Aperiodic surfaces

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Overview

- 1. Surface structure of quasicrystals
- 2. Quasicrystal surfaces as templates
- 3. Other aperiodic surfaces



Scanning Tunnelling Microscopy (STM)

•Invented by Binnig and Rohrer at IBM Zurich in 1981-82. -





First QC surface studies: Real-space atomic structure of a twodimensional decagonal quasicrystal: Al₆₅Cu₂₀Co₁₅



FIG. 1. A tunneling image of the quasiperiodic surface of decagonal Al₆₅Co₂₀Cu₁₅. (a) The lateral scale is indicated and the gray scale is derived from local height. (b) Normalized power spectrum of the image, with the wave-vector scale indicated. (c) Small-scale feature enhancement as described in the text.

A. R. Kortan, R. S. Becker, F. A. Thiel, and H. S. Chen, Phys. Rev. Lett. 64, 200 (1990).



2.1 Case study:

Quasi-Crystalline Structure of Icosahedral Al₆₈Pd₂₃Mn₉ Resolved by Scanning-Tunneling-Microscopy



Fig. 3. 200 Å X 200 Å wide STM image of a fivefold terrace on i-AIPdMn. The fivefold symmetry within the terrace is indicated by fivefold 'stars' (arrow), and pentagonal holes, which frequently form larger, self-similar structures like regular pentagons (inset). The edges of most of the holes can be connected by five sets of parallel lines, yielding wide (W, and narrow (N) line separations (Fibonaccipentagrid).. Size of the inset: 43 Å X43 Å.

Schaub T. M., Bürgler D. E., Güntherodt H. J., Suck, J. B., Phys. Rev. Lett. 73, 1224 (1994).



Surface structure:



FIG. 2.Best-fit experimental and theoretical IV curves, averaging theory equally over the ten best individual terminations, including relaxations in the top four interlayer spacings of each.



Structural Analysis of the Fivefold Symmetric Surface of the Al₇₀Pd₂₁Mn₉ Quasicrystal by Low Energy Electron Diffraction

• Approximations were developed to make the structure accessible to LEED.

•Mixture of several relaxed, bulklike terminations is found

•a dense Al-rich layer on top followed by a layer with a composition of about 50% Al and 50% Pd.

• The interlayer spacing between these two topmost layers is contracted by 0.1 to 0.38 Å,

• The lateral density of the two topmost layers taken together is similar to that of an Al(111) surface.

M. Gierer, M. A. Van Hove, A. I. Goldman, Z. Shen, S.-L. Chang, C. J. Jenks, C.-M. Zhang, and P. A. Thiel Phys. Rev. Lett. 97, 467 (1997).

STM





Fibonacci lines - Al-Pd-Mn Surface



...LSLLSL... S = 4.6 Å L = 7.4 Å $L/S = \tau$



100Å x 100Å STM image

Penrose tiling



100Å x 100Å

•Surf. Sci. Lett. 492/3 (2001), pp L729-L734, Phys. Rev. B 66 (2002) 184207

Comparison with Katz-Gratias-Elser geometric model:



The edge length of the tiles in the model =7.758 Å matches the experimental value 8.0 ± 0.3 Å

J. Ledieu, R. McGrath, R.D. Diehl, T.A. Lograsso, D.W. Delaney, Z. Papadopolos and G. Kasner Surf. Sci. Lett. 492/3 (2001), pp L729-L734, Phys. Rev. B 66 (2002) 184207

Clusters in the AI-Pd-Mn qasicrystals

PHYSICAL REVIEW B, VOLUME 63, 024202

Atomic clusters in icosahedral F-type quasicrystals

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FIG. 4. (a) 33 atoms B cluster; (b) 50 atoms M cluster (the central dodecahedron contains only seven atoms). Observe that these two clusters are only similar to the Bergman and Mackay clusters encountered in several complex intermetallic phases.

Cut Bergman: equatorial truncation



Note: cB indicates a Cut Bergman cluster as the origin of the 5-fold hollow features.

