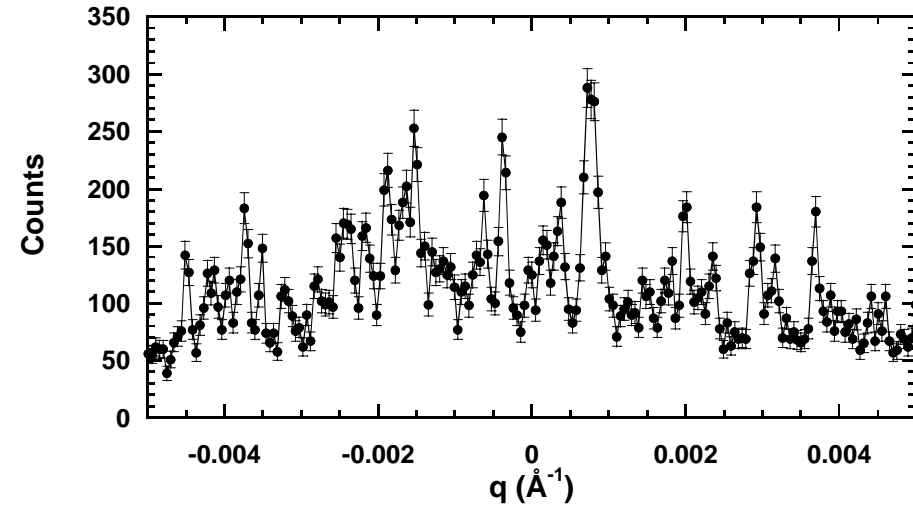
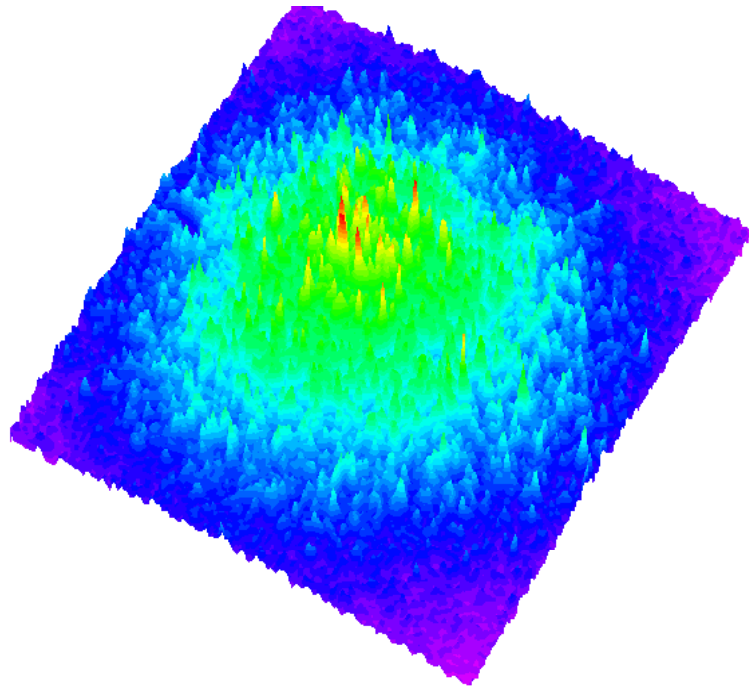


- Slits: S1 60x60 μm ; Circular pinhole $D=10 \mu\text{m}$
- CCD: Directly illuminated (photon counter); pixel 22x22 μm ; $\Delta q = 5 \cdot 10^{-5} \text{\AA}^{-1}$; Total area: $2 \cdot 10^{-2} \text{\AA}^{-1}$
- Partial coherence 11% ; Flux 10^9 photon/s

- Measurement around the 7/11 5-fold reflection (1, t, 0)
- Along the direction of maximum intensity: (t,-1,0)



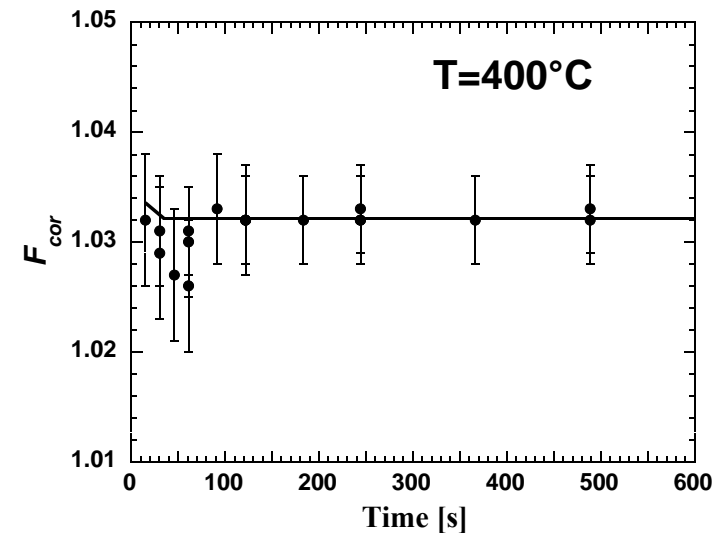
- The diffuse scattering intensity presents a **speckle pattern**



Time dependence of the speckle pattern in the diffuse scattering

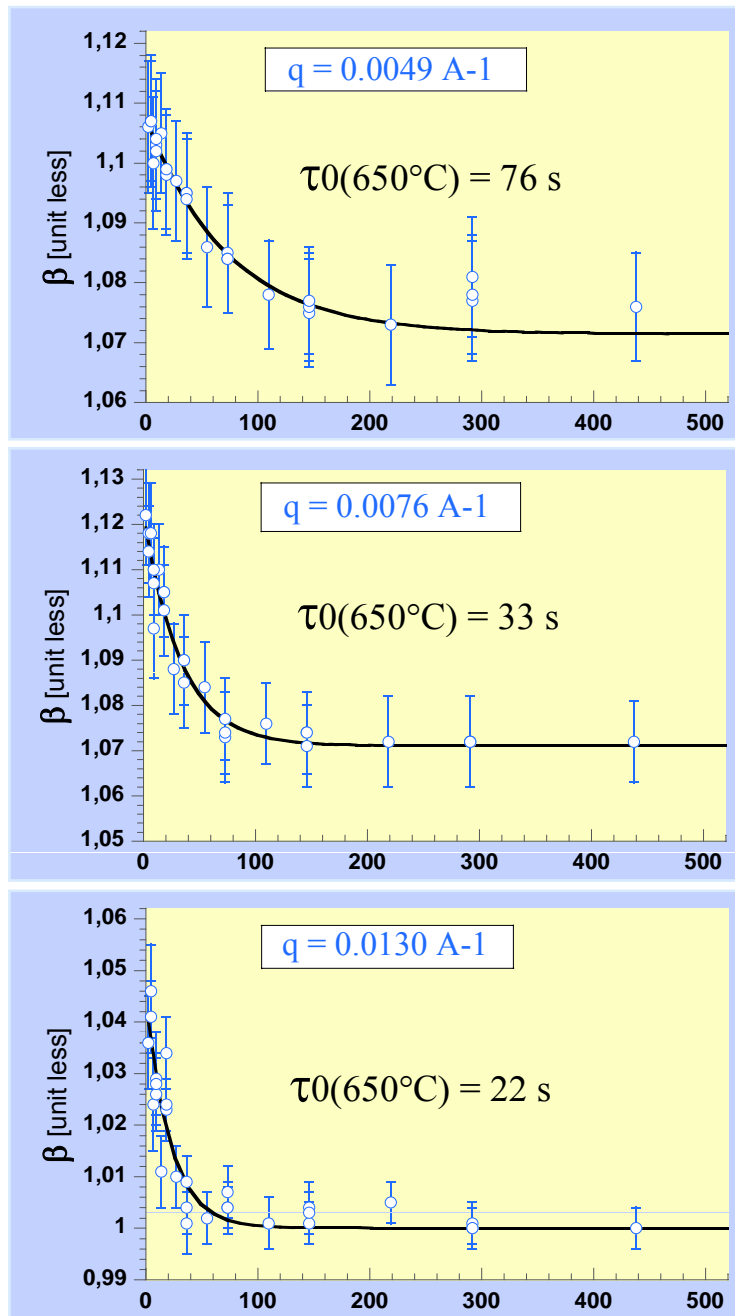
→ Time dependence of phason fluctuations.

Intensity correlation = $f(t)$



Time dependence of the speckle pattern

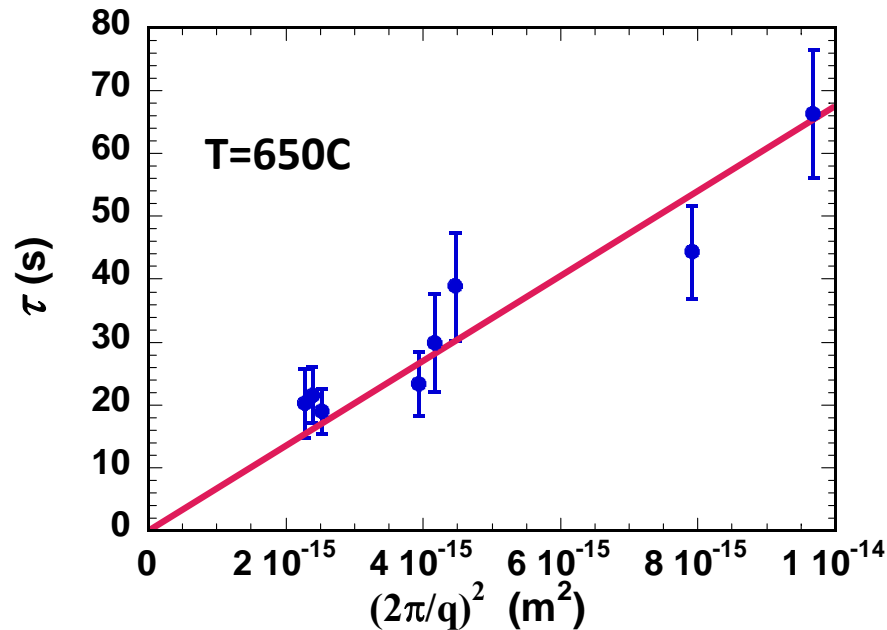
T=650°C



- Intensity correlation as a function of time
- Exponential decay fit:
$$F_{\text{correl}} = 1 + \beta \cdot \exp(-t/\tau)$$
- Such a decay is expected for a **diffusive** process.
- τ should be proportional to q^{-2}

S. Francoual et al. Phys. Rev. Lett. , 22, 2003

Phason fluctuations: a diffusive process

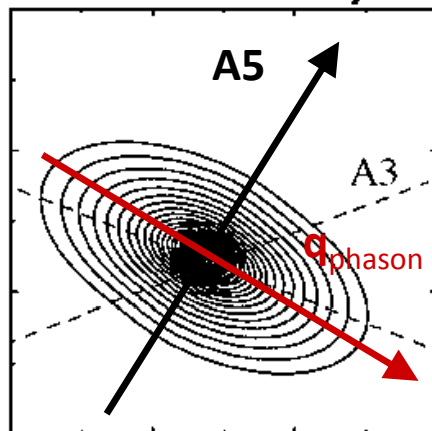


- $D_{\text{phason}} = 1/q^2\tau$

- $D_{\text{phason}} = 2.2 \cdot 10^{-18} \text{ m}^2\text{s}^{-1}$

- Phason 'dispersion curve'

S. Francoual et al. Phys. Rev. Lett. , 22, 2003



D_{phason} is anisotropic (3 values)

$q_{\text{phason}} = (-\tau, 1, 0)$, slow direction

Analogous to sound velocities

Phason fluctuation: Activated process

- Strong variation with temperature
- **Activation energy ~ 3 eV**

$$D_{\text{phason}} = 2.2 \cdot 10^{-18} \text{ m}^2\text{s}^{-1}$$

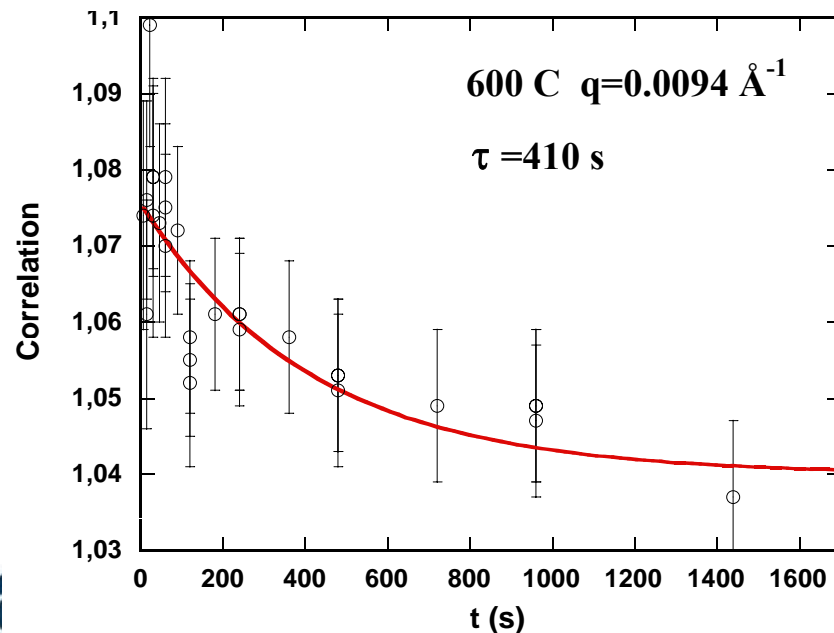
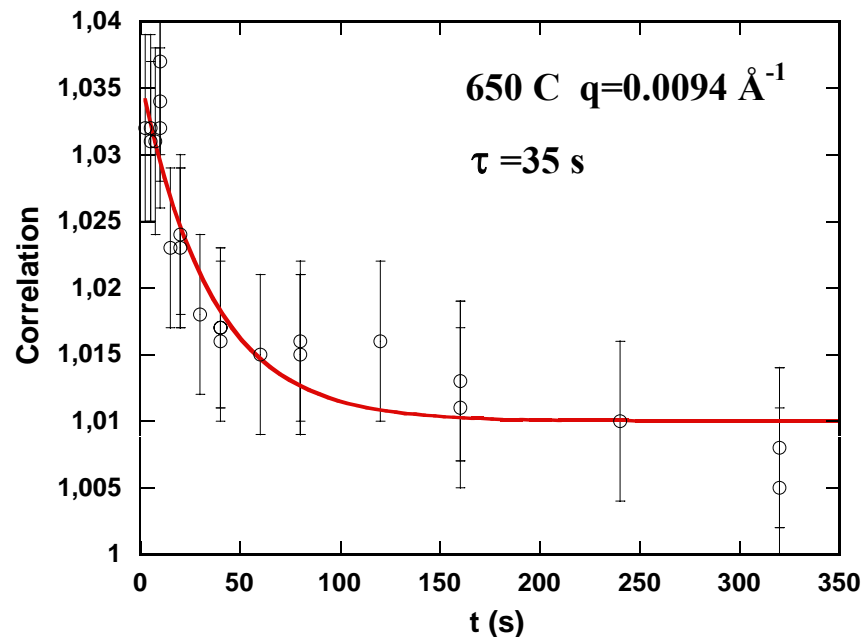
- **Comparison with atomic diffusion:**

Mn is a slow diffuser

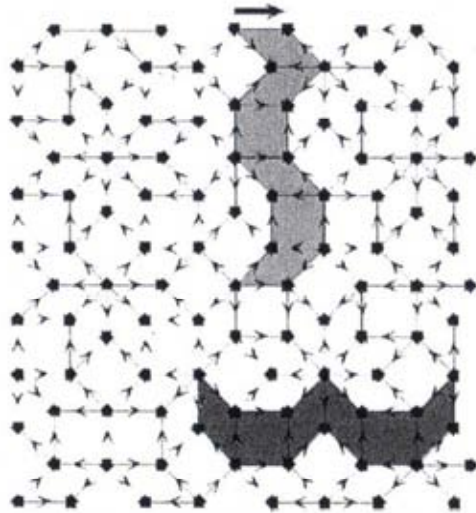
$$T=650^\circ\text{C} \quad D_{\text{Mn}} = 10^{-14} \text{ m}^2\text{s}^{-1}$$

$H \sim 2$ eV.