Ledieu and McGrath, J. Phys. Conds. Matter 15 (2003)

Detailed comparison of local features: STM vs geometric model *M* , the C termination



Note: cB indicates a Cut Bergman cluster as the origin of the 5-fold hollow features.

Papadopolos et al. Phys. Rev. B 66 (2002) 184207

Detailed comparison of local features: STM vs geometric model *M* the R termination



cB indicates a Cut Bergman cluster as the origin of the 5-fold hollow features.

Papadopolos et al. Phys. Rev. B 66 (2002) 184207

Density functional theory calculations (DFT)

•Last 15 years has seen wide availability of computer codes to solve Schrödinger's equation for large groups of atoms

- Based on density functional theory (DFT) using the local density approximation (LDA) and the generalised gradient approximation (GGA)
- Much success in application to minimum energy surface structures to clean surfaces and simple adsorbate systems
- Can be used to create simulated STM data for comparison to aid interpretation of real data



Molecules with isosurfaces of ground state electron density as calculated with **DFT**





Modelling approach

ab initio density functional calculations using VASP : Vienna *Ab Initio* Simulation Package

But

These codes only work for periodic materials!

Solution: Use Approximants



Cut and project method:

A methodology for generating lower dimensional quasicrystal structures from higher dimensional crystalline structures





Cut and project method

The cut-and-project method can be used with a rational angle to give a periodic quasicrystal approximant. The angle is expressed in terms of the ratio of terms of the Fibonnacci sequence.

e.g instead of $tan^{-1}\tau$, use $tan^{-1} 3/2$,

gives the 3/2 approximant



DFT: calculations of surface structure of AIPdMn quasicrystal

calculations performed on 3/2 and 2/1 rational approximants; structural relaxations only for 2/1 approximant

| Model | d_x | d_y | dz | Na | N(Al) | N(Pd) | N(Mn) |
|----------|-------|-------|-------|------|-------|-------|-------|
| 2/1 bulk | 20.31 | 20.31 | 20.31 | 544 | 372 | 124 | 48 |
| 2/1 MS | 23.88 | 20.31 | 6.60 | 205 | 137 | 54 | 14 |
| 2/1 M | 23.88 | 20.31 | 4.08 | 136 | 96 | 36 | 4 |
| 3/2 bulk | 32.86 | 32.86 | 32.86 | 2292 | 1612 | 472 | 208 |
| 3/2 MS | 39.40 | 32.86 | 6.60 | 535 | 364 | 132 | 39 |
| 3/2 M | 39.40 | 32.86 | 4.08 | 357 | 251 | 92 | 14 |
| 5/3 bulk | 53.17 | 53.17 | 53.17 | 9700 | 6844 | 2012 | 844 |
| 5/3 MS | 62.51 | 53.17 | 6.60 | 1401 | 965 | 340 | 96 |
| 5/3 M | 62.51 | 53.17 | 4.08 | 930 | 663 | 236 | 31 |

TABLE I. Structural data of approximants to *i*-AlPdMn and of the slab models for the fivefold surface. Cell dimensions d_i , i=x, y, z in Å, number of atoms N_a , and chemical composition.



M. Krajcí and J. Hafner, Phys. Rev. B. 71 (2005) 054202

DFT: calculations of surface structure of AIPdMn quasicrystal: This termination equivalent to the "R" termination in the M model



FIG. 3. Side view of the MS and the M models of the *i-AIPdMn* surface derived from the 3/2-approximant.

The M model consists of the M slab only. The dashed line in the middle of the M slab indicates the positions of centers of the *B* clusters. The radius of one B cluster is indicated by the circle. The surface plane dissects the B clusters.

The MS model includes the complete bottom part of the B clusters. The small circles represent projected positions of the atoms



M. Krajcí and J. Hafner, Phys. Rev. B. 71 (2005) 054202

DFT: calculations of surface structure of AIPdMn quasicrystal



M slab, 3/2 approximant: atomic structure, electronic charge density, Constant height STM, constant current STM simulations <u>UNIMECLUSTERS</u> contained within pentagons; B cluster at vertices <u>LIVERPOOL</u> M. Krajcí et al, Phys. Rev. B. 73 (2006) 024202

DFT: comparison with STM images



FIG. 4. A comparison of the experimental top and calculated bottom STM images of the white flower WF. The area of the STM image 39.5 Å x 32.9 Å is the same as the size of the structural model. The WF corresponds to a M cluster (the central decagon) surrounded by five B clusters (black pentagons). The skeleton of the WF is formed by five dark and five gray pentagons of size 2.96 Å. The big pentagon marked by the thin line indicates the position of the "top" pentagonal tile of the P1 tiling.



M. Krajcí et al, Phys. Rev. B. 73 (2006) 024202

DFT: comparison with STM images



position of the P1 tiling



FIG. 5. A comparison of the experimental top and calculated bottom STM images of the dark pentagonal hole—dark star DS. The area of the images is 39.5 Åx32.9 Å. The DS is formed by a surface vacancy surrounded by a pentagon of Al atoms separated by 4.79 Å and a pentagon of Pd atoms of the same size forming in the STM image dark "arms" of the DS. The skeleton shown in the figure consists of one central pentagon of 4.79 Å surrounded by five pentagons of 2.96 Å. The big pentagon marked by the thin line indicates the position of the "bottom" pentagonal tile of the P1 tiling.

M. Krajcí et al, Phys. Rev. B. 73 (2006) 024202

DFT: comparison with STM images





M. Krajcí et al, Phys. Rev. B. 73 (2006) 024202

STM interpretation: DFT simulations

Conclusions:

- Transition metal atoms form a rigid framework
- Al atoms may relax
- Pd atoms not imaged in STM: images are of AI and Mn atoms
- Five-fold hollows may be due to truncated pseudo-Mackay clusters with the Mn atom 2.56 Å below the surface plane (bottom pentagons)
- •Top pentagons are truncated pseudo-Mackay clusters with the Mn atom in the surface plane. They have a protrusion at the centre.





5-fold AI-Pd-Mn quasicrystal surface: structural conclusions

Conclusions:

- Surface is a termination of the bulk structure all techniques concur
- Surface relaxation of about 0.38 Å occurs
- Discrepancy in the interpretation of local configurations in STM
 - 5 –fold stars are cut Bergmans (Papadopolos et al)
 - 5-fold stars are truncated pseudo-Mackay clusters (Krajci et al)



Case study:

Studied by STM, UPS, XPS and MEIS

Hem Raj Sharma



H. R. Sharma



Surface studies of i-Ag-In-Yb quasicrystal

- Surface atomic structure Using STM, LEED, MEIS Comparison with bulk model structure
- Surface composition Using by XPS and MEIS
- Valence band structure Using UPS and comparison with calculation

Oxidation behaviour

Using XPS and comparison with pure elements

- Reactivity to C₆₀
- Growth of Sb, Pb and Sb thin film Pb and comparison with calculation

Use of all possible high symmetry surfaces

Fivefold, threefold and twofold surfaces

Ag-based QCs vrs Al-based QCs

| | Ag-based QCs | Al-based QCs |
|------------------------|--|---|
| Building blocks | Isostructral to i-Cd-Yb Rhombic triacontahedral (Tsai-type cluster) | Pseudo-Mackay and Bergman clusters |
| e/a | 2.0 | 1.7 or 2.1 |
| Structure derived from | Primitive hypercubic lattice (P-type QC) | Face centred hypercubic lattice (F-type QC) |
| Stability | <i>sp -d</i> hybridization | Hume-Rothery mechanism and <i>s-d</i> hybridization |
| Concentration | 42%, In: 42%, Yb: 16% | AI ~ 72%, |
| Surface free energy | Ag: 1.17, In: 0.488, Yb: 0.482 (J/m ²) | Al has lowest surface energy |