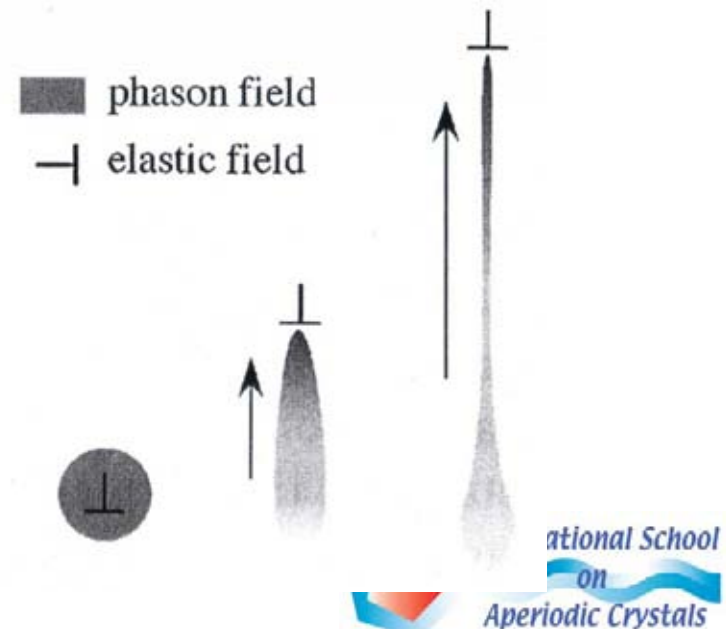
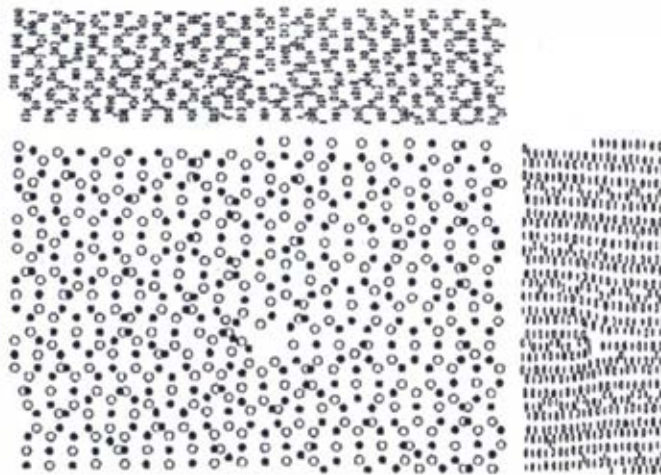
(H Mehrer et al.)



Mechanical properties



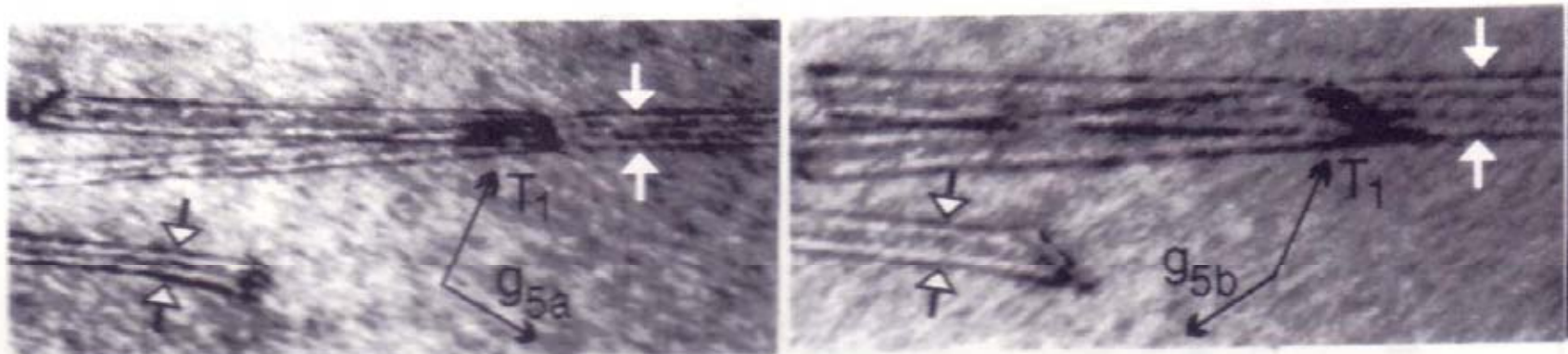
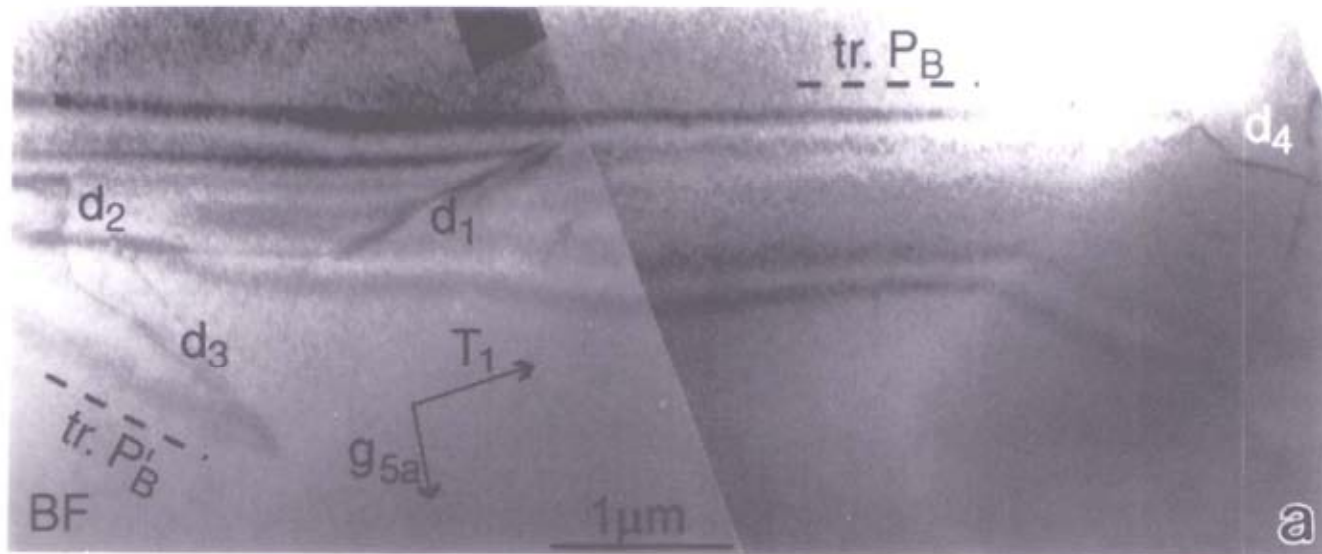
- Dislocation in quasicrystal:
Elastic field + Phason field
- Plasticity: phason 'wall'
(from Caillard et al.)



HREM Images: Phason walls

(Feuerbacher et al.; Wang et al.; Caillard et al.)

Relaxation at high T through phason fluctuations



Dislocation motion: Relaxation of phason walls (Caillard et al.)

Time scale compatible with phason diffusion.

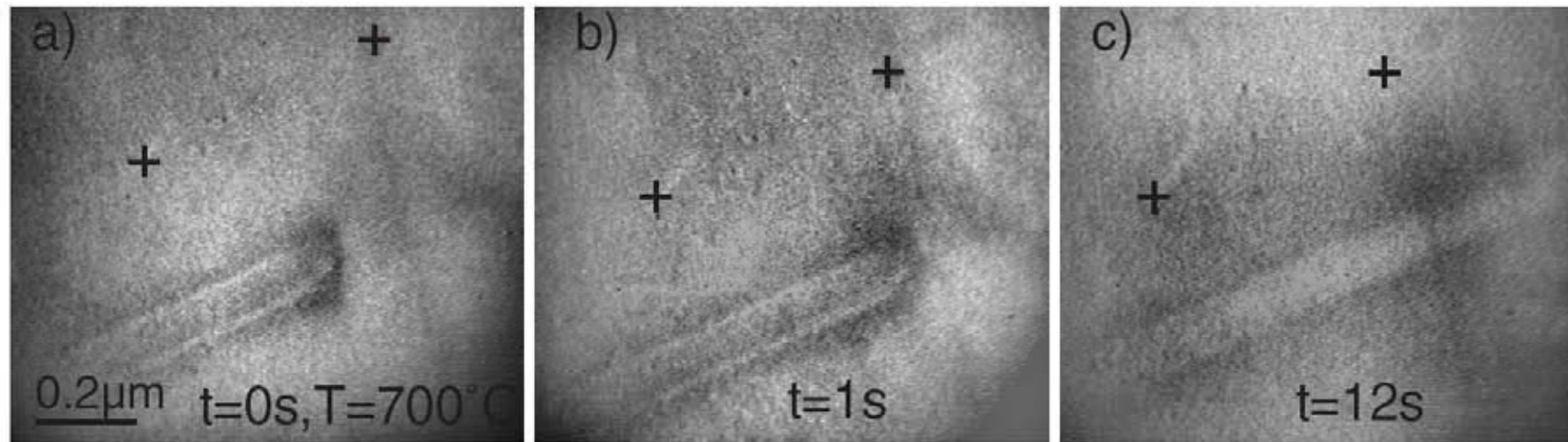
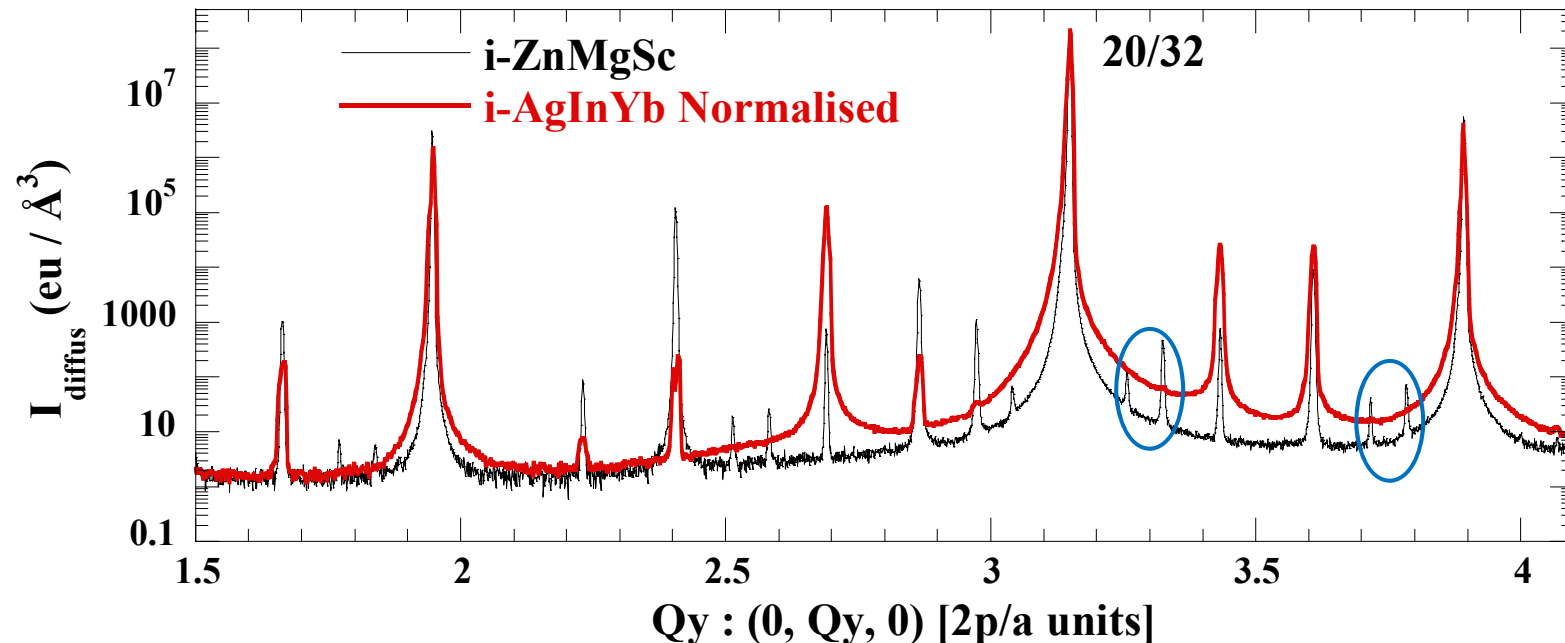


Figure 1. Dislocation motion in a twofold plane at 700°C. Note the fringe contrast behind the moving dislocation in (a) and (b), which has disappeared in (c). The crosses indicate fixed points in the sample.

i-AgInYb and i-ZnMgSc

- i-ZnMgSc (Ishimasa), very small diffuse scattering intensity (PRL,2005,95, 105503) . High Qperp Bragg: $Q_{perp}=7$

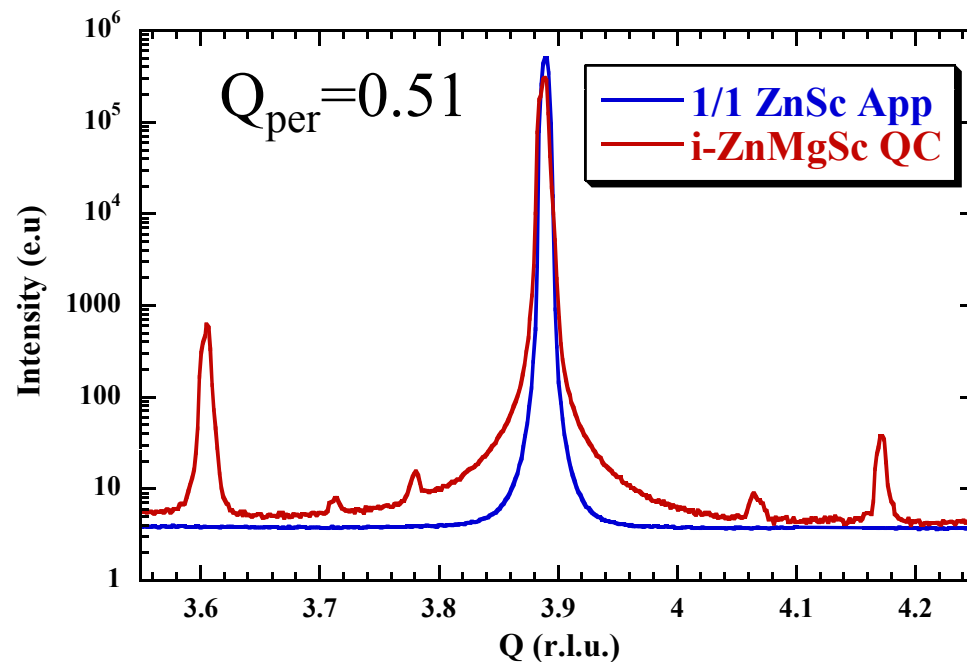
2-fold Q-scan



- i-AgInYb absolute scale and rescaled for Bragg peak power
- ***More diffuse scattering in i-AgInYb QC than in i-ZnMgSc***
- High Q_{perp} Bragg have disappeared. $Q_{perp} \text{ max} = 5$

Diffuse scattering in QC and approximant

- Long wavelength phason fluctuations are a **consequence of the long range aperiodic order**
- A priori no phason diffuse scattering in periodic approximant
- Only one study: 1/1 Zn₆Sc as compared to i-ZnMgSc (s. Francoual, T. Ishimasa)



1/1 Zn₆Sc approximant:

Only phonon diffuse scattering

No phason diffuse scattering

PRL, 2005, 95, 105503

Summary

- Phason modes are propagative modes in incommensurately modulated phases, but with a finite width. Diffusive mode in the low q , long wavelength limit
- Composites. Sliding modes?
- Quasicrystal:
 - Phason modes observed by diffuse scattering
 - i-ALPdMn PDS due to pre-transitional fluctuations
 - Phason dynamics is diffusive as measured by x-ray
 - Different QC, present different PDS

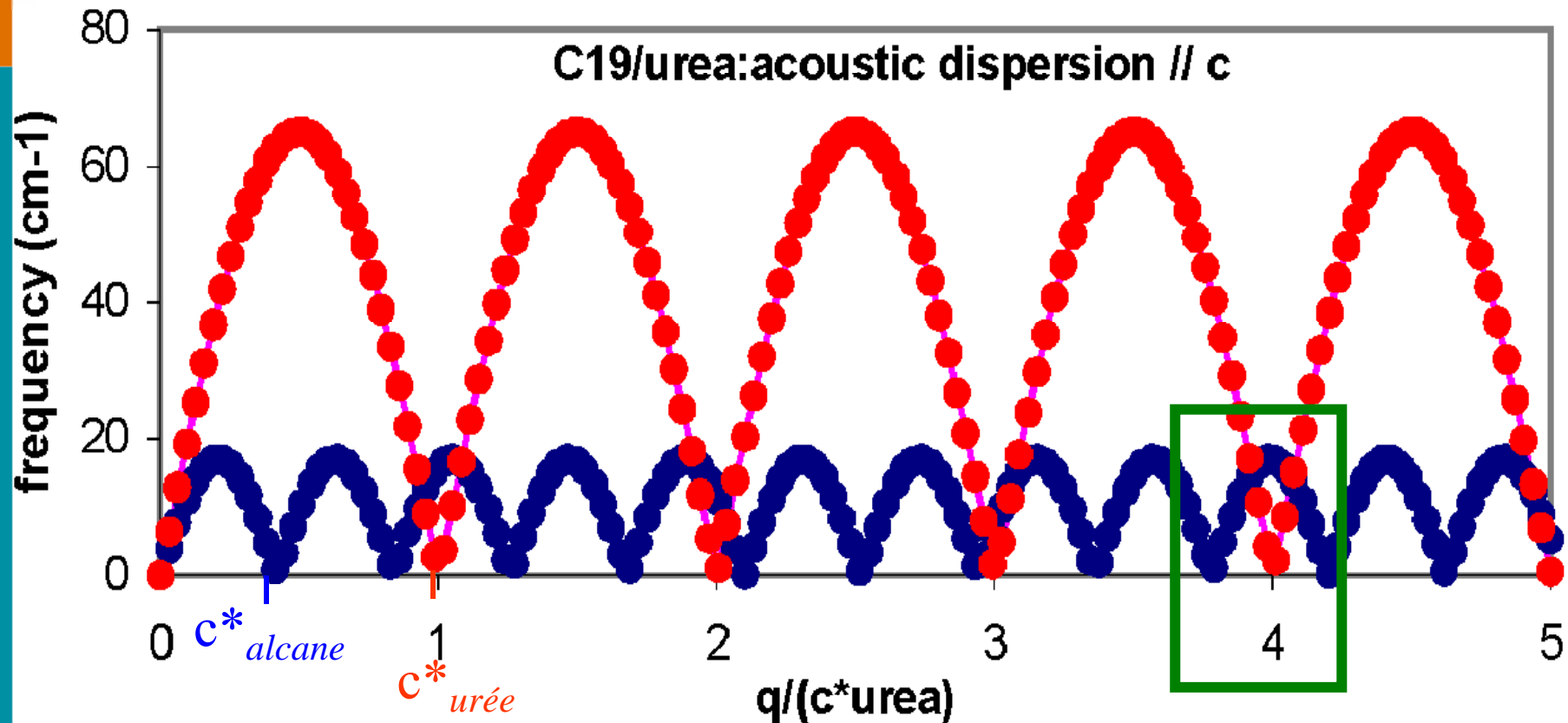


PHONONS

- Incommensurately modulated phases
new dynamic related to phason modes.
- Composites
- Quasicrystals



Phonon in incommensurate composite



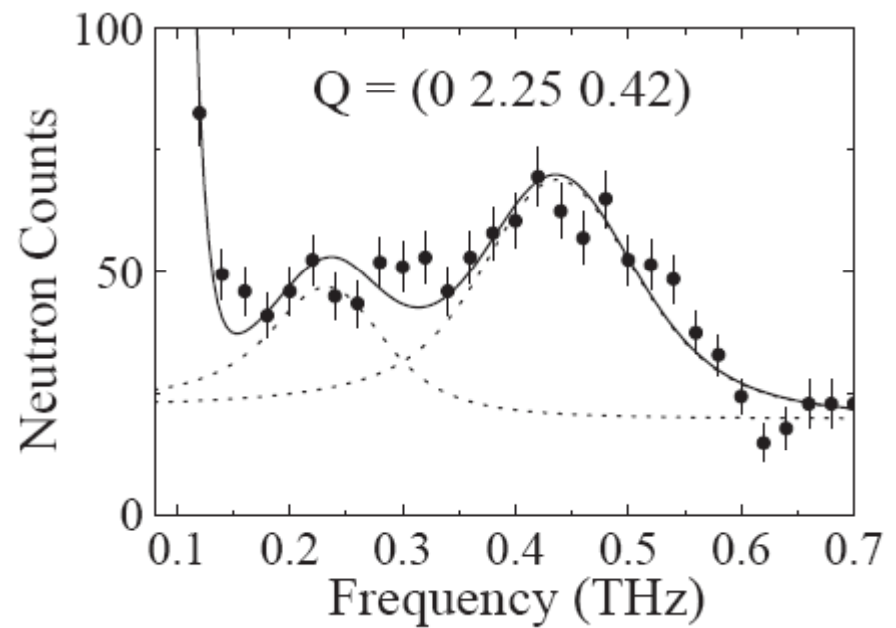
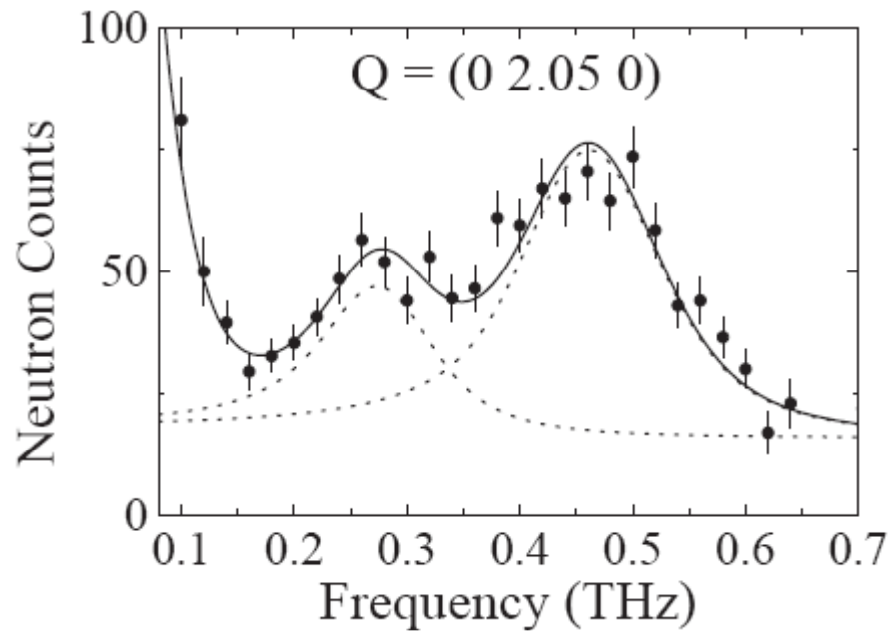
In aperiodic crystals, the Bragg peaks of the two sublattices never superimpose, but in zero.

The Bragg peaks do not define anymore a Center of Zone, since there are no more Brillouin Zone.

Composites

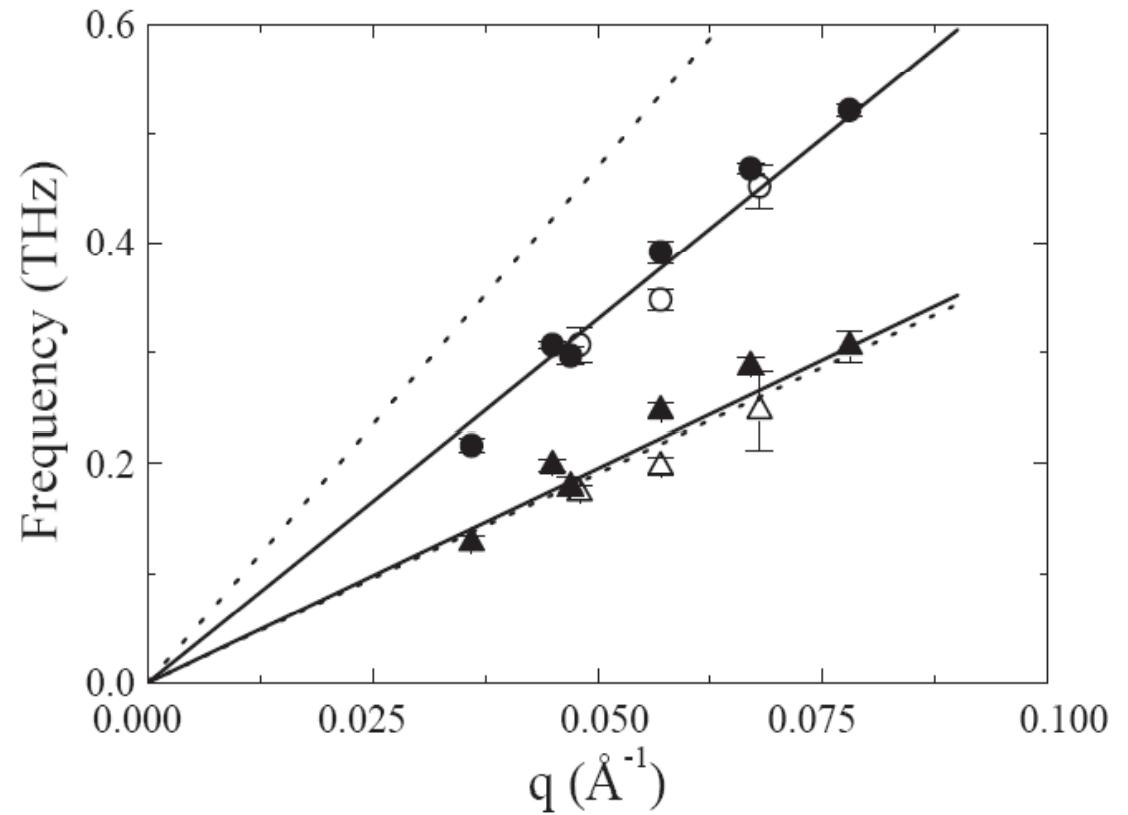
Low-frequency structural dynamics in the incommensurate composite crystal $\text{Bi}_2\text{Sr}_2\text{CuO}_{6+\delta}$