Building Units of i-Cd-Yb





Structure of the Fivefold i-Ag-In-Yb Surface

- STM and comparison with the bulk structure
- Structure analysis by MEIS

H. R. Sharma, M. Shimoda, K. Sagisaka, H. Takakura, J. A. Smerdon, P. J. Nugent, R. McGrath, D. Fujita, S. Ohhashi and A. P. Tsai *Structure of the fivefold surface of the Ag-In-Yb icosahedral quasicrystal* Physical Review B 80, 12140 (R) (2009)

Fivefold Surface: STM & LEED



• Large terraces comparable to Al-based QC

• Steps of mainly two different heights

 $\begin{array}{l} S = 0.28 \ nm \ (\sigma: 0.04) \\ L = 0.85 \ nm \ (\sigma: 0.05) \end{array}$





Surface prepared by sputter-annealed @ 440°C

23.4 eV

LEED reveals quasicrystalline long range order

Fivefold Surface: Fine Structure on Terraces



Comparison with Bulk Model





Fivefold Surface: Fine Structure on Terraces





Raw data

Enhanced by Fourier filtering

STM contrast seems to be enhanced by deposition

of a small amount of Sb

edge length: 0.57 Model

| Substrate | Technique | Date | Authors | Ref. |
|------------------------|------------------|-----------|--------------------|-------------|
| | | 1st. pub. | | |
| 5-f <i>i</i> -Al-Pd-Mn | STM | 1994 | Schaub et al. | [1-3] |
| | | 1996 | Ebert et al. | [4-7] |
| | | 1999 | Shen et al. | [8] |
| | | 1999 | Ledieu et al. | [9-12] |
| | | 2002 | Barbier et al. | [13, 14] |
| | | 2005 | Unal et al. | [15] |
| | | 2006 | Widmer et al. | [15] |
| | LEED | 1996 | Jenks et al. | [16-18] |
| | | 2003 | Kortan et al. | [19] |
| | LEED I(V) | 1997 | Gierer al. | [20, 21] |
| | X-ray techniques | 2000 | Capello et al. | [22-24] |
| | | 2000 | Jach et al. | [25] |
| | | 1999 | Alvarez et al. | [26, 27] |
| | Ion scattering | 2001 | Bastasz et al. | [28 - 30] |
| | | 2005 | Noakes et al. | [31] |
| | SEI | 1998 | Bolliger et al. | [32 - 34] |
| | XPD | 1999 | Naumović et al. | [35–38] |
| | | 2004 | Zheng et al. | [39] |
| | Modelling | 1999 | Papadopolos et al. | [11, 40-42] |
| | | 2005 | Krajčí et al. | [12, 43] |
| | | | | - |

Overview: Experimental studies of clean surfaces of AIPdMn



Overview: Experimental studies of clean surfaces of AIPdMn

| 2-f <i>i</i> -Al-Pd-Mn | LEED | 1997 | Shen et al. | [18, 44] |
|------------------------|------|------|----------------|----------|
| | STM | 2006 | Gröning et al. | [45] |
| | STM | 2006 | Reid et al. | [46] |
| 3-f <i>i</i> -Al-Pd-Mn | STM | 2000 | Rouxel et al. | [47] |
| | LEED | 2000 | Shen et al. | [18] |



Studies of clean surfaces of d-Al-Ni-Co

| Substrate | Technique | Date | Authors | Ref. |
|-----------------|-------------|-----------|-----------------------------|----------|
| | | 1st. pub. | | |
| 10-f d-Al-Ni-Co | STM | 2001 | Cox et al. | [48] |
| | | 2002 | Kishida et al. | [49] |
| | | 2003 | Ebert et al. | [50] |
| | | 2004 | Yuhara et al. | [51] |
| | | 2004 | Sharma et al. | [52] |
| | | 2004 | Cecco et al. | [53] |
| | LEED $I(V)$ | 2004 | Ferralis et al. | [54] |
| | | 2006 | Pussi et al. | [55] |
| | SPA-LEED | 2000 | Gierer et al. | [56] |
| | XPD,RHEED | 2000 | Shimoda et al. | [57] |
| | SEI | 1998 | Zurkirch98,Flückiger et al. | [58, 59] |
| | Modelling | 2006 | Krajčí et al. | [60] |