J. ETRILLARD^{1,2}, L. BOURGEOIS¹, P. BOURGES¹, B. LIANG³,
C. T. LIN³ and B. KEIMER³



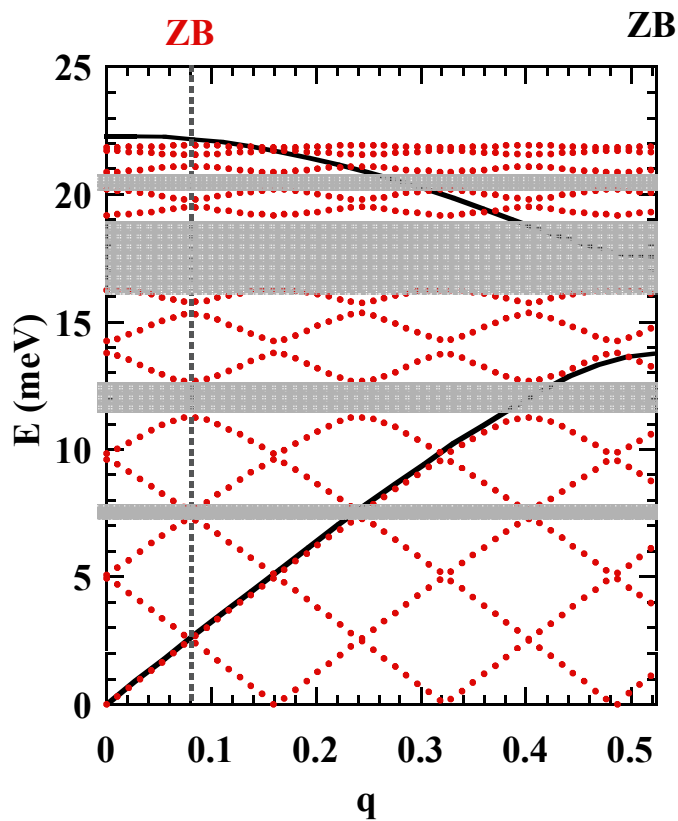
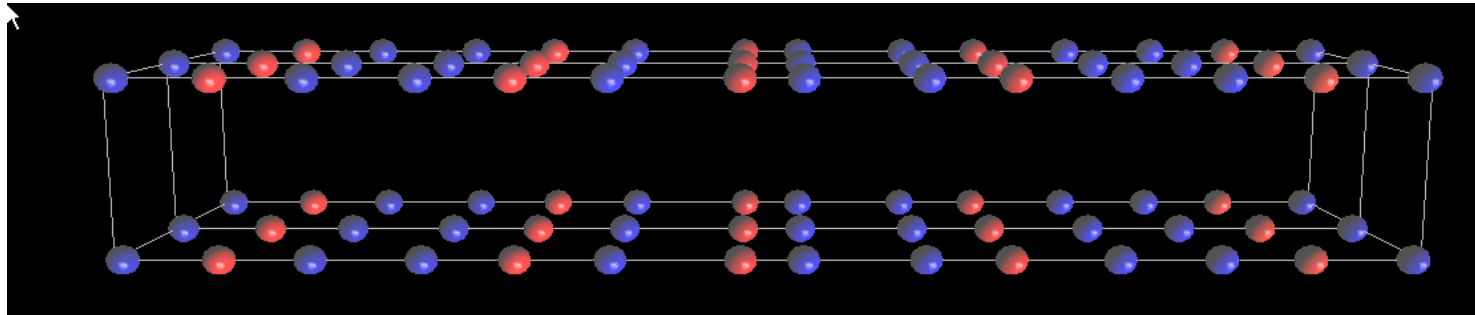
Composites

- The two sublattices react independently
- Two sound velocities
- Sliding modes?



Phonons in quasicrystals



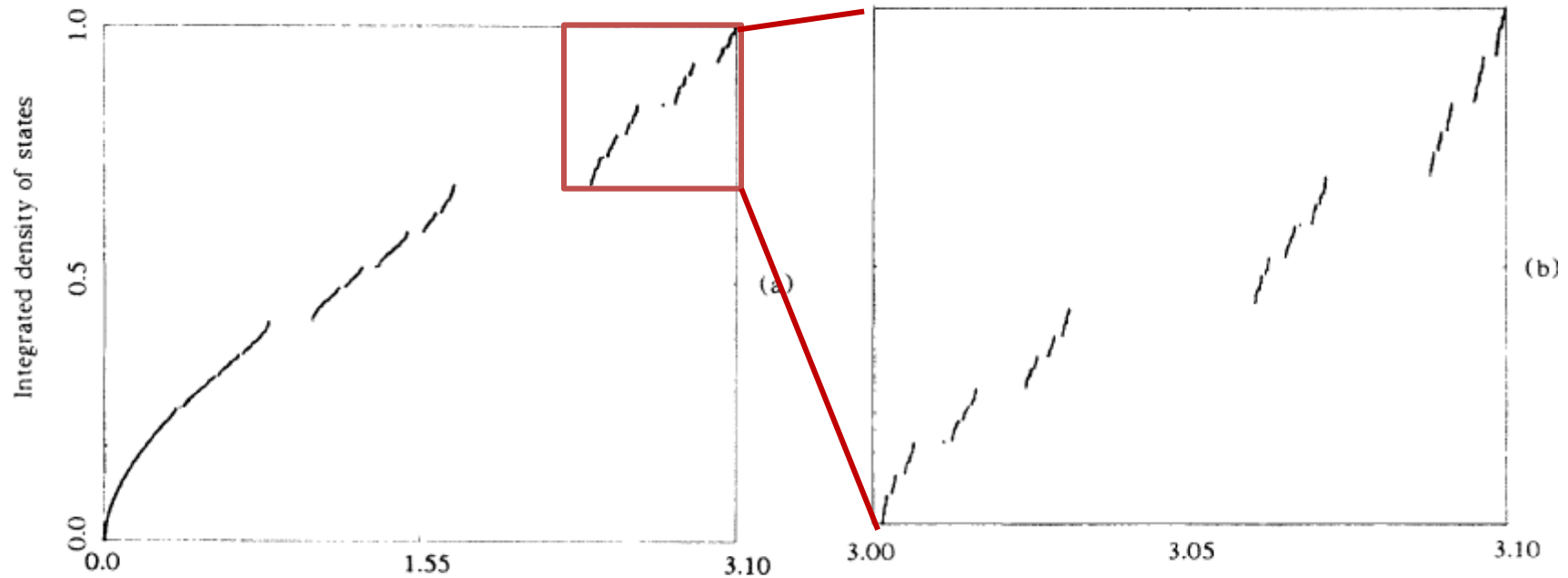


Phonons: 13 atoms

LSLLSLSLLSLLSL

- 13 'Branches'
- New gaps opening
- Large gap around 17 meV + optic 'bands'
- Smaller gap around 13
- $E < 10$ meV : acoustic character

Phonon: 1D quasicrystal

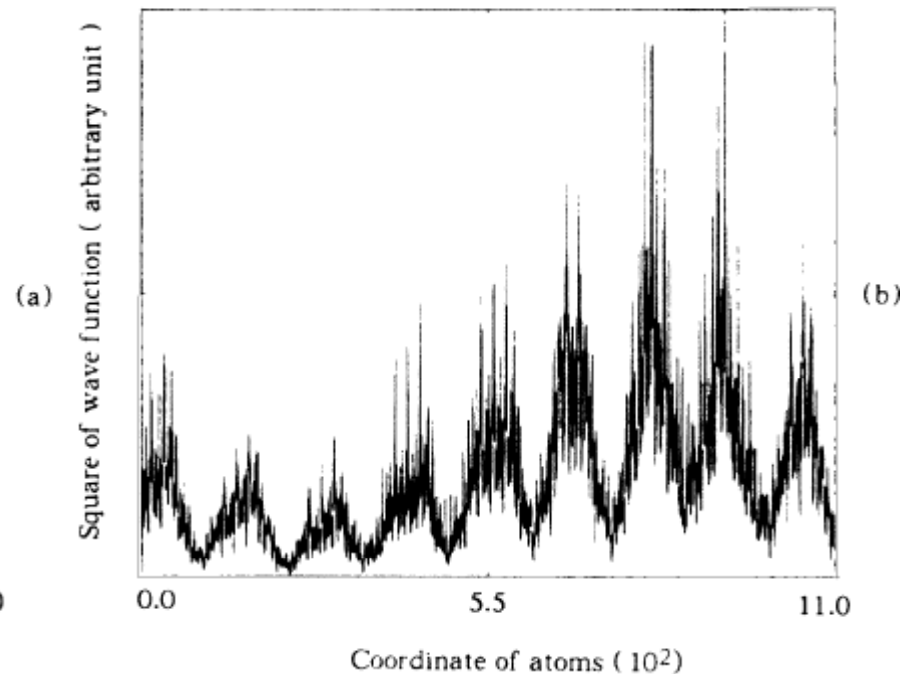
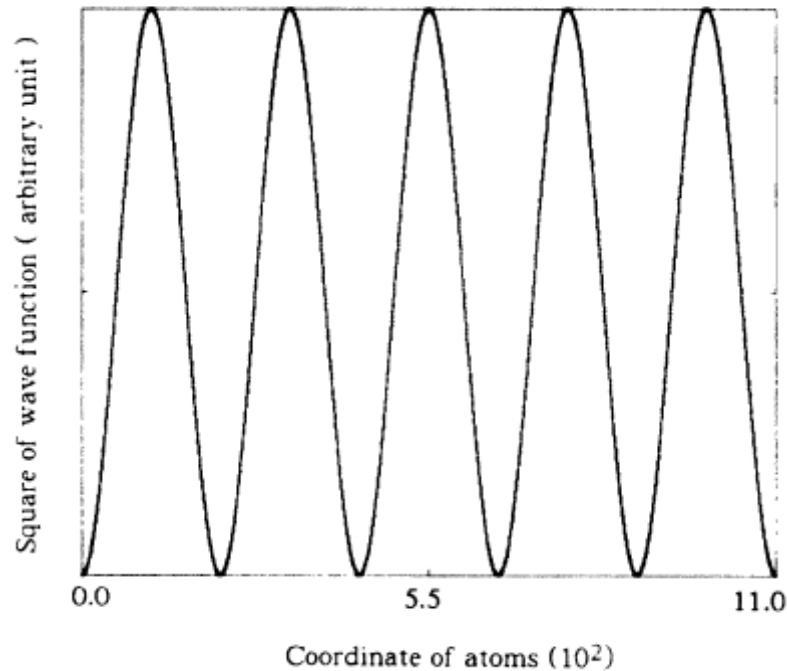


- Long wavelength: acoustic modes.
- Infinity of gap opening and self similarity

From Lu, Odaki and Birman, PRB, 1986, 33, 4809

Phonon: 1D quasicrystal

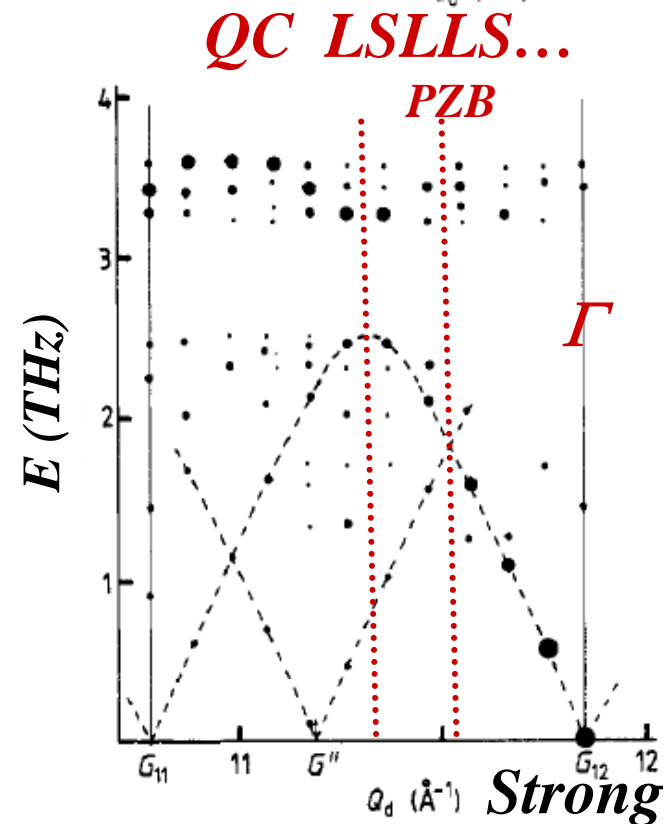
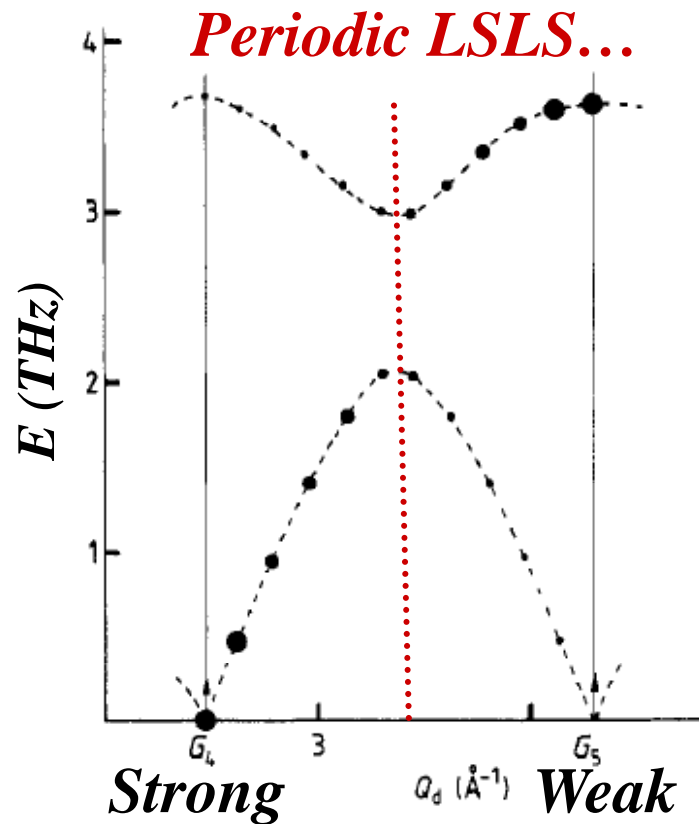
Higher energy: **modes are critical**: neither localised, nor extended. The eigenvector decays with a power law and recursion around similar environment.