Studies of clean surfaces of other quasicrystals

| 10-f d -Al-Cu-Co | STM | 1990 1990 | Kortan et al. McRae et al. | [61] [62] |
|---|--------------------------|----------------------|--|--------------------------|
| 5-f d-Al-Cu-Fe | LEED STM, LEED STM | 1997 2001 2004 | Shen et al. Cai et al. Sharma et al. | [63] [64, 65] [52] |
| 5-f $\mathit{i}\text{-}\mathrm{Al-Cu-Ru}$ | STM | 2005 | Shimoda et al. | [66] |
| 5-f <i>i</i> -Al-Ga-Pd-Mn | LEED | 2002 | Heinzig et al. | [67] |
| 5-f $\mathit{i}\text{-}\mathrm{Ag}\text{-}\mathrm{In}\text{-}\mathrm{Yb}$ | STM | 2007 | Sharma et al. | [68] |
| 2-f d-Al-Ni-Co | STM SEI | 2005 2003 | Park et al. Flückiger et al. | [69] [59] |



Studies of clean surfaces of other quasicrystals





Studies of clean surfaces of other quasicrystals





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Bi/Al-Pd-Mn

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7 October 2002

Quasicrystalline Epitaxial Single Element Monolayers on Icosahedral Al-Pd-Mn and Decagonal Al-Ni-Co Quasicrystal Surfaces

K. J. Franke,¹ H. R. Sharma,¹ W. Theis,^{1,*} P. Gille,² Ph. Ebert,³ and K. H. Rieder¹



FIG. 2. LEED images from the fivefold Al-Pd-Mn surface with electron energy of 63 eV: (a) clean surface, (b) Sb monolayer, and (c) Bi monolayer. Although the patterns from the monolayers (b),(c) appear tenfold, different electron energies confirm a fivefold symmetry. Indexing follows Ref. [27].





Quantum Size Effects in Metal Thin Films Grown on Quasicrystalline Substrates

V. Fournée,¹ H. R. Sharma,² M. Shimoda,² A. P. Tsai,^{2,3} B. Unal,⁴ A. R. Ross,⁴ T. A. Lograsso,⁴ and P. A. Thiel⁴



FIG. 2. STM topography $(400 \times 400 \text{ nm}^2)$ of the fivefold $Al_{63}Cu_{24}Fe_{13}$ surface dosed with 4.5 ML of Bi. Island heights are indicated in units of monolayer. The inset shows a typical RHEED pattern observed for the Bi thin film.



Bi/Al-Pd-Mn





STM of Bi/AIPdMn – low coverage





At sub-monolayer coverages, there is a high degree of order in the overlayer discernible by STM

Bi/Al-Pd-Mn



0.54 ML

50

Bi/Al-Pd-Mn

- System follows the classic nucleation and growth mechanism
- Initially, *pure nucleation regime*, where island size does not change with coverage
- Transition to takes place at around 0.5 ML to the *pure growth regime*
- These results indicate a single nucleation site for the cluster formation
- Can we identify this site?



- Recap of interpretation of the clean surface:
- Pentagons have edge length 7.8 Å
- Top pentagons contains Mn
- Bottom pentagons contain 5f stars
- Top and bottom pentagons share a side
- Pentagons of similar orientation share a vertex







- Surface may be ``tiled" to include all the Bi pentagons in the same orientation of tile.
- These are opposite in orientation to those containing the 5-f stars.
 - •The relative frequencies of the pentagon, rhomb, star and boat tiles in the infinite Penrose P1 tiling are 72.4:14.6:4.0:9.0

Here:

72.6:14.5:0.9:12.0.