From Lu, Odaki and Birman, PRB, 1986, 33, 4809

Inelastic neutron scattering: Fibonacci chain

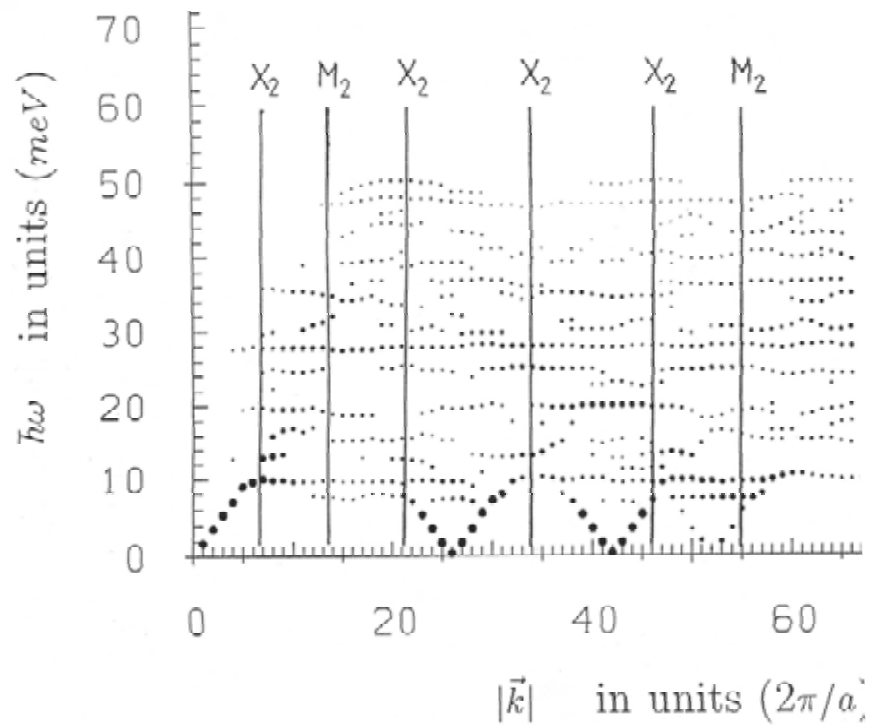
(From Benoit and Poussigue)



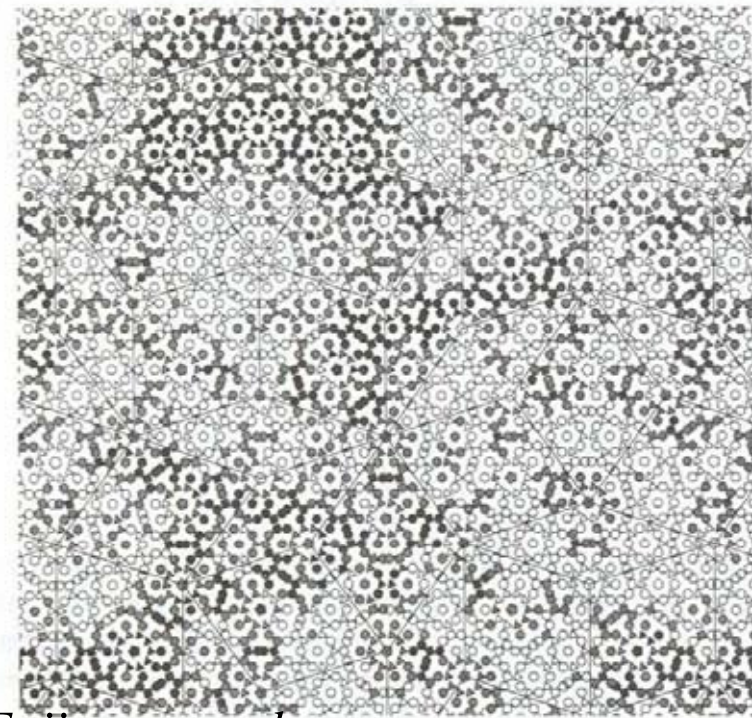
- **Acoustic mode** : $S(Q+q) \sim I_B Q^2/E^2$; Norme= $S(Q+q) * E^2 = cte$
- Fibonacci chain: **Strong Bragg=Zone center** (Γ); Pseudo zone boundary (PZB)

3D QC Systems

- Acoustic mode
- Pseudo-zone boundary (Niizeki)
- Critical modes?



AlliCu 5/3 approximant simul.
(Krajci et al.)

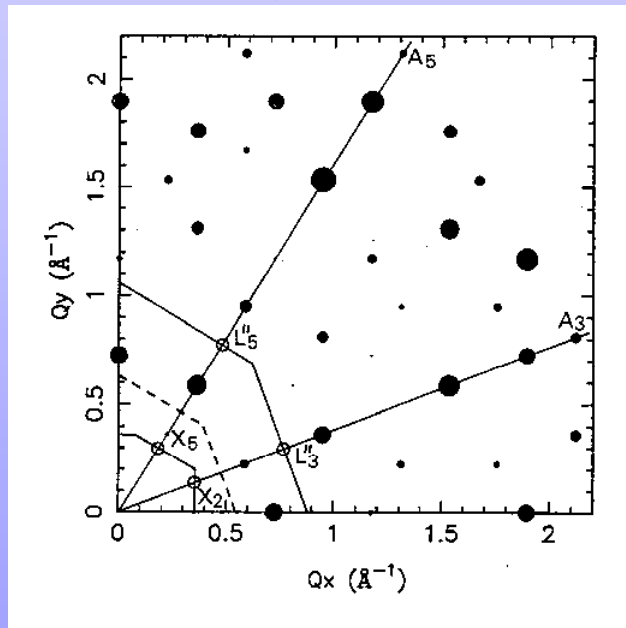


Fujiwara et al.

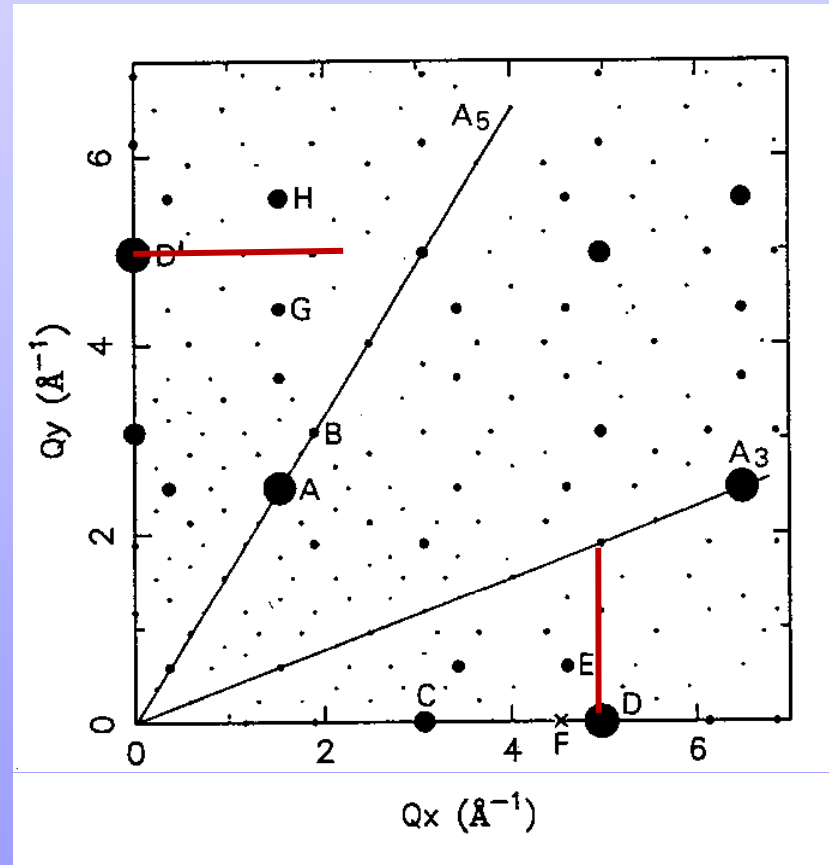
**Critical modes: Neither
localised nor delocalised.**

i-AlPdMn phase

Pseudo Brillouin Zone Boundary Map (Niizeki)



2-fold diffraction pattern



Around each strong Bragg peak (zone center) : **stacking of PZB**. At the PZB: **stationnary mode** (Bragg reflected wave)

Acoustic mode

- In the long wavelength limit (continuum), there are acoustic phonons. Because of the icosahedral symmetry, there is an isotropy: 2 sound velocities, one transverse and one longitudinal.

Inelastic neutron scattering intensity. $\mathbf{Q}=\mathbf{G}+\mathbf{q}$

$$I(\mathbf{G}+\mathbf{q}, \omega_{\mathbf{q}}) \sim I_b(\mathbf{Q} \cdot \mathbf{e}_{T(L)})^2 / \omega_{\mathbf{q}}^2$$

I_b : Bragg peak intensity; \mathbf{q} phonon wavevector; $\omega_{\mathbf{q}}$ Phonon energy, $\mathbf{e}_{T(L)}$ Phonon polarisation. **SELECTION RULE**

- *For an acoustic branch:* $I_{ph} \omega^2 = cte$ this define the acoustic regime

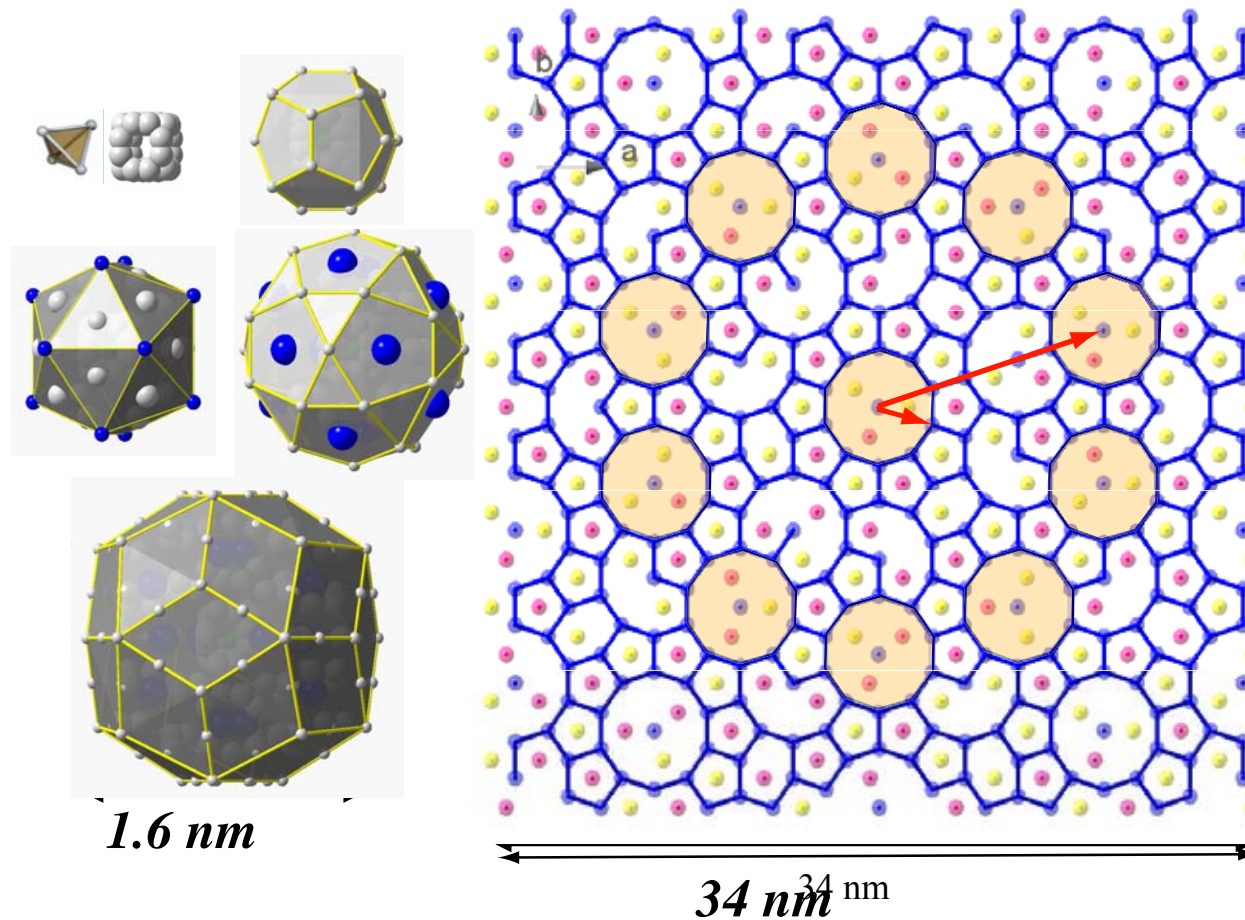
Phonons in i-ZnMgSc and c-ZnSc

Quasicrystal specific?

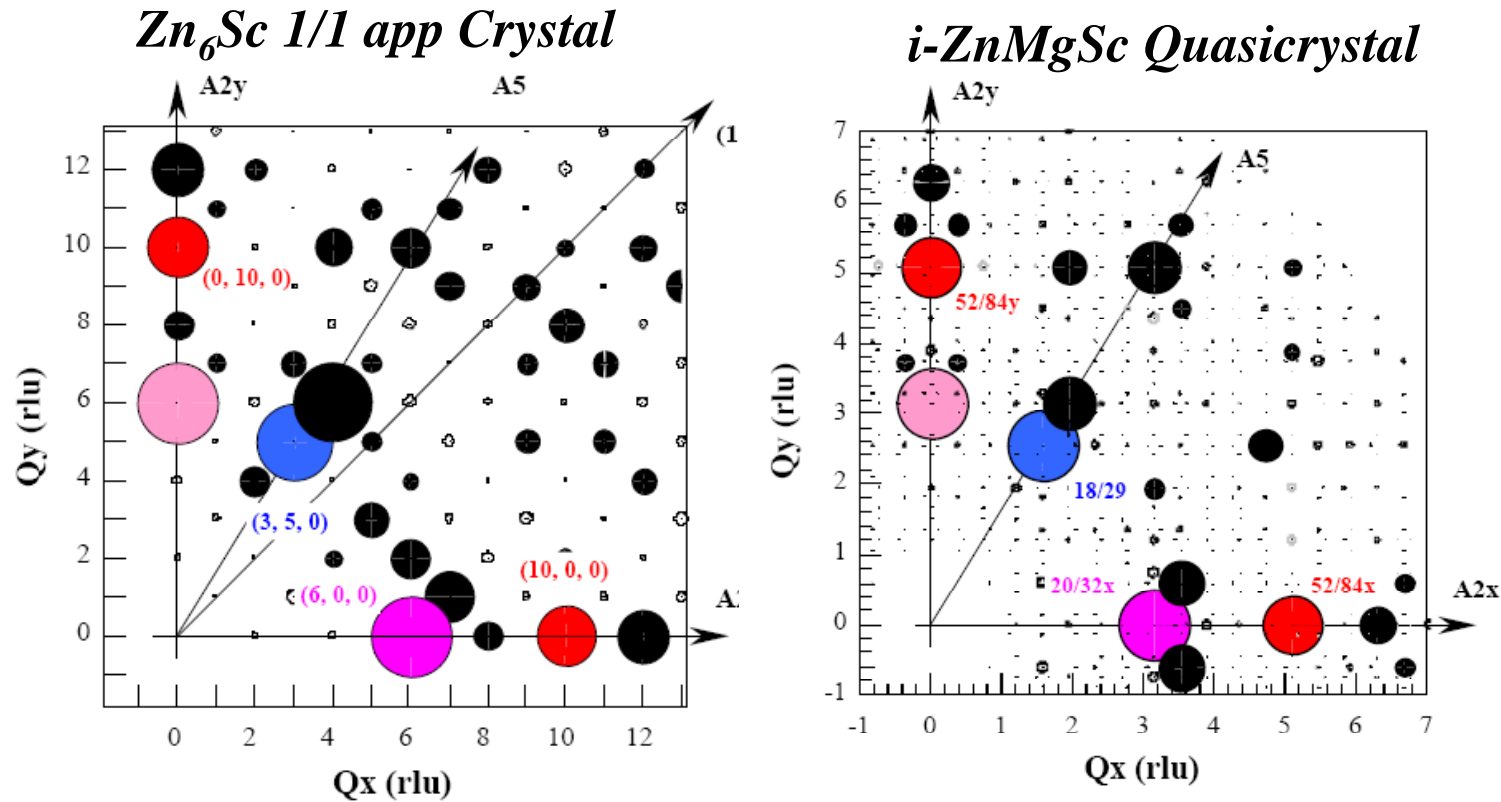
- System isostructural to CdYb
- i-Zn_{80.5}Mg_{4.2}Sc_{15.3} QC and Zn₈₆Sc₁₄ 1/1 approximant
- Respective influence of local order (clusters) and long range periodic or quasiperiodic
- Study by inelastic neutron and x-ray scattering on single grain samples.