- Predictions made using DFT:
- Vertex site is most favourable:
- Mid-edge next most favourable
- Pentagons are then filled with equal probability



Krajci and Hafner , Phil. Mag., 86 (2005) 825



Predicted using DFT

Observed using STM

Deduced from STM

UNIVERSITY OF LIVERPOOL Smerdon, Parle, Wearing, Lograsso, Ross, McGrath, Phys. Rev. B, 78 (2008) 075407

- Leads to a simple nucleation and growth mechanism for pseudomorphic monolayers
- Helps rationalise previous results for AI and Si growth



Smerdon, Parle, Wearing, Lograsso, Ross, McGrath, Phys. Rev. B, 78 (2008) 075407





- Density of Adatoms is 0.60 atoms/nm² which is comparable to the density of the adsorption sites expected from the model structure
- The adatom density is far less than the density of the substrate, which is about 8 atoms/nm²



Top view 0.05nm Side view 80.0**0° 00° 00** 00





38 eV









Island height (H): 1.2 nm, 1.55

d₁₁₁ for Pb: 2.86Å







Adsorbates on quasicrystals:

| Substrate | Adsorbate | Pseudomorphic | Suggested | Pentagonal | Ref. |
|--------------------|---------------------|----------------------------|---------------|------------|----------|
| | | $\operatorname{monolayer}$ | $_{ m site}$ | cluster | |
| <i>i</i> -Al-Pd-Mn | C_{60} | - | hollow | - | [18] |
| | Si | - | truncated M | - | [44] |
| | Pb | \checkmark | - | 4.9 | [21] |
| | Bi | \checkmark | truncated M | 4.9 | [31, 35] |
| | | | | | |
| i-Al-Cu-Fe | Al | - | hollow | 5.1 | [27] |
| | Sn | \checkmark | hollow | 11.0 | [40] |
| | Bi | \checkmark | - | 6.9 | [41] |
| | | | | | |
| d-Al-Ni-Co | Pb | \checkmark | - | - | [43] |
| | Si | - | hollow | 4.2 | [42] |



Smerdon et al. JPCM 2008

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One-dimensional quasiperiodic superstructure of a thin Ag film on GaAs(110) surfaces



Ph. Ebert, K.-J. Chao, Q. Niu, and C. K. Shih, Phys Rev Lett 83 (1999) 3222

obtained by depositing 1.5 to 1.7 nm thick Ag layers at 135 K on freshly cleaved GaAs(110) surfaces in ultrahigh vacuum

films were annealed at room temperature



Ph. Ebert, K.-J. Chao, Q. Niu, and C. K. Shih, Phys Rev Lett 83 (1999) 3222

Archimedean-like tiling on decagonal quasicrystalline surfaces

Jules Mikhael¹, Johannes Roth², Laurent Helden¹ & Clemens Bechinger^{1,3}





LIVERSITY OF NATURE | Vol 454|24 July 2008 p.501

Incommensurate overlayers on surfaces

two periodicities q_1 and q_2 are incommensurate if they cannot be expressed as a ratio of two integers:

$$\frac{q_1}{q_2} \neq \frac{M}{N} \qquad \qquad M, N = 1, 2, 3....$$

Incommensurate phases the result of a conflict between various competing forces within a system. A simple model commonly used to illustrate this is shown below:



Frenkel and Kontorova model





Orientational Epitaxy-the Orientational Ordering of Incommensurate Structures

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and

John P. McTague^{†§}

University of California, Los Angeles, California 90024, and Brookhaven National Laboratory,‡ Upton, New York 11973

PRL 38 (1977) 1286 (317 citations)

A new class of structures is predicted to exist for monolayer films on solid surfaces. These structures involve two incommensurate lattices—the monolayer lattice and the surface lattice— which have a preferred relative orientation. The precise orientation depends upon the lattice constant and symmetry of each lattice. We believe this orientationally ordered incommensurate phase to be present in many physisorbed films. In particular, the existence of this phase appears to explain recent low-energy electron diffraction data for rare gases adsorbed on homogeneous substrates.





FIG. 1. The reciprocal-lattice vectors $\{\vec{\tau}\}\$ for the monolayer lattice and $\{\vec{G}\}\$ for the graphite lattice. The angle θ is the angle of rotation relative to the superlattice orientation.