Quasicrystal structure

- *Clusters* packing with well defined chemical order: **94% of atoms**
- Hierarchical packing of the clusters in the QC
(Periodic packing in 1/1 approximant)

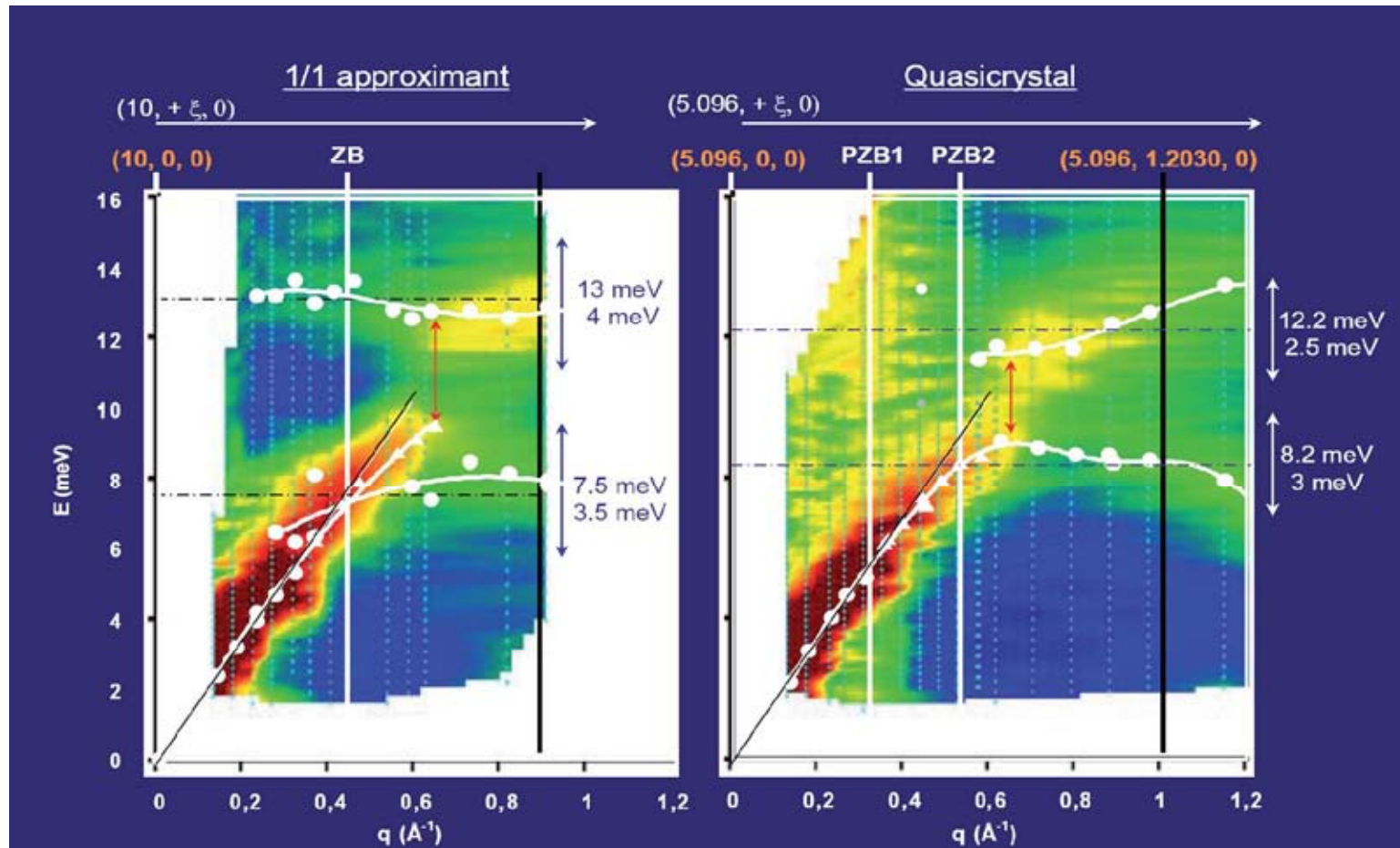


ZnSc: Comparison 1/1 approximant and QC



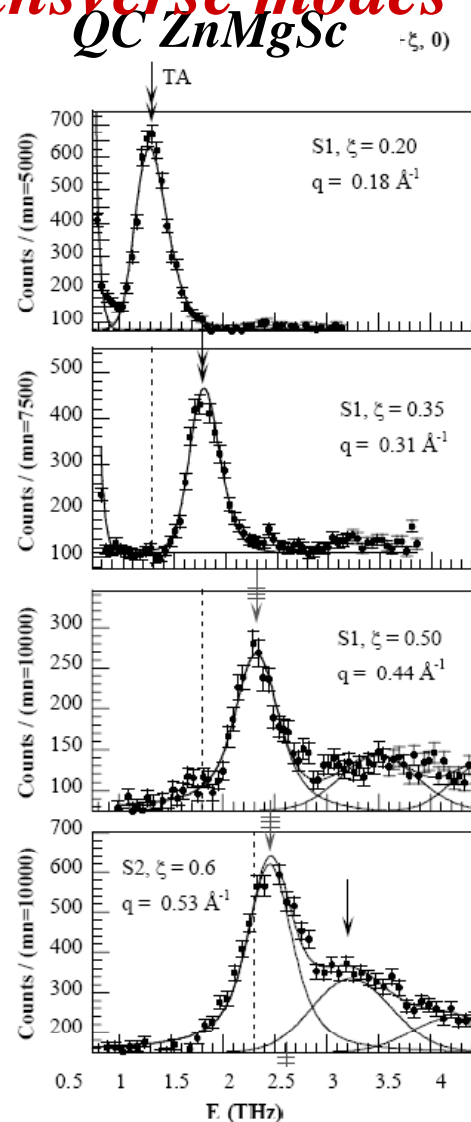
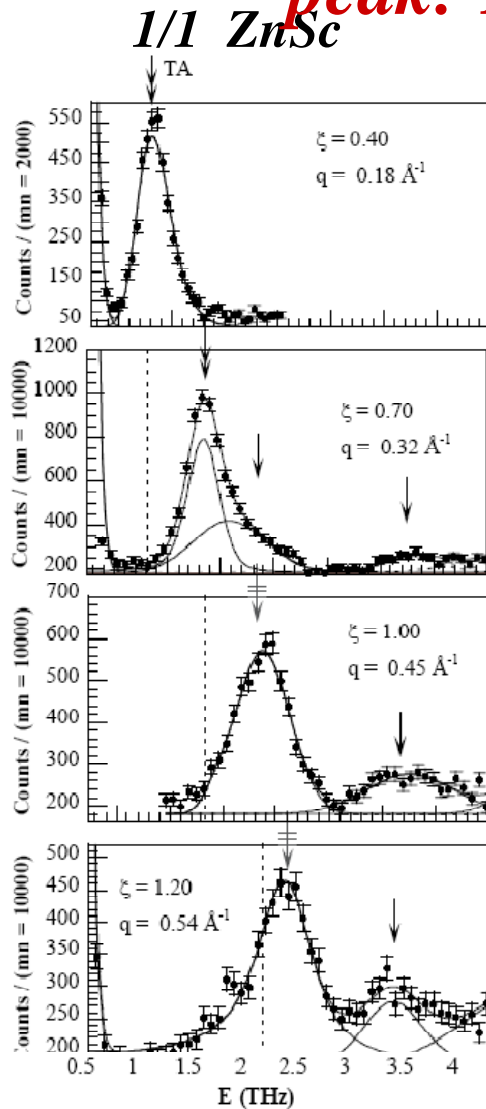
Same clusters: effect of local order and long range periodic or QP order.

Experimental transverse phonon



- *Pseudo gap observed in both QC and approximant*
- *Pseudo gap is larger in the approximant*
- *Related to the distribution of Brillouin zone and PBZ*

Inelastic neutron scattering close to a strong Bragg peak: Transverse modes



*Well defined
acoustic
modes*

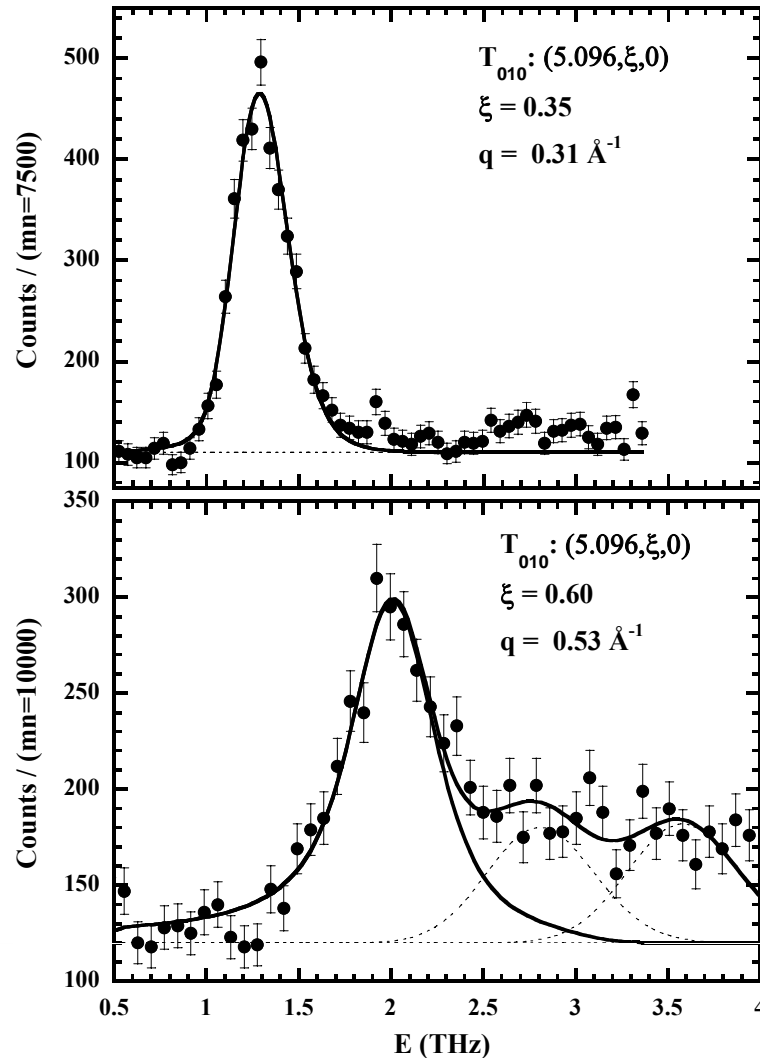
*Abrupt
broadening*

*Very similar in
both cases*

*Optic modes
are better
defined in the
1/1 crystal*

Acoustic mode broadening rate

i-ZnMgSc



i-ZnMgSc

- **Abrupt broadening** $q > 0.30 \text{ \AA}^{-1}$
- $q = 0.31 \text{ \AA}^{-1}$: $\lambda = 20 \text{ \AA}$; mean free path $\langle l \rangle \sim 160 \text{ \AA}$
- $q = 0.53 \text{ \AA}^{-1}$: $\lambda = 12 \text{ \AA}$; mean free path $\langle l \rangle \sim 20 \text{ \AA}$
- **Intensity: Norme increases : mode mixing.**

c-ZnSc

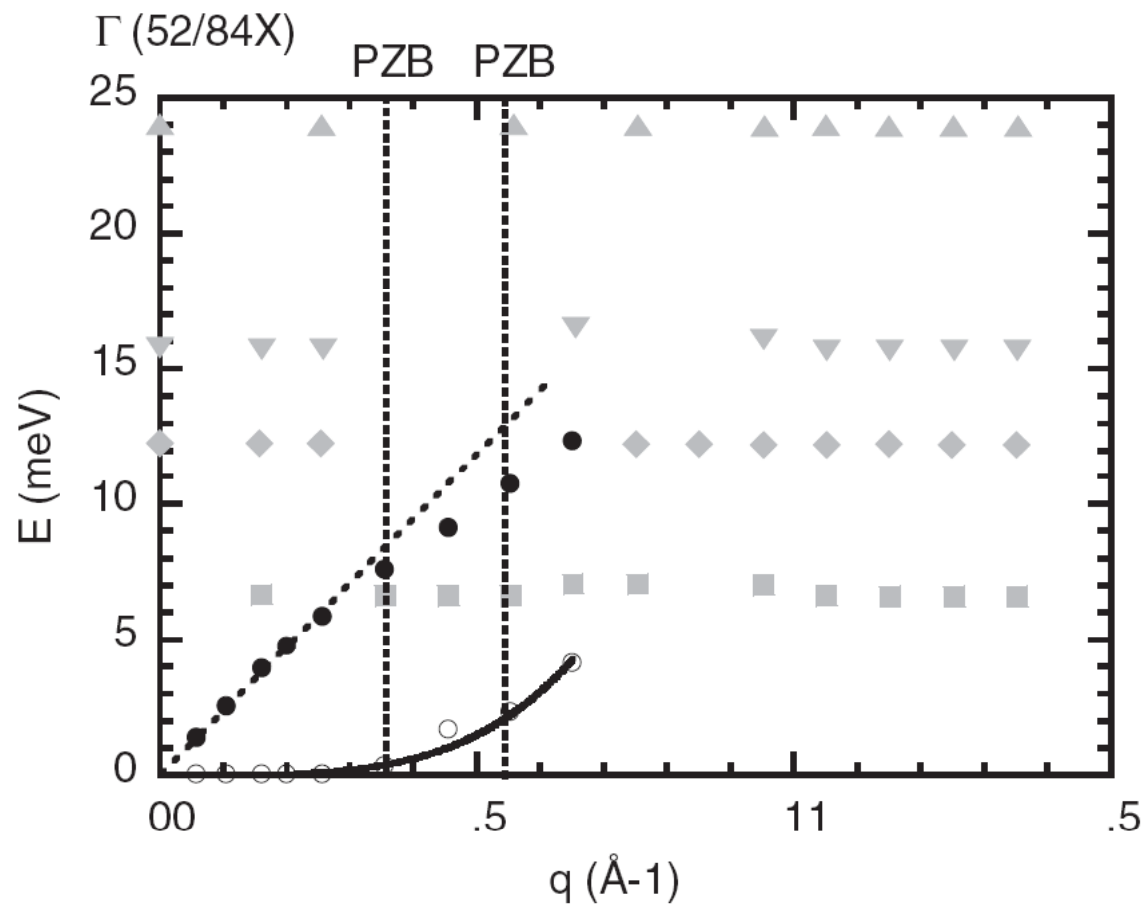
- Similar broadening, but larger mean free path.

- This abrupt broadening is observed in all other quasicrystals
- **i-ZnMgY: abrupt cross-over** between the acoustic regime and mixing of several states.
- **Acoustic limit : MEAN FREE PATH $\sim 24 \text{ \AA}$**
Cluster diameter $D_{cl} \sim 12 \text{ \AA}$
Wavelength D_{cl} ; mean free path $2D_{cl}$
- **i-AlPdMn: crossover for the same wavelength, but the mean free path is smaller $\sim D_{cl}$**



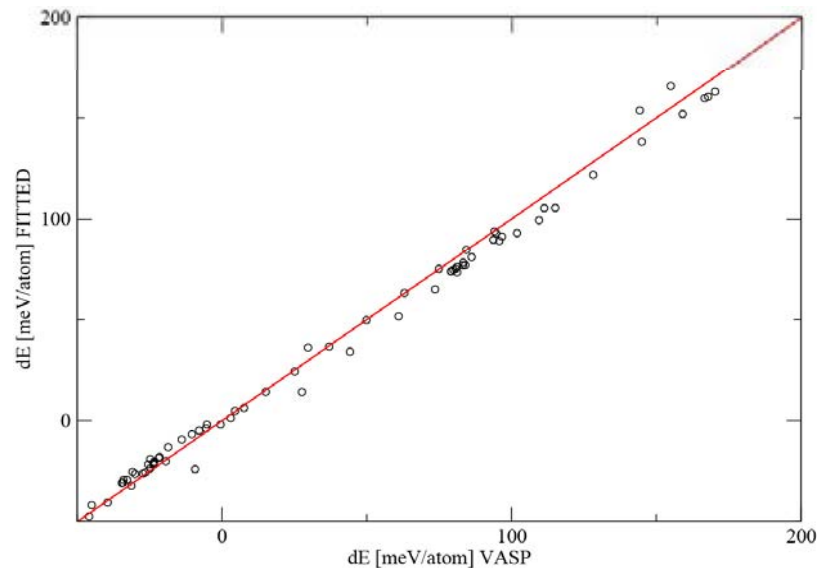
Phonons: i-AlPdMn

- Dispersion relation and Pseudo Brillouin Zones
- Abrupt broadening of acoustic excitations

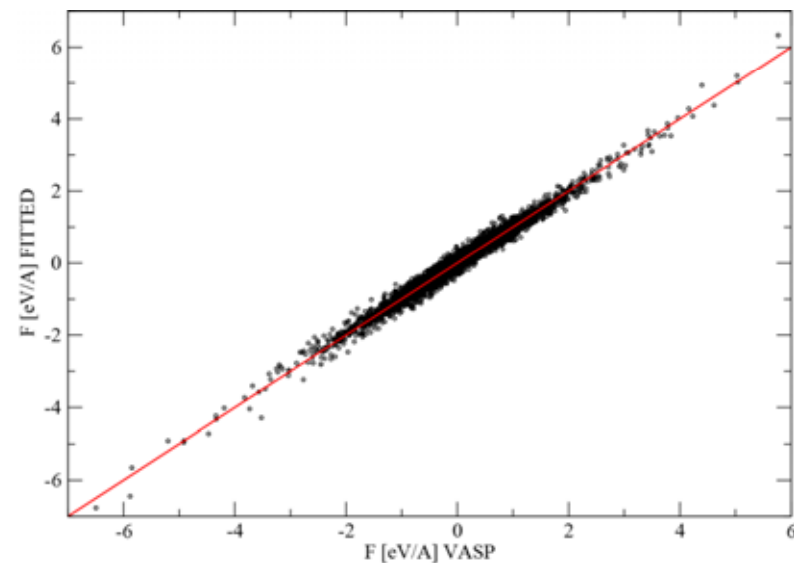


Simulations: ZnSc

- Ab initio limitations to ~ 100 atoms/cell.
- Adapted Hamiltonian is necessary : oscillating pair potentials.
- Allows to study large cells up to several 1000 atoms.
- Pair potentials fitted on a DFT data base (VASP) containing all simple phases in ZnSc. Fitting on E and Forces



Energy

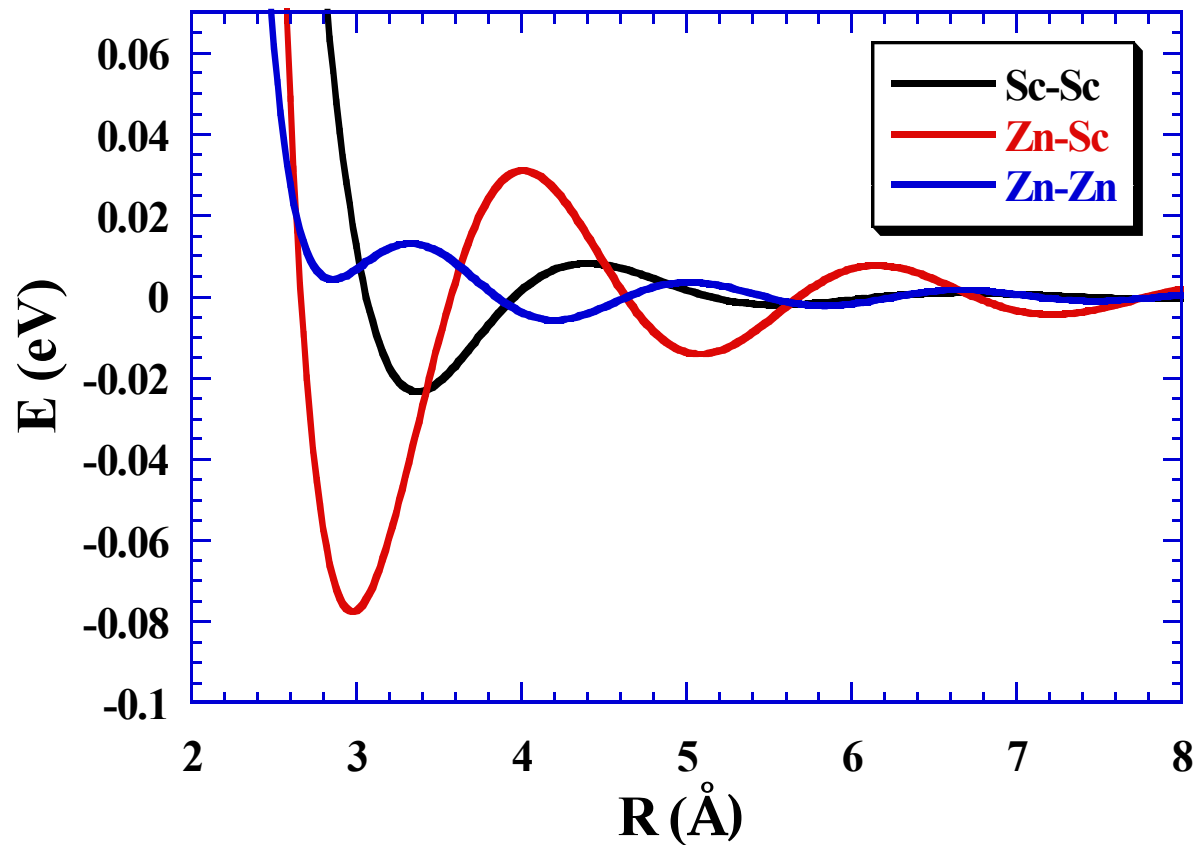


Forces

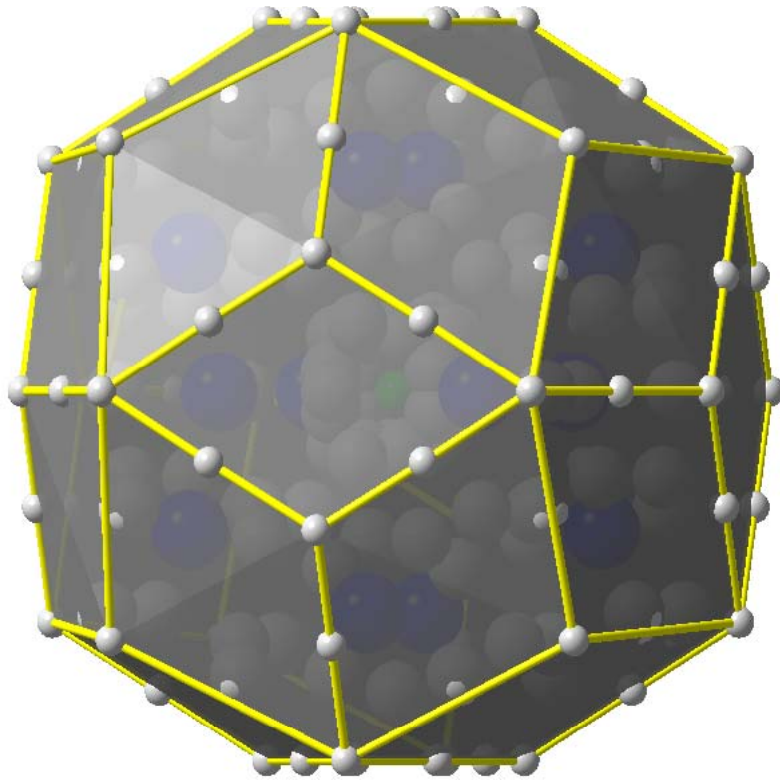
Oscillating pair potential

M. Mihalkovic, C. Henley et al.

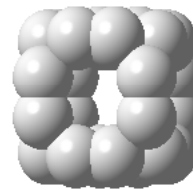
Phase diagram determination.



Simulations: atomic model



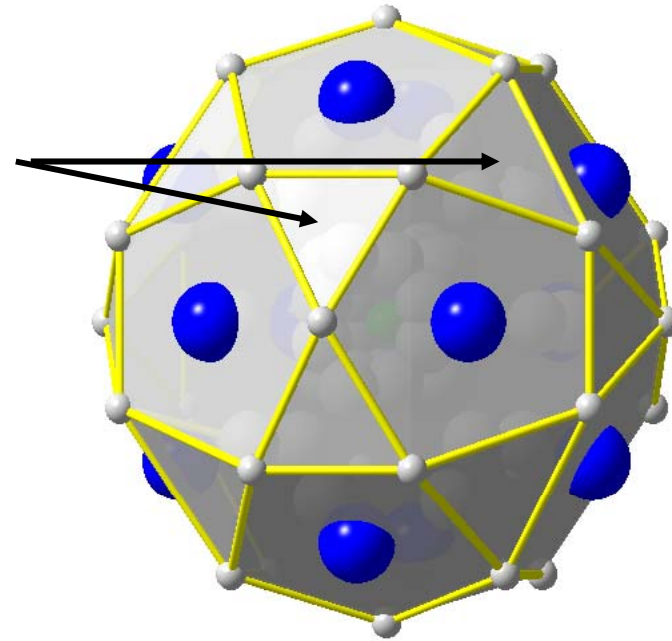
- 1/1 Approximant and 3/2 approximant for the QC
- Based on canonical cell model
- *Problem: Cd orientation/correlation and disorder of the inner tetrahedron.*



Simulations

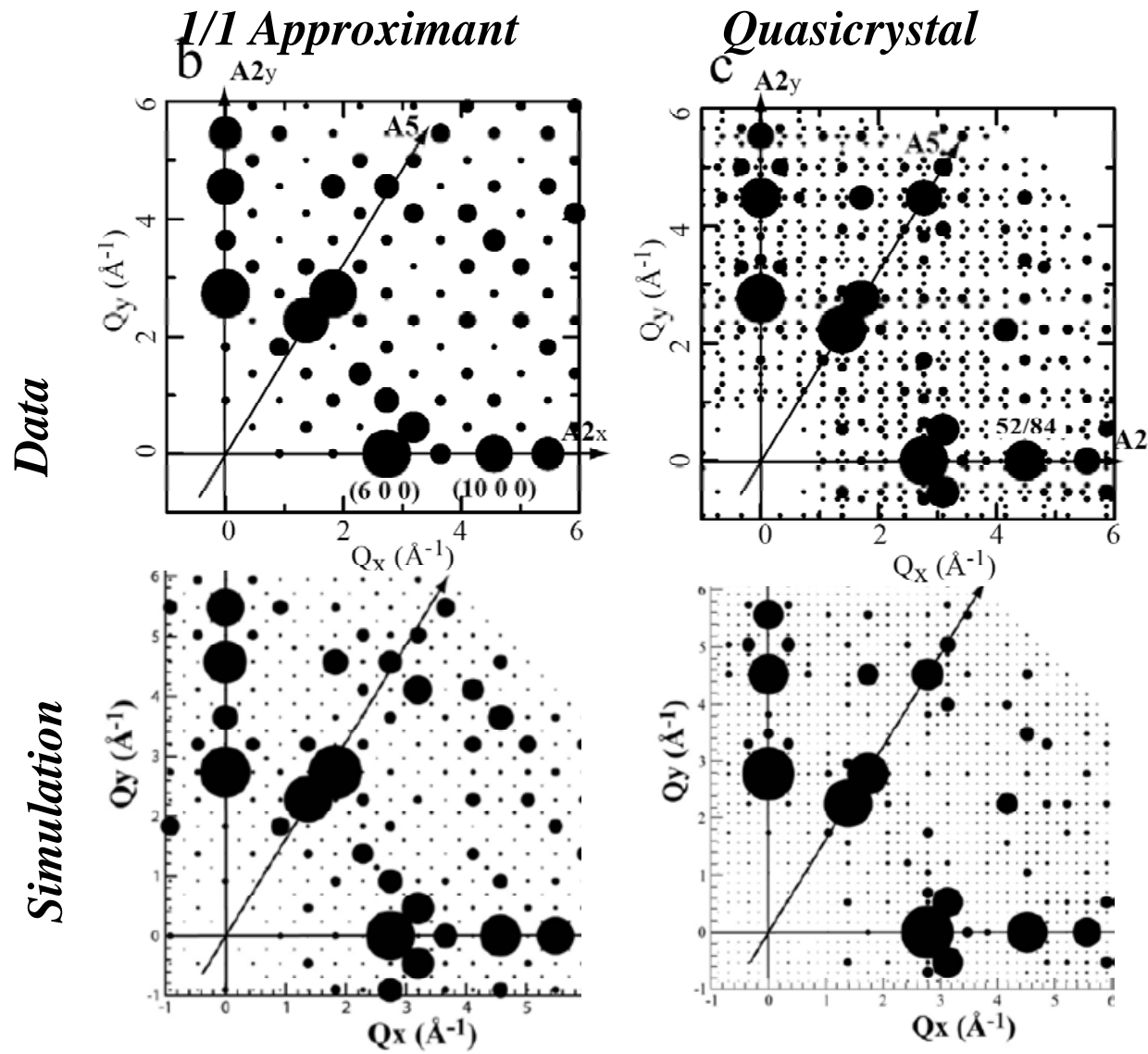


Tetrahedron orientation induces *cluster distortion*. *Crucial in the simulation*



- 1/1 approximant: Super-cell containing 8 clusters. **Molecular dynamics at 300C to have a solution for relative orientation then quenched.**
- 3/2 approximant contains 32 clusters. Based on canonical cell model. $a=3.6$ nm. 2984 atoms per unit cell (2528 Zn, 456 Sc). *Molecular dynamics at 300C for the tetrahedra orientation followed by a quench.*
- Lattice dynamic: Harmonic: inversion of the dynamical matrix.

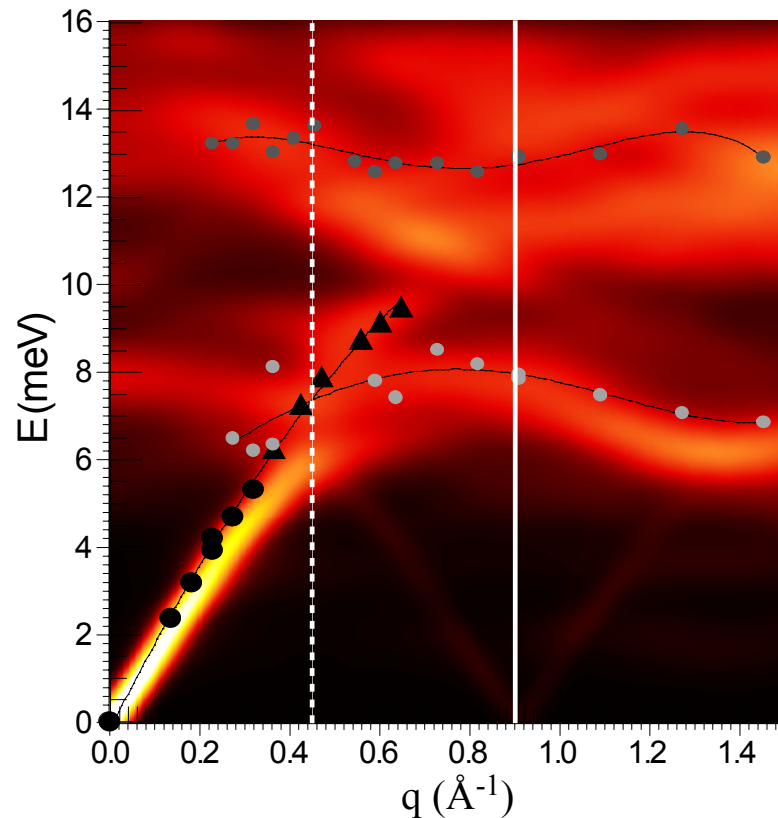
Simulated diffraction pattern in good agreement with experiment



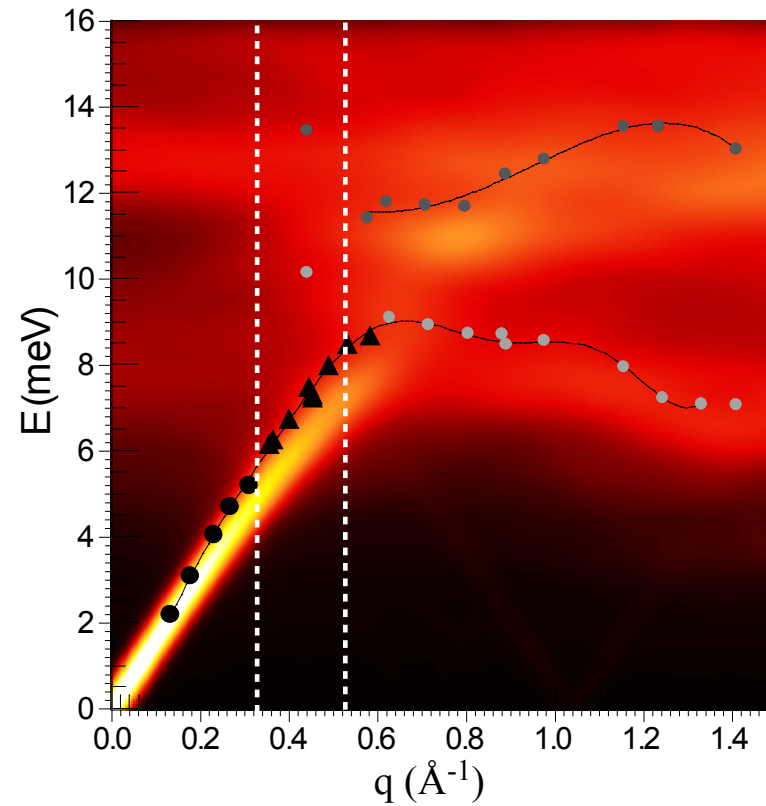
Comparison simulation-measurement

Transverse modes

1/1 approximant Zn-Sc



Quasicrystal

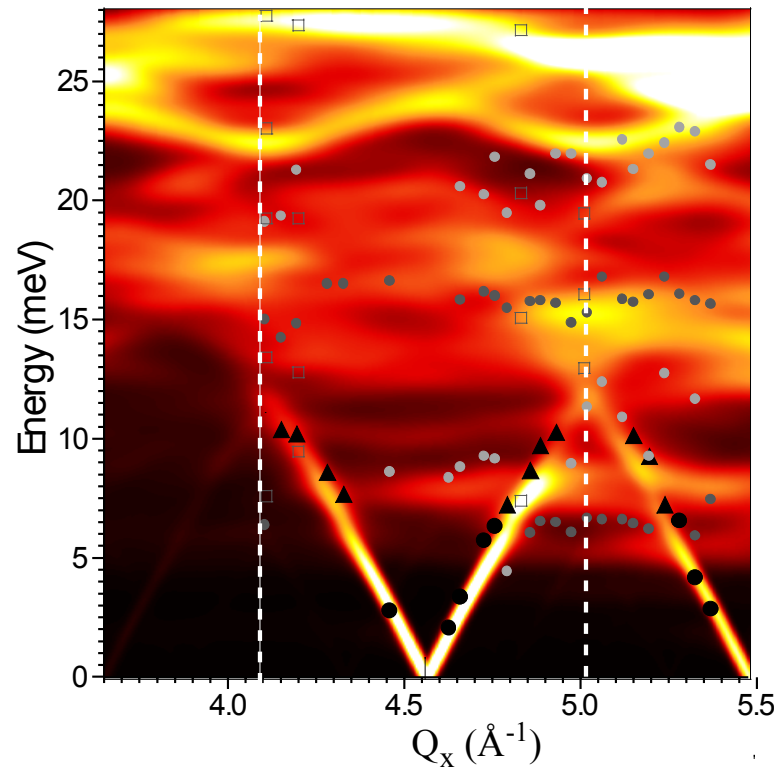


Good agreement. Differences QC and 1/1 are well reproduced.

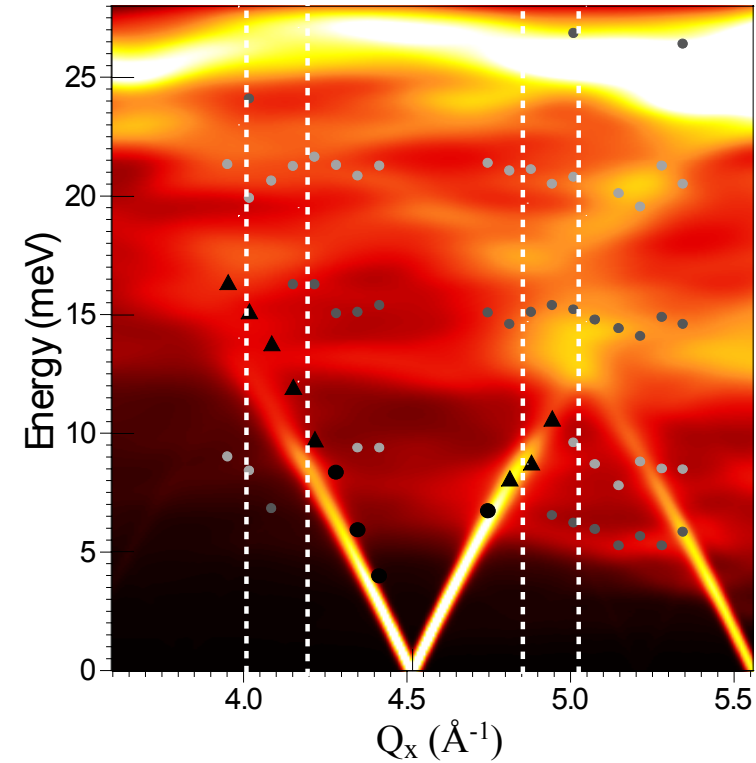
Nature Materials **6** (2007) 977-984.

Longitudinal modes (Inelastic X-ray scattering)


1/1 approximant




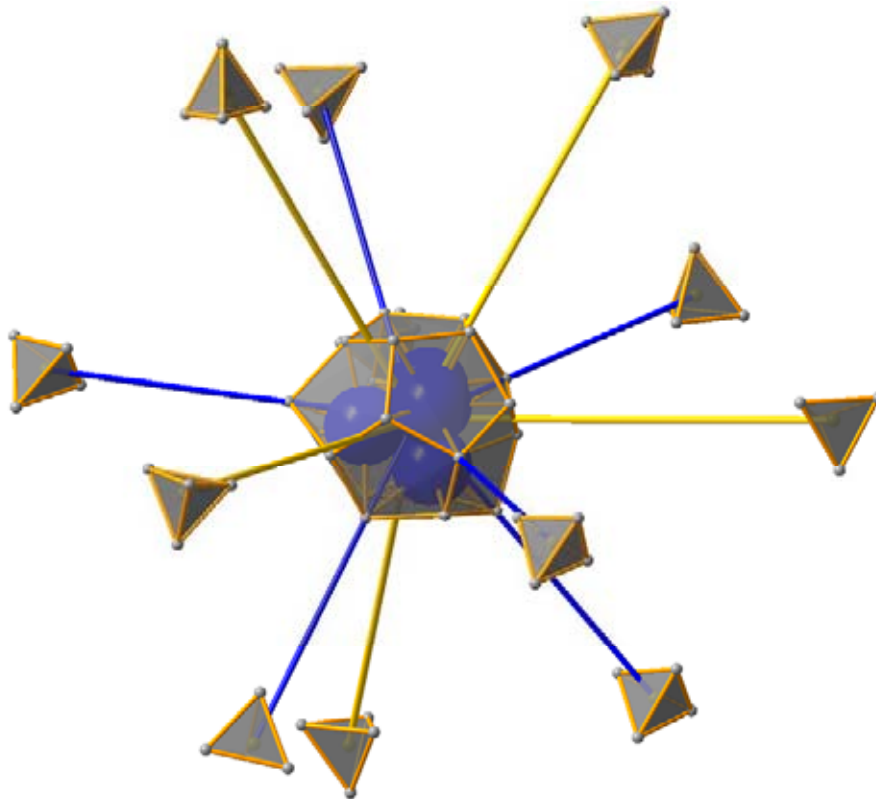
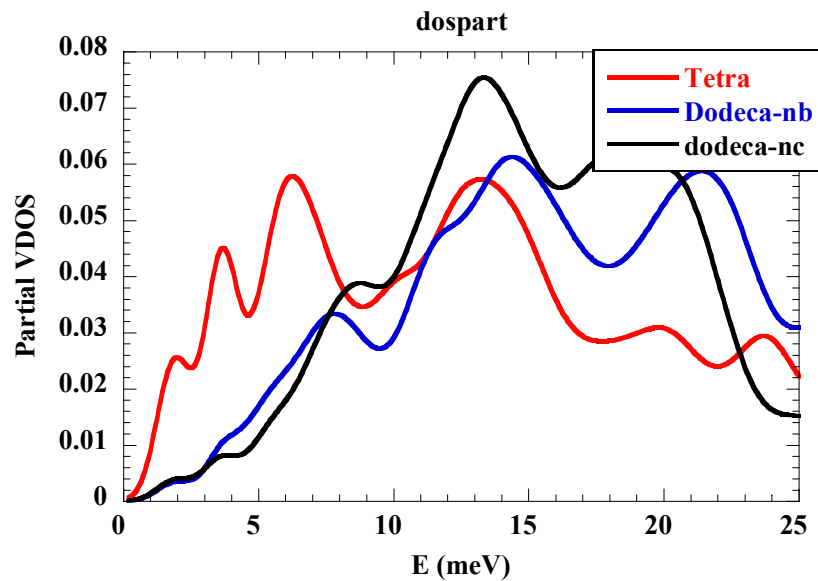
Quasicrystal



Very good agreement. The 6 meV low energy mode is well reproduced.
Differences are also reproduced. Link with BZB

- 
- The simulation is thus in very good agreement with the experiment.
 - The QC and 1/1 approximant differences are reproduced
 - One can use this simulation with confidence to analyse the vibrational properties of the QC and 1/1 approximant.

- 
- Cluster modes?
 - Nature of the modes?



Partial density of vibrational sates

- Tetrahedra shows low energy mode, espacially in 1/1 approximant
- Related to the 1/1 ordering phase transition ? (Tamura et al.)
- Strong distortion of the dodecahedron both in 1/1 and 3/2 approximant.
- Consistant with Ishimasa structure at low T.

Conclusion

Phonon in QC:

Acoustic mode at low energy

Abrupt broadening, length scale \sim cluster size

Notion of quasi-Brillouin zone boundary

Modeling in good agreement

Are mode critical?

Cluster modes? Plane wave description?



CONCLUSION

- Dynamic of aperiodic crystals shows distinct features.
- Most prominent effect are the phason modes:
Phason mode are diffusive mode in the very long wavelength limit.

Damped propagating modes in a few incommensurately modulated systems.

- Lattice dynamics of quasicrystals: Notion of QZB, abrupt broadening and characteristic length scale.
Critical modes?

